**Characteristics of the conjugative transfer system of the IncM plasmid pCTX-M3 and identification of its putative regulators**

**Authors:** Michał Dmowskia, Marcin Gołębiewskib, and Izabela Kern-Zdanowicz#

Department of Microbial Biochemistry, Institute of Biochemistry and Biophysics, Polish Academy of Sciences, Warsaw, Poland

**Running Head**: The conjugation system of the pCTX-M3 plasmid

**#**Address correspondence to Izabela Kern-Zdanowicz, [iza@ibb.waw.pl](mailto:iza@ibb.waw.pl)

a Present Address: Laboratory of Mutagenesis and DNA Repair, Institute of Biochemistry and Biophysics, Polish Academy of Sciences, Warsaw, Poland

b Present Address: Department of Biotechnology, Nicolaus Copernicus University, Toruń, Poland

**Abstract**

Plasmid conjugative transfer systems comprise type IV secretion systems (T4SS) coupled to DNA processing and replication. The T4SSs are divided into two phylogenetic subfamilies, namely, IVA and IVB or based on the phylogeny of the VirB4 ATPase into eight groups. The conjugation system of the IncM group plasmid pCTX-M3, from *Citrobacter freundii*, is classified in the IVB subfamily and in the MPFI group, as are the conjugation systems of IncI1 group plasmids. Although the majority of the conjugative genes of the IncM and IncI1 plasmids display conserved synteny, there are several differences. Here, we present a deletion analysis of 27 genes in the conjugative transfer regions of pCTX-M3*.* Notably, the deletion of either of two genes dispensable for conjugative transfer, namely, *orf35* and *orf36*, resulted in an increased plasmid mobilization efficiency. Transcriptional analysis of the *orf35* and *orf36* deletion mutants suggested an involvement of these genes in regulating the expression of conjugative transfer genes. We also revised the host range of the pCTX-M3 replicon by finding that this replicon is unable to support replication in *Agrobacterium tumefaciens*, *Ralstonia eutropha* and *Pseudomonas putida* although its conjugation system is capable of introducing plasmidsbearing *oriT*pCTX-M3 into these bacteria, which are representatives of *Alpha*-, *Beta*- and *Gammaproteobacteria*, respectively. Thus, the conjugative transfer system of pCTX-M3 has a much broader host range than does its replicon.

**Importance**

Horizontal gene transfer is responsible for rapid changes in bacterial genomes, and the conjugative transfer of plasmids has a great impact on the plasticity of bacteria. Here, we present a deletion analysis of the conjugative transfer system genes of the pCTX-M3 plasmid of the IncM group, which is responsible for the dissemination of antibiotic resistance genes in *Enterobacteriaceae.* We found that deletion of the *orf35* or *orf36* genes, which are dispensable for conjugative transfer, increased the plasmid mobilization efficiency. RT-qPCR analysis suggested the involvement of *orf35* and *orf36* in regulating the expression of transfer genes. We also revised the host range of pCTX-M3 by showing that its conjugative transfer system has a much broader host range than does its replicon.

**Introduction**

Conjugative transfer is a prevalent phenomenon among bacteria; this phenomenon is crucial for horizontal gene transfer in the biosphere and is a major contributor to the rapid variability of bacterial genomes. In the process of conjugative transfer, DNA (a conjugative plasmid, a conjugative transposon or an integrative conjugative element - ICE) is transferred from a donor to a recipient cell after physical contact between the cells is established. The process may be regarded as a combination of DNA processing functions coupled to a type IV secretion system (T4SS; also known as Mpf - mating pair formation) by a dedicated protein (coupling protein - CP). The DNA processing functions are provided by the Dtr (DNA transfer and replication) system, also called the relaxosome complex (1). The T4SS systems of gram-negative bacteria are classified into two large phylogenetic groups, namely, IVA and IVB: the *A. tumefaciens* VirB/D4 secretion system and the conjugation systems of the IncF and IncP plasmids are classified as type IVA (T4ASS) (2, 3), whereas type IVB (T4BSS) is represented by secretion systems found in *Legionella pneumophila* (Dot/Icm) and in other important pathogens (4, 5). The majority of the Dot/Icm proteins share homology with the constituents of the conjugation system of the R64 plasmid of the IncI1 group. Despite an increasing amount of information becoming available in recent years on the organization and regulation of T4BSSs, they are still less thoroughly characterized than T4ASSs.

The canonical T4SS is represented by the *Agrobacterium tumefaciens* VirB/D4 secretion system responsible for T-DNA transfer into plant cells during infection. This T4SS consists of 11 proteins (VirB1-VirB11) and the coupling protein VirD4 (for review, see references (5, 7, 8). The translocation channel comprises the VirB3, B6, B7, B8, B9 and B10 proteins. In the translocation channel, three components form the core channel complex in the OM, also called outer membrane complex (OMC): VirB9, the pore-forming protein; VirB7, a small lipoprotein; and VirB10, the protein spanning both the inner membrane (IM) and the outer membrane (OM). Interactions of the OMC with the inner membrane complex (IMC), which comprises the VirB3, VirB6, and VirB8 proteins, and with the ATPases VirB4 and VirB11 result in the formation of a pore. The extracellular structure important for the establishment of contact between mating cells, namely, the T-pilus, is composed of the major subunit VirB2 and the minor component VirB5 localized at the tip. VirB3, the least-characterized Mpf component, is also necessary for T-pilus assembly. Finally, VirB1 shows homology to a lytic transglycosylase that cleaves peptidoglycan (3, 9). The system is energized by three cytoplasmic ATPases: VirB4, VirB11, and the coupling protein VirD4. Of all of the Vir proteins listed above, VirB4 is the only component present in every T4SS described so far (10). The universal presence of VirB4 allowed all known Mpfs of both gram-negative and gram-positive bacteria and archaea to be divided into eight groups on the basis of VirB4 phylogeny (11). The *A.* *tumefaciens* VirB/D4 system and the conjugation system of the IncP plasmids are now classified into the MPFT group (11). The conjugation system of the F plasmid, one of the most well-known plasmids, belongs to the MPFF group (11). In addition, the IncI1 plasmid R64 codes for TraU, which is a distant VirB4 homologue, and constitutes the prototype of the MPFI group. The R64 conjugative transfer system is encoded by 22 transfer genes, namely, *traE-traY*; three *trbA-C* genes; and the *nuc* gene, 16 of which have been shown to be indispensable for conjugation (12). The homology of R64 to the VirB/D4 system of *A. tumefaciens* is rather low. However, TraO displays homology to VirB10 (13), and TraM is distantly homologous to VirB8; TraJ, to the VirB11 ATPase; and TraQ and TraR, to the pilin subunit VirB2 (11).

Another plasmid encoding an MPFI conjugation system that displays homology to the T4BSS systems of the IncI1 plasmids is pCTX-M3 (Acc. No. AF550415), a member of the IncM incompatibility group (14). Plasmid pCTX-M3 was isolated from a clinical *Citrobacter freundii* strain in Poland in 1996 as a vector of the *bla*CTX-M-3 gene (14, 15). It is noteworthy that members of the IncM group are closely related not only to each other but also to plasmids of the IncL group (16), with which they were earlier classified jointly as the IncL/M group (17). IncL and IncM plasmids are widespread in *Enterobacteriaceae* (18) and are responsible for the dissemination of antibiotic resistance genes. These genes include *bla*CTX-M-3, which encodes an extended spectrum β-lactamase; *bla*NDM-1, which encodes a metallo-β-lactamase; *bla*OXA-48, which encodes acarbapenem-hydrolysing enzyme (19, 20); *bla*KPC-4, whichcodes for the *Klebsiella pneumoniae* carbapenemase (21); *bla*IMP-4, which codes for imipenemase (22); and theaminoglycoside resistance gene *armA* (23).

The pCTX-M3 plasmid can be transferred by approximately 10% of cells in an *E. coli* donor population under optimal conditions (14). Bacteria bearing pCTX-M3 can also conjugate in liquid culture; however, in contrast to IncI1 plasmids, which require type IV pili for conjugation in liquid media, pCTX-M3 does not encode additional pili (14, 24, 25). The conjugative transfer genes of pCTX-M3 are localized in two separate regions with predicted operon structures, namely, *tra* and *trb* (14), and these genes do not exhibit substantial sequence similarity to genes with ascribed functions available in public databases apart from those encoded by IncI1 and IncL/IncM plasmids (Fig. 1). The *tra* and *trb* genes of IncL/IncM plasmids such as pCTX-M3 encode proteins that exhibit 39-65% similarity to those encoded by IncI1 plasmids (such as R64 and ColIb-P9) (Table 1); however, a number of genes from each system do not have counterparts in the other system (14). The pCTX-M3 plasmid can mobilize plasmids that contain the heterologous *oriT*ColIb-P9 (from the IncI1 plasmid ColIb-P9), and plasmids with *oriT*pCTX-M3 can be mobilized by a ColIb-P9-derived plasmid (14); both of these mobilizations occur at low frequencies.

Here, we present a deletion analysis of the *tra* and *trb* genes potentially involved in pCTX-M3 conjugative transfer. We found that the deletion of *orf35* or *orf36*, both of which are dispensable for pCTX-M3 transfer, increases the mobilization efficiency of *oriT*pCTX-M3-bearing plasmids into *E. coli* and *A. tumefaciens*. The deletion of these genes also affected the transcription of other conjugative transfer genes. In addition, we verified the host range of the pCTX-M3 conjugation system and found that the host range of its replicon, reported previously to comprise *Alpha-*, *Beta-* and *Gammaproteobacteria* (26), is in fact much narrower than previously believed and is limited to *Enterobacteriaceae*.

**Results and discussion**

**Organisation of the regions coding for the conjugative transfer systems of the pCTX-M3 and R64 plasmids**

In pCTX-M3, both the *tra* and *trb* regions coding for the conjugative transfer system display extensive conservation of synteny with the conjugation system genes of the IncI1 plasmids R64 and ColIb-P9 (Fig. 1) (14). However, there are certain differences. pCTX-M3 has no homologues of the *traEFG*, *traST* and *traV* genes*.* Neither *traEFG* nor *traS* is required for the conjugative transfer of R64, whereas *traT* and *traV* are indispensable (12). Moreover, in pCTX-M3, the *nuc* gene, which encodes a nuclease, is located at a distance from the *tra* region. In addition, the single *orf38* located between *traR* and *traU* replaces *traST*, while *orf36*, which is found only in IncL and IncM plasmids, separates *traL* and *traM*. Furthermore, the *trbN* gene of pCTX‑M3, which encodes a putative lytic transglycosylase, has no homologues in R64. The homologue of *orf46* is also absent in R64. However, the major difference between these plasmids concerns the position of their *oriT* region with respect to the *nikAB* genes. In R64, *oriT* lies far from the *tra* genes in a tail-to-tail orientation with respect to the *trb* operon. In pCTX-M3, the *oriT* region, along with the *nikAB* genes, is situated immediately upstream of the *tra* genes, and the *nikAB* and *tra* genes are predicted to constitute a single operon (Fig. 1) (14).

**Identification of genes necessary for conjugation**

A systematic deletion analysis was performed for the pCTX-M3 genes in the *tra* and *trb* regions. For this purpose, a collection of twenty-seven derivatives with a deletion in each of the genes in the *tra* and *trb* regions, as well as *orf35* from the pCTX-M3 leading region and *orf46*, which is located downstream of *trbC*, was constructed (Supporting information Table S1) by replacing a given gene with the *cat* gene (27). To avoid a difference in expression levels depending on the position of the gene in the operon, the *cat* gene with its own promoter sequence was inserted in the opposite orientation to the *tra* or *trb* genes. For each pCTX-M3 deletion derivative, the frequency of conjugative transfer (conjugation efficiency) from *E. coli* BW25113 cells to the recipient *E. coli* JE2571RifR cells, both in liquid and on filters, was determined (Fig. 2A and B). Liquid mating occurred at a lower efficiency than filter mating; therefore, further conjugative transfer analysis of the pCTX-M3 derivatives was performed using filter mating only. All the plasmids displayed a diminished or completely inhibited conjugative transfer ability except for pCTX-M3*orf35::cat*, pCTX-M3*orf36::cat* and pCTX-M3*orf46::cat*; these plasmids showed the same conjugation efficiency as the parental pCTX-M3 plasmid, which was approximately 10-1 for filter mating (Fig. 2B). We therefore concluded that *orf35*, *orf36*, and *orf46* are dispensable for conjugative transfer.

**Complementation of the deleted *tra* and *trb* genes**

To exclude the possibility that the reduced conjugative transfer of the pCTX-M3 deletion derivatives could be caused by a polar effect, each mutated plasmid was complemented with an appropriate gene cloned into pMT5 or pAL3 under the control of the *Plac* promoter (Supporting information Table S1). For the majority of the pCTX-M3 derivatives, the complementation fully or at least partially restored the conjugation efficiency (10-4 – 10-1 per donor cell). However, for the *nikB*, *traM*, *traN*, *traU*, *traW*, and *traY* deletion derivatives, the presence of the complementing gene rescued the conjugation efficiency only to levels less than 10-5; for *traP* and *pri*, the complementation had no detectable effect (Fig. 2B). Although several independent *traP* and *pri* deletion mutants were investigated, none regained the conjugative transfer ability upon complementation with pMT5*traP* or pAL*pri*,respectively.

In R64, the disruption of *nikB*,which encodes nickase (relaxase), abolished conjugative transfer; however, *nikB* can be complemented well (28).Furthermore, the disruption of *traM*, *traN*, *traW*, and *traY* was detrimental to the transfer of R64, but these genes could be complemented by plasmids expressing the relevant gene to the WT (wild type) transfer efficiency or, for *traY*, 10× lower than the WT transfer efficiency (12). In R64, disruptions of *traU* are detrimental to transfer. Similar to the effect of limited complementation of pCTX-M3*traU::cat*, the transfer efficiency of R64 that expressed a *traU* gene disrupted close to its start site but that was complemented by a plasmid bearing *traU* was 9×10-7 (12).Taking into account the differences in complementation between the respective mutants of pCTX-M3 and R64, the *nikB*, *traM*, *traN*, *traW*, *traY*, *pri*, *traP* and *traU* mutants of pCTX-M3 were analysed further. First, the expression of the complementing genes was verified by RT-qPCR. The transcript levels of all these genes were at least 10-fold higher than those found in the strain bearing pCTX-M3 (Fig. 3A).

Given that a polar effect of the deletion of those genes was not strictly excluded, the transcription of genes located directly downstream of the target genes was quantified by RT-qPCR (Fig. 3B). Indeed, the transcript levels of each of the downstream genes analysed, except for those of the *traN::cat* and *traY::cat* derivatives, were lower than those found in the strain bearing intact pCTX-M3 (Fig. 3B). Complementation of the analysed deletions with the appropriate genes restored the expression of the downstream genes to the level observed in the strain with pCTX-M3 for the *pri*, *traM*, and *traP* deletion mutants and nearly restored expression for the *traU* deletion mutant, but complementation had only a small effect on the *nikB::cat* and *traW::cat* mutants (Fig. 3B). In the case of the *traY::cat* mutant, where no decrease in the expression of the downstream gene was found, the complementation had no effect on the transcript level of the downstream gene.

The results so far showed that five deletion mutants of pCTX-M3 behaved in an unexpected manner: *traP* and *pri*, which could not be complemented; *traY*, where the complementation was very poor; and *nikB* and *traW*, where the downstream genes, *traH* and *traX*, respectively, showed low expression in addition to poor complementation. To determine whether the behaviour of these genes was due to the deletion of the genes in question or was connected with the presence of the *cat* gene in their loci, the *cat* gene was eliminated from the mutants with the use of the pCP20-encoded FLP recombinase. The ability of the constructed plasmids (pCTX-M3Δ*traP*, pCTX-M3Δ*pri*,pCTX-M3Δ*traW*,pCTX-M3Δ*traY*, and pCTX-M3Δ*nikB*) to undergo conjugative transfer was tested after complementation with a plasmid bearing the corresponding gene (Fig. 4). All the pCTX-M3 derivatives lacking both the gene of interest and the *cat* gene could be transferred by conjugation when the missing gene was delivered *in trans*: the transfer efficiencies of the Δ*nikB*,Δ*traP*, Δ*traW*,and Δ*traY* mutated plasmids were greater than 10-3 transconjugants per donor cell (Fig. 4). Therefore, we conclude that the lack of complementation of the previously described plasmids with mutated *nikB*, *traP*, *traW*,and *traY* was connected to the presence of the *cat* gene in the loci of the affected genes and was corrected by *cat* elimination.

However, the transfer efficiency of pCTX-M3Δ*pri* after complementation was low (approximately 2×10-7). The results obtained for the *pri* deletion in pCTX-M3 differ from those for the *sog* deletion in R64, where the deletion resulted in only a small drop in the transfer efficiency, from 10-2 to 10-3 (29). The *sog* gene encodes two proteins – the SogL primase (1255 aa), which generates RNA primers for plasmid replication, and the shorter SogS (844 aa), which is a product of translational reinitiation within the *sog* reading frame (30). It was speculated that Sog proteins could create a complex with DNA, coating the transferred single DNA strand to protect and stabilize it (31). Both proteins are transported from the donor to the recipient cell during conjugation, and the transport has been shown to rely on the *pil* genes encoding thin pili (32), which are absent in pCTX-M3 (14). The *pri* gene of pCTX-M3 also has the potential to encode two proteins – a primase (1070 aa) and a putative 689 aa-protein comprising the C-terminal moiety of the primase. The high level of the *pri* transcript (Fig. 3A) could result in the production of a large amount of these two proteins, which could coat the ssDNA of the transferred plasmid, and block the transporter. However, the reason for the lack of complementation of *pri-*deficient pCTX-M3 is highly speculative, and the pCTX-M3 *pri* gene therefore needs further study.

The results presented above demonstrate that though the disruptions in the majority of the *tra* and *trb* genes of the pCTX-M3 plasmid, which were done *via* *cat* insertion, do not prevent the expression of a functional conjugative transfer system, in some cases the deletion of the inserted *cat* gene was required. In these cases, the observed decreased transcript levels and lower conjugation efficiencies of the mutated plasmids even in the presence of the appropriate complementing genes suggest the defective regulation of gene expression. The mechanism of the regulation of the pCTX-M3 *tra* and *trb* operons is unknown and needs further research.

**Putative roles of the *tra* and *trb* genes of pCTX-M3**

**Putative components of the T4CP subcomplex**

The analysis of the *trb* region of pCTX-M3 showed that the deletion of either *trbA*, *trbB*, or *trbC* abolished conjugation. In R64*,* the *trbA* and *trbC* genes were found to be indispensable for conjugative transfer, while *trbB* was required for a high transfer efficiency (33). TrbCpCTX-M3, with its ATPase Walker motifs A and B, is homologous to TrbCR64 and to DotL (IcmO) of *L. pneumophila*, which acts as a Type IV coupling protein (T4CP) (Table 1). In the Dot/Icm system, DotL forms the T4CP subcomplex along with other proteins that have no CP function: two inner membrane proteins, namely, DotM (IcmP) and DotN (IcmJ), and the secretion adapter proteins IcmS and IcmW (34). The DotM homologue of pCTX-M3 is encoded by the *trbA* gene. Homologues of TrbBpCTX-M3, except for the TrbB proteins encoded by IncI1 plasmids, are putative disulfide bond isomerases. Therefore, the *trbC* gene of pCTX-M3 is likely to encode the coupling protein, while *trbA* codes for an element of the T4CP subcomplex, whose other components are to be characterized.

**Putative components of the transmembrane subcomplex**

The *traN* gene of both R64 (12) and pCTX-M3 encodes a homologue of DotH (IcmK) of *L. pneumophila*. The proper localization of DotH (IcmK) in the OM is assisted by the lipoproteins DotC and DotD, which together form a pore similar to that formed by the VirB7/VirB9 proteins of *A. tumefaciens* (13). DotC, DotD, and DotH, along with the IM proteins DotF (IcmG) and DotG (IcmE), have been found to form the core transmembrane subcomplex that bridges the IM and the OM in *L. pneumophila* (13, 35).A homologue of *L. pneumophila* DotC, encoded by *traI*, is indispensable for conjugative transfer of pCTX-M3, while the disruption of *traI*R64 only led to a reduction in the transfer efficiency (12). In pCTX-M3 and R64, TraH is a homologue of DotDof *L. pneumophila*; however, in contrast to deletion of *traH*R64 (12), disruption of *traH*pCTX-M3 abolishes the transfer of pCTX-M3. The putative localization of TraHpCTX-M3 in the cell membrane is supported by the presence of a predicted signal peptide and a lipid attachment motif in its sequence (Table 1). Distant homologues of DotF of *L. pneumophila* are TraPpCTX-M3 and TraPR64, which are necessary for conjugative transfer of both plasmids. In the TraPpCTX-M3 sequence, a single transmembrane helix was found, suggesting IM localization (Table 1). DotG of *L. pneumophila* shares homology with TraOR64 (13) and TraOpCTX-M3 (Table 1) homologues of VirB10 of *A. tumefaciens*. Interestingly, deletion of the *traO*gene abolished the conjugative transfer of pCTX‑M3, while in R64, *traO* deletion only reduced the transfer efficiency (12). Therefore, we propose that TraH, TraI, TraN, TraO, and TraP of both pCTX-M3 and R64 are components of the core transmembrane subcomplex. The different consequences of the deletion of *traH*, *traI*, or *traO* on the conjugative transfer of pCTX-M3 and R64 raise the possibility that the composition of the core transmembrane subcomplexes encoded by these two plasmids may also be different.

**Putative functions of other pCTX-M3 encoded proteins**

The *nikA* and *nikB* genes encode components of the nickase complex (an auxiliary protein and a relaxase, respectively). Deletion of these genes completely abolishes the conjugative transfer of pCTX-M3 and R64 (36). The *traJ* gene encodes an ATPase homologous to VirB11 of *A. tumefaciens* and DotB of *L. pneumophila* (37) (Table 1). Its deletion abolishes the conjugative transfer of pCTX-M3, while in R64, *traJ* deletion only reduced the transfer efficiency (12). In turn, TraKR64 and TraKpCTX-M3 (Table 1) are homologues of the IcmT protein of *L. pneumophila*, whose function is unknown (37). TraMR64 and TraMpCTX-M3 display homology with DotI (IcmL) of *L. pneumophila* and with VirB8 of *A. tumefaciens* (11, 38). The *traU* gene encodes a putative ATPase that is a homologue of DotO (IcmB) of *L. pneumophila* (37, 39). The encoded protein is also distantly homologous to VirB4 (11), which is involved in pilus assembly (40) and is essential for the virulence of *Agrobacterium* (41).

The *traR* and *traQ* genes,which are indispensable for the conjugative transfer of pCTX-M3 and R64 (12), code for proteins distantly homologous to each other (Table 1) and that belong to the VirB2 family, which forms the major T-pilus subunit in the *A. tumefaciens* VirB/D4 system (11). The product of *traY* is a distant homologue of DotA of *L. pneumophila* (37) and, together with ExcA, builds the entry exclusion system of R64 (42) and of pCTX-M3 (17). The putative functions of the other proteins encoded in the *tra* and *trb* regions of pCTX-M3 remain unknown (Table 1).

**Putative regulators of the pCTX-M3 transfer genes**

To identify the pCTX-M3 genes affecting the mobilization efficiency of plasmids bearing *oriT*pCTX-M3, we compared the ability of *E. coli* cells carrying the pCTX-M3, pCTX-M3*orf35::cat*, pCTX-M3*orf36::cat* or pCTX-M3*orf46::cat* plasmids to mobilize the pToriT plasmid into the recipient *E. coli* JE2571RifR (Fig. 5A) cells. The helper plasmid pCTX-M3*orf35::cat* was over 200-fold more effective in aiding pToriT mobilization than were the other plasmids (2.76×10-1 vs. 1.25×10-3,*P*=0.0042). Surprisingly, pCTX-M3*orf36::cat* was approximately 5 times more effective than the WT plasmid (3.61×10-3 vs. 1.25×10-3, *P*=0.0124)*.* The protein product of *orf35* of pCTX-M3 exhibits 44% amino acid sequence similarity with that of *yggA*, the first gene in the leading region of R64 (Supporting information Fig. S1), whose involvement in mobilization has not been studied.

With *A. tumefaciens* as the recipient, *E. coli* carrying pCTX-M3*orf35::cat* or pCTX-M3*orf36::cat* was over 100- and 10-fold more efficient, respectively, as a pToriT donor than was *E. coli* bearing pCTX-M3 or pCTX-M3*orf46::cat* (Fig. 5B). However, only the increase in mobilization efficiency in the presence of pCTX-M3*orf35::cat* was statistically significant (*P*=0.0024)*.*

To further analyse the effects of *orf35* and *orf36* on plasmid mobilization, the deletions of these genes were complemented with appropriate plasmids, namely, pAL*orf35* or pAL*orf36*, respectively. The pABBoriT plasmid was mobilized to an *E. coli* recipient from *E. coli* (DH5α) donors.The helper plasmids pCS, pC35S, and pC36S, which were derived from pCTX-M3, pCTX-M3*orf35::cat,* and pCTX-M3*orf36::cat*, respectively, and lack kanamycin resistance, were generated for use with the KmR pABBoriT plasmid (Table 2).

In the presence of pC35S and the complementing pAL*orf35* plasmid, the mobilization efficiency of pABB20oriT was slightly reduced relative to that observed in the presence of pC35S and the pAL3 vector (Fig. 6A), but this reduction only occurred when freshly obtained transformants were used as donors and the experiment was performed at 28°C. It is worth noting that the growth of *E. coli* bearing both pCTX-M3 and pAL*orf35* was disturbed, while the presence of pAL*orf35* alone did not affectcell growth.This effect can result from the possible deregulation of the *tra* genes controlled by the product of the *orf35* gene especially when expressed from the two co-resident plasmids.

The complementation of the *orf36* mutation in pC36S by pAL*orf36* decreased the pABB20oriT mobilization efficiency even below the level obtained with pCS as the helper plasmid (Fig. 6B). Interestingly, in the strain bearing both pCS and pAL*orf36*, the mobilization efficiency of pABB20oriT was reduced.

To address the question of the role of *orf35* and *orf36* in conjugative transfer, the transcript levels of the *nikA*, *nikB* and *traH* genes, the first three genes of the *tra* operon, were determined in *E. coli* strains bearing either pCTX-M3*orf35::cat* or pCTX-*M3orf36::cat* and were compared with those in the control strain bearing intact pCTX-M3 (Fig. 6C and D). In the strain bearing pCTX-M3*orf35::cat*, the transcript levels of all three genes were elevated, approximately 40-, 23- and 80-fold for *nikA*, *nikB* and *traH*,respectively, relative to those in the control strain. In the strain bearing pCTX-M3*orf36::cat*, the levels of the *nikA* and *nikB* transcripts were unchanged, while the *traH* transcript was approximately 120-fold more abundant than that in the control strain.

We propose that the pCTX-M3 *tra* operon, which encodes both the nickase complex and the T4SS, is subject to *orf35*-dependent repression. The effect of derepression of the *tra* operon in pCTX-M3*orf35::cat* cells would be visible for mobilizable multicopy plasmids, while the conjugation ability of the low-copy-number pCTX-M3*orf35::cat* would not benefit from the derepression of *tra* due to the limited number of accessible *oriT*-bearing plasmid molecules.

Similarly, the deletion of *orf36*, which is unique to IncL and IncM plasmids (14) and is dispensable for conjugation, increased the mobilization efficiency into *E. coli* and upregulated *traH* but not *nikA* or *nikB.* Moreover, the presence of additional copies of *orf36* significantly impaired mobilization even in donors bearing the native pCTX-M3 conjugative transfer region. We propose that the expression of *traH*, and probably also that of the downstream genes encoding the T4SS, is additionally regulated by the *orf36* product in a manner independent of *nikAB* transcription. The mechanism underlying the Orf35- and Orf36-dependent regulation is currently unknown and deserves further study, especially given that these predicted proteins do not contain known DNA-binding motifs (Table 1).

**Host ranges of the replicon and the conjugative transfer system of pCTX-M3**

Earlier studies (26) have demonstrated that pCTX-M3 can be transferred to *A. tumefaciens* *via* conjugation. Unexpectedly, despite a number of attempts, we were unable to transfer pCTX-M3 from *E. coli* to *A. tumefaciens* by mating (Fig. 5B). However, our mobilization experiments demonstrated that the conjugation system of pCTX-M3 is highly efficient in transferring the mobilizable, broad-host-range (*oriV*pBBR1) plasmid pToriT, which contains *oriT*pCTX-M3, into *A. tumefaciens* (10-4 transconjugants per donor after 30 min of mating).

The finding that pCTX-M3 is not transferred into *A. tumefaciens* is inconsistent with previous results (26) showing that the conjugative transfer efficiency of the entire pCTX-M3 into *Alpha-, Beta-* and *Gammaproteobacteria* was assessed to be on the order of 10-5 transconjugants per donor cell after 24 h of mating. To investigate this discrepancy, we performed a 24-h mating experiment using *E. coli* DH5α (pCTX-M3, pToriT) as the donor and *E. coli*, *A. tumefaciens, R. eutropha*, and *P. putida* as recipients. In such a system, the transfer of pCTX-M3 during mating reflects the host range of both its conjugation system and the IncM replicon, while the transfer of pToriT, the mobilizable broad-host-range plasmid containing *oriT*pCTX-M3, reflects the host range of the conjugation system of pCTX-M3 only. Transconjugants carrying pCTX-M3 were selected on plates containing gentamicin and rifampicin, while those with pToriT were selected on plates with tetracycline and rifampicin (*A. tumefaciens, R. eutropha* and *E. coli*) or with kanamycin and rifampicin (*A. tumefaciens* and *P. putida*). As shown in Fig. 7, the pCTX-M3 conjugation system is highly efficient in transferring pToriT into *A. tumefaciens* and *R. eutropha* (2×10-3 and 3×10-4 transconjugants per donor cell, respectively) and, with a lower efficiency,also into *P. putida* (3×10-6 per donor cell). In contrast, pCTX-M3 transconjugants were obtained only in the *E. coli* recipient*.* Thus, pCTX-M3 itself, when transferred, cannot be established in *A. tumefaciens,* *R. eutropha* or *P. putida*. These results indicate that the host ranges of the pCTX-M3 conjugative transfer system and its replicon differ markedly; the former shows a broad range comprising *Alpha-, Beta-* and *Gammaproteobacteria*, and the latter is restricted to *Enterobacteriaceae.* A similar observation concerning the differences between the host ranges of the conjugation system and the replicon has been reported previously for the narrow-host-range, mobilizable *Klebsiella pneumoniae* plasmids pIGMS31 and pIGMS32 (43). These plasmids replicate only in *Gammaproteobacteria*, but their mobilization systems enable the conjugative transfer of a heterologous replicon into several *Alphaproteobacteria* hosts by the RK2 (IncP1α) conjugation system (43). In addition, recently, different host ranges of the conjugative and the replicative systems have been shown for the self-transferable *P. putida* plasmid NAH7 of the IncP-9 group (with the MPFT system) (44).

**Concluding remarks**

Although the conjugation system of pCTX-M3 belongs to the MPFI group, it differs from the one encoded by IncI1 plasmids. Therefore, it would be valuable to re-evaluate the mobilizationhost range of MPFI systems. It has been shown that ssDNA transiently generated during conjugative transfer triggers the SOS response in recipient cells unless the plasmid codes for an anti-SOS factor (45). As a consequence, homologous recombination and integron integrase genes are induced, leading to DNA rearrangements (45). Therefore, plasmids with an Mpf host range broader than their replicon host range, such as pCTX-M3, which does not code for an anti-SOS factor but does bear an integron, may have a greater impact on the adaptability of bacterial populations than previously appreciated.

**Material and Methods**

**Bacterial strains, plasmids and growth conditions**

The strains used in this work are listed in Table 2. *E. coli* DH5α was used as the host strain for DNA cloning. In the mating experiments, *E. coli* strain BW25113 or, where stated, strain DH5α, bearing pCTX-M3 and its derivatives, were used as the donors, and *E. coli* strain JE2571RifR was used as the recipient. In trans-species matings, *Pseudomonas putida*, *Ralstonia eutropha* or *Agrobacterium tumefaciens* were used as the recipients. The bacteria were cultured with agitation in LB medium (Biocorp, Warsaw, Poland) or on agar-solidified LB plates (46) at either 37°C (*E. coli* and *P. putida*) or 28°C (*A. tumefaciens* and *R. eutropha*). When required, antibiotics were added to the medium at the following final concentrations (µg/ml): ampicillin (100), chloramphenicol (20), gentamicin (50), kanamycin (50), rifampicin (100), tetracycline (20, or 6 for pToriT selection).

**Cloning and DNA manipulation**

Plasmid DNA was isolated by alkaline lysis using Plasmid Mini or Plasmid Midi kits (A&A Biotechnology, Gdańsk, Poland) according to the manufacturer’s instructions. DNA cloning was performed according to standard protocols (46). All the enzymes used for cloning were from MBI Fermentas/Thermo Scientific (Vilnius, Lithuania).

**Plasmid constructions**

The plasmids that were constructed and used in this study are listed in Table 2 and Table S1. pCTX-M3 derivatives with deletions of genes from the *tra* and *trb* regions were constructed through *λ*Red-mediated recombination (27). First, the BW25113 (pKD46) strain was electrotransformed with pCTX-M3 and was selected on LB agar plates with gentamicin at 28°C. PCR products comprising the *cat* gene sequence with extensions homologous to the gene to be replaced were obtained using the primers listed in Table S2 on the pKD3 plasmid template. Then, BW25113 (pCTX-M3, pKD46) cells were electrotransformed with these *Dpn*I-treated PCR products and were selected on LB agar plates with chloramphenicol at 37°C (to avoid the propagation of pKD46, which shows temperature-sensitive replication). Single colonies were isolated by the streak plate method at 37°C, and the correct integration of the *cat* gene into the target gene was verified by PCR (35 cycles) with the primer pairs listed in Table S3 and Table S4. The integration of *cat* in the four longest genes (*nikB, pri, traU* and *traY*) was further analysed by multiplex PCR with three primers (Table S5): (i) catReVer, which anneals to the *cat* gene, (ii) a primer located upstream of the deleted gene (nikAF, priUVer, traUsU or traYsU, respectively), and (iii) a primer that anneals to the gene to be deleted (nikBDVer, priDVer, traUDVer or traYDVer, respectively); the primers were designed so that the expected products were smaller than 1 kb and allowed discrimination between the native pCTX-M3 and the appropriate mutant plasmid. All mutated plasmids were verified by sequencing with the catU142 primer. The loss of pKD46 was checked by multiplex PCR with the repKD46F and repKD46R primers, which were designed to amplify the *repA101*ts gene fragment and with the TEMfor and TnTEMrev primers (for amplification of the *bla*TEM-1 gene, which is present in both pCTX-M3 and pKD46) as an internal PCR control (Table S4). The constructed plasmid derivatives are listed in Table S1.

The *cat* gene was eliminated from six pCTX-M3 derivatives, namely, pCTX-M3*nikB::cat*, pCTX-M3*pri::cat*, pCTX-M3*traP::cat*, pCTX-M3*traU::cat*, pCTX-M3*traW::cat* and pCTX-M3*traY::cat*, using pCP20, which encodes FLP recombinase, as a helper plasmid. In this process, the strain DH5α (pCP20) was electrotransformed with the appropriate pCTX-M3 derivative, and transformants were selected on LB agar with gentamicin at 28°C. Then, the transformants were streaked in parallel on LB with both chloramphenicol and gentamicin and were grown at 37°C. After colony purification, the clones that were chloramphenicol-sensitiveand gentamicin-resistant were verified by PCR with the primers listed in Table S3 and Table S5. The loss of pCP20 was verified by PCR with the repKD46F and repKD46R primers. The plasmids were then introduced into the BW25113 strain.

To construct the plasmids carrying individual genes from the *tra* and *trb* regions for use in the complementation experiments (Table S1), specific genes were amplified by PCR using *Pfu* DNA polymerase (Thermo Fisher Scientific, Waltham, MA, USA) with the primers listed in Table S6 and were cloned into the pMT5 or pAL3 vectors, as described in Table S1. Genes which, probably due to the harmful effects of high-level expression, could not be cloned into the multicopy plasmid pMT5 (*oriV*pMB1), were cloned into the low-copy-number vector pAL3 (*oriV*P15A). Only the pAL*pri* plasmid was constructed without a PCR amplification step, as indicated in Table S1. The cloned genes were verified by sequencing (primers listed in Table S3 and Table S4). The expression of the complementing genes cloned into the pAL3 and pMT5 vectors is driven by the lactose operon promoter (*P*lac).

Plasmids bearing *oriT*pCTX-M3 were obtained by cloning the *oriT* sequence into appropriate plasmids, as described in Table 2.

The plasmids pCS, pC35S, and pC36S (Table 2) were obtained by the digestion of pCTX-M3, pCTX-M3*orf35::cat* and pCTX-M3*orf36::cat*, respectively, with SalI and the recircularization of the largest DNA fragment. Thus, these plasmids are devoid of all resistance genes except the *bla*TEM-1 and *bla*CTX-M-3 genes present in pCTX-M3.

**PCR conditions**

PCR was performed in a Veriti thermal cycler (Applied Biosystems, Foster City, CA, USA) using DreamTaq or *Pfu* DNA polymerase with the supplied buffers (Thermo Fisher Scientific), dNTP mixture, template DNA (purified DNA or bacterial colonies), and the appropriate primer pairs listed in Tables S2 - S6, according to the manufacturer’s recommendations.

**DNA sequencing**

The sequencing was performed in the DNA Sequencing and Oligonucleotide Synthesis Laboratory at the Institute of Biochemistry and Biophysics, Polish Academy of Sciences, using a dye terminator sequencing kit and an automated sequencer (ABI 377, Perkin Elmer, Waltham, MA, USA).

**Quantitative Real Time-PCR (RT-qPCR)**

RNA from BW25113 strains bearing a specific pCTX-M3 deletion derivative alone or in combination with a plasmid carrying an appropriate complementing gene was isolated from cells in the late exponential phase of growth (OD600=0.8-1) using a GeneJET RNA Purification Kit (Thermo Fisher Scientific) according to the manufacturer’s protocol. RNA quality and integrity were checked by agarose gel electrophoresis, and the concentration was estimated using a NanoDrop ND-1000 Spectrophotometer (Thermo Fisher Scientific). Three biological replicates were analysed.

Reverse transcription was performed with random hexamer primers using the Maxima First Strand cDNA Synthesis Kit for RT-qPCR with dsDNase (Thermo Fisher Scientific). The specific qPCR primers used to amplify the reference (the *repA* gene encoding the replication initiator protein of the pCTX-M3 plasmid) and target genes are listed in Table S7. Real-time PCR was carried out using Real-Time 2xHS-PCR Master Mix SYBR (A&A Biotechnology) in a final volume of 10 µl in the LightCycler 480 (Roche Life Sciences, Penzberg, Germany) system with the following parameters: initial denaturation at 95°C for 5 min followed by 40 cycles of amplification (95°C for 10 s and 50°C for 10 s). The relative gene expression in the deletion strains was calculated and was normalized to the value obtained for a strain carrying the native pCTX-M3 plasmid (47).

**Plasmid conjugative transfer**

Cultures of the donor and recipient strains (approximately 108 CFU ml-1) were grown in LB to stationary phase; the cultures were then washed twice with LB medium, and the donor strain was resuspended in a volume of LB equal to the initial volume of the culture, while the recipient strain was resuspended in 1/4 of the initial culture volume. Then, 0.5 ml of the donor and recipient suspensions was mixed and filtered through a sterile Millipore HA 0.45-µm filter (Millipore, Billerica, MA, USA). The filter was incubated on an LB plate for 30 min at 37°C (*E. coli*) or 28°C (*A. tumefaciens* and *E. coli*). For the pCTX-M3 host range tests, the filter was incubated on an LB plate for 24 h at 37°C (*P. putida, E. coli*) or 28°C (*A. tumefaciens* and *R. eutropha*). Bacteria were washed from the filter with 1 ml of sterile 0.85% NaCl solution. Conjugation was stopped by vigorously vortexing the mating mixture for 30 s and then placing it on ice. Serial dilutions of the mixture of donor, recipient, and transconjugant cells were plated on LB agar supplemented with the appropriate selection antibiotics. As a control, the donor and recipient cells were plated on LB supplemented with antibiotics for transconjugant selection. Mating in liquid was performed as above but without the use of the filter: the mating mix was incubated for 30 min at 37°C, and conjugation was stopped by vortexing for 30 s. The conjugative transfer efficiency is equivalent to the number of transconjugants per donor cell.

**Bioinformatics analysis**

Nucleotide sequences were analysed using Clone Manager 9 Professional Edition. Sequence similarity searches were performed using the BLAST programs (48) provided by the National Center for Biotechnology Information (NCBI) (<http://blast.ncbi.nlm.nih.gov/Blast.cgi>) to search against the NCBI ‘nr’ (non-redundant) DNA or protein database with standard parameters; no filters or masks were applied. The molecular weight and theoretical pI were calculated with the use of the ProtParam tool of Expasy (http://web.expasy.org/protparam/) (48). Motifs in protein sequences were searched with the use of MotifScan (http://myhits.isb-sib.ch/cgi-bin/motif\_scan). SignalP v.2.0 (http://www.cbs.dtu.dk/services/SignalP-2.0/) was used to search for signal peptides, TMPred (<http://www.ch.embnet.org/software/TMPRED_form.html>) was used to determine the presence of transmembrane helices (TMH) (49), and LipoP (http://www.cbs.dtu.dk/services/LipoP/) was used to predict lipid attachment motifs (50).

**Statistical analysis**

Data concerning the plasmid conjugative transfer frequencies are presented as the means ± standard deviation (SD). The differences between the mobilization efficiencies of pToriT by the different plasmids (Fig. 5) were tested for statistical significance using the *t*-test (GraphPad Prism 6; GraphPad Software, Inc., La Jolla, CA, USA). P values < 0.05 were considered statistically significant.

**Acknowledgements**

This paper is dedicated to the memory of Prof. Piotr Cegłowski, our mentor. We are grateful to Professors D. Bartosik (Warsaw, Poland), C. M. Thomas (Birmingham, UK) and K. Smalla (Braunschweig, Germany) for providing bacterial strains (through Prof. G. Jagura-Burdzy) and to J. Cieśla (IBB PAS) for help in the RT-qPCR analysis. We acknowledge the technical assistance of M. N. Bułka (Warsaw University of Technology) and E. Bodo (Warsaw University of Life Sciences - SGGW). This work was supported by grants PBZ-MNiSW-04/I/2007 and N N401 534640 to IKZ. The authors declare no conflicts of interest.

**References**

1. **Lawley T.**, **Klimke W.**, **Gubbins M.**, **Frost L.** 2003. F factor conjugation is a true type IV secretion system. FEMS Microbiol Lett **224**:1–15.

2. **Christie PJ**, **Atmakuri K**, **Krishnamoorthy V**, **Jakubowski S**, **Cascales E**. 2005. Biogenesis, architecture, and function of bacterial type IV secretion systems. Annu Rev Microbiol **59**:451–485.

3. **Christie P.J**. 2016. The Mosaic Type IV Secretion Systems. EcoSal Plus **7**.

4. **Nagai H**, **Kubori T**. 2011. Type IVB secretion systems of *Legionella* and other gram-negative bacteria. Front Microbiol **2**:136.

5. **Grohmann E**, **Christie PJ**, **Waksman G**, **Backert S**. 2018. Type IV secretion in Gram-negative and Gram-positive bacteria. Mol Microbiol 107:455-471.

6. **Fronzes R**, **Christie PJ**, **Waksman G**. 2009. The structural biology of type IV secretion systems. Nat Rev Microbiol **7**:703–714.

7. **Cabezon E**, **Ripoll-Rozada J**, **Pena A**, **de la Cruz F**, **Arechaga I**. 2015. Towards an integrated model of bacterial conjugation. FEMS Microbiol Rev **39**:81–95.

8. **Fronzes R**, **Schäfer E**, **Wang L**, **Saibil HR**, **Orlova EV**, **Waksman G**. 2009. Structure of a type IV secretion system core complex **323**:266–268.

9. **Bhatty M**, **Laverde Gomez JA**, **Christie PJ**. 2013. The expanding bacterial type IV secretion lexicon. Res Microbiol **164**:620–639.

10. **Alvarez-Martinez CE**, **Christie PJ**. 2009. Biological diversity of prokaryotic type IV secretion systems. Microbiol Mol Biol Rev **73**:775–808.

11. **Guglielmini J**, **Bertrand N**, **Abby SS**, **Garcillan-Barcia MP**, **de la Cruz F**, **Rocha EP**. 2014. Key components of the eight classes of type IV secretion systems involved in bacterial conjugation or protein secretion. Nucleic Acids Res **42**:5715–5727.

12. **Komano T**, **Yoshida T**, **Narahara K**, **Furuya N**. 2000. The transfer region of Incl1 plasmid R64: Similarities between R64 *tra* and *Legionella* *icm/dot* genes. Mol Microbiol **35**:1348–1359.

13. **Vincent CD**, **Friedman JR**, **Jeong KC**, **Buford EC**, **Miller JL**, **Vogel JP**. 2006. Identification of the core transmembrane complex of the *Legionella* Dot/Icm type IV secretion system. Mol Microbiol **62**:1278–91.

14. **Gołębiewski M**, **Kern-Zdanowicz I**, **Zienkiewicz M**, **Adamczyk M**, **Żylinska J**, **Baraniak A**, **Gniadkowski M**, **Bardowski J**, **Cegłowski P**. 2007. Complete nucleotide sequence of the pCTX-M3 plasmid and its involvement in spread of the extended-spectrum β-lactamase gene *bla*CTX-M-3. Antimicrob Agents Chemother **51**:3789–3795.

15. **Gniadkowski M**, **Schneider I**, **Jungwirth R**, **Hryniewicz W**, **Bauernfeind A**. 1998. Ceftazidime-resistant *Enterobacteriaceae* isolates from three Polish hospitals: identification of three novel TEM- and SHV-5-type extended-spectrum β-lactamases. Antimicrob Agents Chemother **42**:514–520.

16. **Bonnin RA**, **Nordmann P**, **Carattoli A**, **Poirel L**. 2013. Comparative genomics of IncL/M-type plasmids: evolution by acquisition of resistance genes and insertion sequences. Antimicrob Agents Chemother **57**:674–676.

17. **Carattoli A**, **Seiffert SN**, **Schwendener S**, **Perreten V**, **Endimiani A**. 2015. Differentiation of IncL and IncM plasmids associated with the spread of clinically relevant antimicrobial resistance. PLoS One **10**:e0123063.

18. **Carattoli A**. 2013. Plasmids and the spread of resistance. Int J Med Microbiol **303**:298–304.

19. **Poirel L**, **Bonnin RA**, **Nordmann P**. 2012. Genetic features of the widespread plasmid coding for the carbapenemase OXA-48. Antimicrob Agents Chemother **56**:559–562.

20. **Espedido BA**, **Steen JA**, **Ziochos H**, **Grimmond SM**, **Cooper MA**, **Gosbell IB**, **van Hal SJ**, **Jensen SO**. 2013. Whole genome sequence analysis of the first Australian OXA-48-producing outbreak-associated *Klebsiella pneumoniae* isolates: the resistome and *in vivo* evolution. PLoS One **8**:e59920.

21. **Bryant KA**, **Van Schooneveld TC**, **Thapa I**, **Bastola D**, **Williams LO**, **Safranek TJ**, **Hinrichs SH**, **Rupp ME**, **Fey PD**. 2013. KPC-4 is encoded within a truncated Tn*4401* in an IncL/M plasmid, pNE1280, isolated from *Enterobacter cloacae* and *Serratia marcescens*. Antimicrob Agents Chemother **57**:37–41.

22. **Dolejska M**, **Papagiannitsis CC**, **Medvecky M**, **Davidova-Gerzova L**, **Valcek A**. 2018. Characterization of the complete nucleotide sequences of IMP-4-encoding plasmids, belonging to diverse Inc families, recovered from *Enterobacteriaceae* of wildlife origin. Antimicrob Agents Chemother AAC.02434-17. doi: 10.1128/AAC.02434-17

23. **González-Zorn B**, **Catalan A**, **Escudero J a**, **Domínguez L**, **Teshager T**, **Porrero C**, **Moreno MA**. 2005. Genetic basis for dissemination of *armA*. J Antimicrob Chemother **56**:583–585.

24. **Yoshida T**, **Furuya N**, **Ishikura M**, **Isobe T**, **Haino-Fukushima K**, **Ogawa T**, **Komano T**. 1998. Purification and Characterization of thin pili of IncI1 plasmids ColIb-P9 and R64: formation of PilV-specific cell aggregates by type IV pili. J Bacteriol **180**:2842–2848.

25. **Bradley DE**. 1980. Determination of pili by conjugative bacterial drug resistance plasmids of incompatibility groups B , C , H , J , K , M , V , and X. J Bacteriol **141**:828–837.

26. **Mierzejewska J**, **Kulińska A**, **Jagura-Burdzy G**. 2007. Functional analysis of replication and stability regions of broad-host-range conjugative plasmid CTX-M3 from the IncL/M incompatibility group. Plasmid **57**:95–107.

27. **Datsenko KA**, **Wanner BL**. 2000. One-step inactivation of chromosomal genes in *Escherichia coli* K-12 using PCR products. Proc Natl Acad Sci U S A **97**:6640–6645.

28. **Furuya N, Komano T**. 1997. Mutational analysis of the R64 *oriT* region: requirement for precise location of the NikA-binding sequence. J Bacteriol **179**:7291–7297.

29. **Guglielmini J**, **de la Cruz F**, **Rocha EP**. 2013. Evolution of conjugation and type IV secretion systems. Mol Biol Evol **30**:315–331.

30. **Segal G**, **Feldman M**, **Zusman T**. 2005. The Icm/Dot type-IV secretion systems of *Legionella pneumophila* and *Coxiella burnetii.* FEMS Microbiol Rev **29**:65–81.

31. **Kerr JE**, **Christie PJ**. 2010. Evidence for VirB4-mediated dislocation of membrane-integrated VirB2 pilin during biogenesis of the *Agrobacterium* VirB/VirD4 type IV secretion system. J Bacteriol **192**:4923–4934.

32. **Berger BR**, **Christie PJ**. 1993. The A*grobacterium tumefaciens virB4* gene product is an essential virulence protein requiring an intact nucleoside triphosphate-binding domain. J Bacteriol **175**:1723–1734.

33. **Narahara K**, **Rahman E**, **Furuya N**, **Komano T**. 1997. Requirement of a limited segment of the *sog* gene for plasmid R64 conjugation. Plasmid **38**:1–11.

34. **Chatfield LK**, **Wilkins BM**. 1984. Conjugative transfer of IncI 1 plasmid DNA primase. Mol Gen Genet **197**:461–466.

35. **Rees CE**, **Wilkins BM**. 1989. Transfer of *tra* proteins into the recipient cell during bacterial conjugation mediated by plasmid ColIb-P9. J Bacteriol **171**:3152–3157.

36. **Wilkins BM**, **Thomas AT**. 2000. DNA-independent transport of plasmid primase protein between bacteria by the I1 conjugation system. Mol Microbiol **38**:650–657.

37. **Furuya N**, **Komano T**. 1996. Nucleotide sequence and characterization of the *trbABC* region of the IncI1 plasmid R64: existence of the *pnd* gene for plasmid maintenance within the transfer region. J Bacteriol **178**:1491–1497.

38. **Vincent CD**, **Friedman JR**, **Jeong KC**, **Sutherland MC**, **Vogel JP**. 2012. Identification of the DotL coupling protein subcomplex of the *Legionella* Dot/Icm type IV secretion system. Mol Microbiol **85**:378–391.

39. **Sutherland MC**, **Binder KA**, **Cualing PY**, **Vogel JP**. 2013. Reassessing the role of DotF in the *Legionella pneumophila* type IV secretion system. PLoS One **8**.

40. **Furuya N**, **Nisioka T**, **Komano T**. 1991. Nucleotide sequence and functions of the *oriT* operon in Incll plasmid R64. J Bacteriol **173**: 2231-2237.

41. **Kuroda T**, **Kubori T**, **Thanh Bui X**, **Hyakutake A**, **Uchida Y**, **Imada K**, **Nagai H**. 2015. Molecular and structural analysis of *Legionella* DotI gives insights into an inner membrane complex essential for type IV secretion. Sci Rep **5**:10912.

42. **Sakuma T**, **Tazumi S**, **Furuya N**, **Komano T**. 2013. ExcA proteins of IncI1 plasmid R64 and IncIγ plasmid R621a recognize different segments of their cognate TraY proteins in entry exclusion. Plasmid **69**:138–145.

43. **Smorawinska M**, **Szuplewska M**, **Zaleski P**, **Wawrzyniak P**, **Maj A**, **Plucienniczak A**, **Bartosik D**. 2012. Mobilizable narrow host range plasmids as natural suicide vectors enabling horizontal gene transfer among distantly related bacterial species. FEMS Microbiol Lett **326**:76–82.

44. **Kishida K**, **Inoue K**, **Ohtsubo Y**, **Nagata Y**, **Tsuda M**. 2017. Host range of the conjugative transfer system of IncP-9 naphthalene-catabolic plasmid NAH7 and characterization of its *oriT* region and relaxase. Appl Environ Microbiol **83**:1–17.

45. **Baharoglu Z**, **Bikard D**, **Mazel D**. 2010. Conjugative DNA transfer induces the bacterial SOS response and promotes antibiotic resistance development through integron activation. PLoS Genet **6**:e1001165.

46. **Sambrook J**, **Fritsch EF**, **Maniatis T**. 1989. Molecular cloning: a laboratory manual. Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y.

47. **Livak KJ**, **Schmittgen TD**. 2001. Analysis of relative gene expression data using Real-Time quantitative PCR and the 2−ΔΔCT method. Methods **25**:402–408.

48. **Altschul S**. 1997. Gapped BLAST and PSI-BLAST: a new generation of protein database search programs. Nucleic Acids Res **25**:3389–3402.

49. **Nielsen H**, **Engelbrecht J**, **Brunak S**, **von Heijne G**. 1997. Identification of prokaryotic and eukaryotic signal peptides and prediction of their cleavage sites. Protein Eng Des Sel **10**:1–6.

50. **Juncker AS**, **Willenbrock H**, **von Heijne G**, **Brunak S**, **Nielsen H**, **Krogh A**. 2003. Prediction of lipoprotein signal peptides in Gram-negative bacteria. Protein Sci **12**:1652–1662.

51. **Thomas CM**, **Thomson NR**, **Cerdeño-Tárraga AM**, **Brown CJ**, **Top EM**, **Frost LS**. 2017. Annotation of plasmid genes. Plasmid **91**:61–67.

52. **Hanahan D**. 1983. Studies on transformation of *Escherichia coli* with plasmids. J Mol Biol **166**:557–580.

53. **Grenier F**, **Matteau D**, **Baby V**, **Rodrigue S**. 2014. Complete Genome Sequence of *Escherichia coli* BW25113. Genome Announc **2**:e01038-14.

54. **Koekman BP**, **Hooykaas PJJ**, **Schilperoort RA**. 1982. A functional map of the replicator region of the octopine Ti plasmid. Plasmid **7**:119–132.

55. **Franklin FC**, **Bagdasarian M**, **Bagdasarian MM**, **Timmis KN**. 1981. Molecular and functional analysis of the TOL plasmid pWWO from *Pseudomonas putida* and cloning of genes for the entire regulated aromatic ring meta cleavage pathway. Proc Natl Acad Sci U S A **78**:7458–7462.

56. **Top EM, Holben WE, Forney LJ.** 1995. Characterization of diverse plasmids isolated from soil by characterization of diverse 2, 4-dichlorophenoxyacetic acid-degradative plasmids isolated from soil by complementation. Appl Environ Microbiol**61**: 726 1691–1698.

57. **Chang AC**, **Cohen SN**. 1978. Construction and characterization of amplifiable multicopy DNA cloning vehicles derived from the P15A cryptic miniplasmid. J Bacteriol **134**:1141–1156.

58. **Kovach ME**, **Elzer PH**, **Steven Hill D**, **Robertson GT**, **Farris MA**, **Roop RM**, **Peterson KM**. 1995. Four new derivatives of the broad-host-range cloning vector pBBR1MCS, carrying different antibiotic-resistance cassettes. Gene **166**:175–176.

59. **Cherepanov PP**, **Wackernagel W**. 1995. Gene disruption in *Escherichia coli*: TcR and KmR cassettes with the option of Flp-catalyzed excision of the antibiotic-resistance determinant. Gene **158**:9–14.

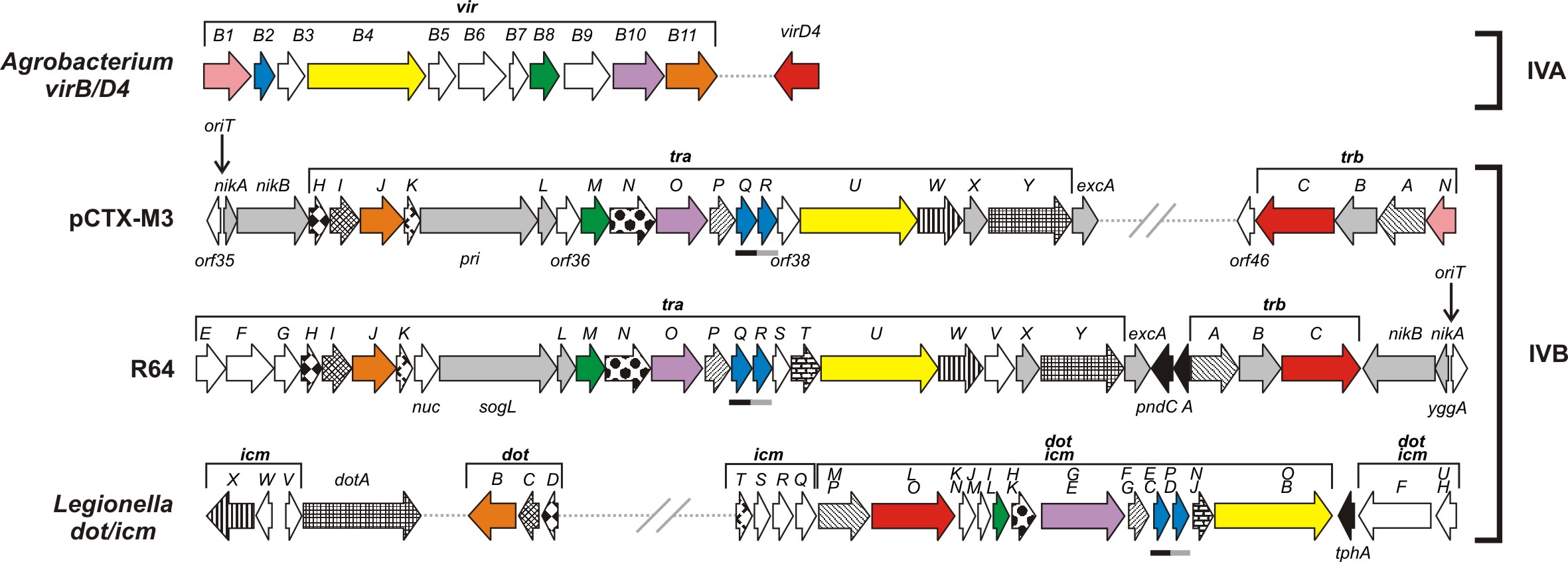
60. **Vieira J**, **Messing J**. 1982. The pUC plasmids, an M13mp7-derived system for insertion mutagenesis and sequencing with synthetic universal primers. Gene **19**:259–268.

61. **Bartosik AA**, **Markowska A**, **Szarlak J**, **Kulińska A**, **Jagura-Burdzy G**. 2012. Novel broad-host-range vehicles for cloning and shuffling of gene cassettes. J Microbiol Methods **88**:53–62.

**Figures**

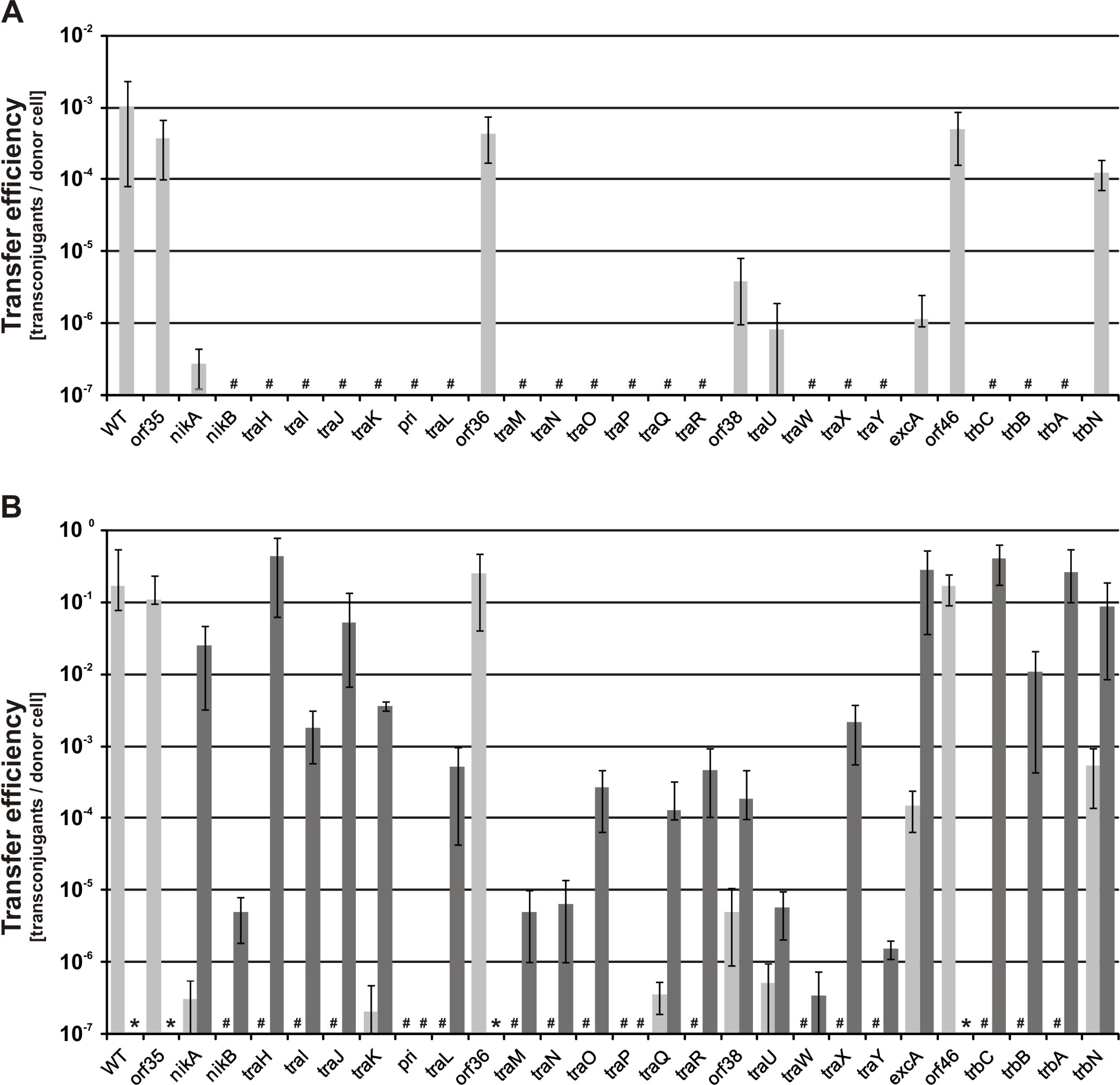
**Fig. 1.** **Gene organization of type IV secretion systems: IVA – the *A. tumefaciens virB*/*virD4* region and IVB – the transfer regions of pCTX-M3, R64, and the *dot*/*icm* region of  *L. pneumophila.***

ORFs are represented by arrows indicating their orientation. The ORFs homologous in all systems are shown in the same colour (except grey and white). The ORFs homologous in the Type IVB systems are indicated by identical patterns. The ORFs homologous in both plasmids are shown in grey, and those specific for either system are shown in white. The bars under the genes (pCTX-M3, R64, and *dot*/*icm*) indicate homology of single genes. The lines above the genes indicate the gene designation, and the corresponding letter is indicated above each gene. A dotted line indicates a small region of unrelated genes, and a line break indicates a large gap. The ORFs not related to the T4SS are shown as black arrows.



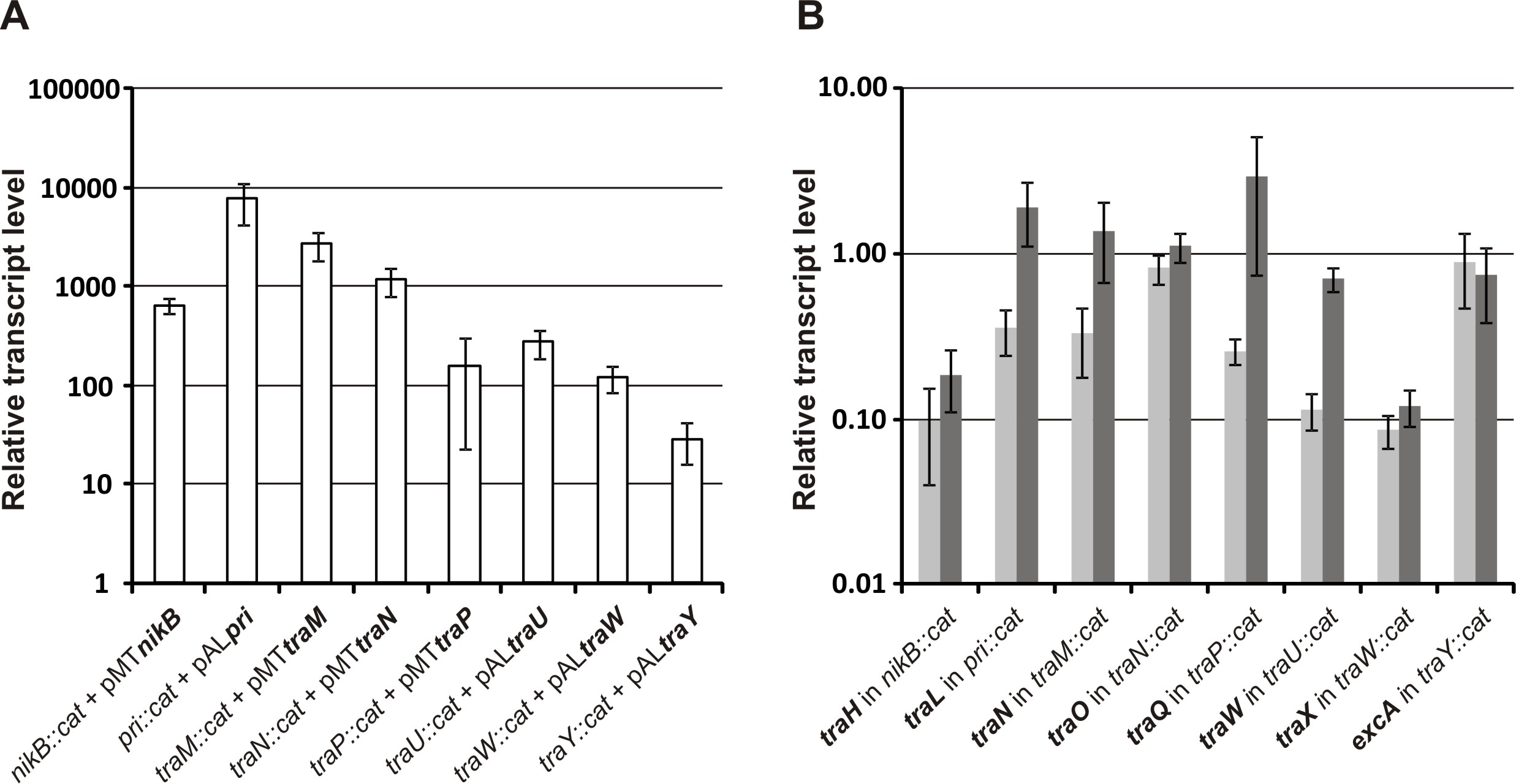
**Fig. 2. Deletion analysis of the pCTX-M3 transfer region.**

(A) Conjugative transfer efficiency of pCTX-M3 derivatives in liquid mating. (B) Conjugative transfer efficiency of pCTX-M3 derivatives (light grey) and pCTX-M3 derivatives complemented with plasmids bearing the relevant genes (dark grey) in filter mating. **#** undetectable transfer (< 10-7), \* complementation not analysed. Each result is the mean of at least three experiments. Error bars indicate the SD.



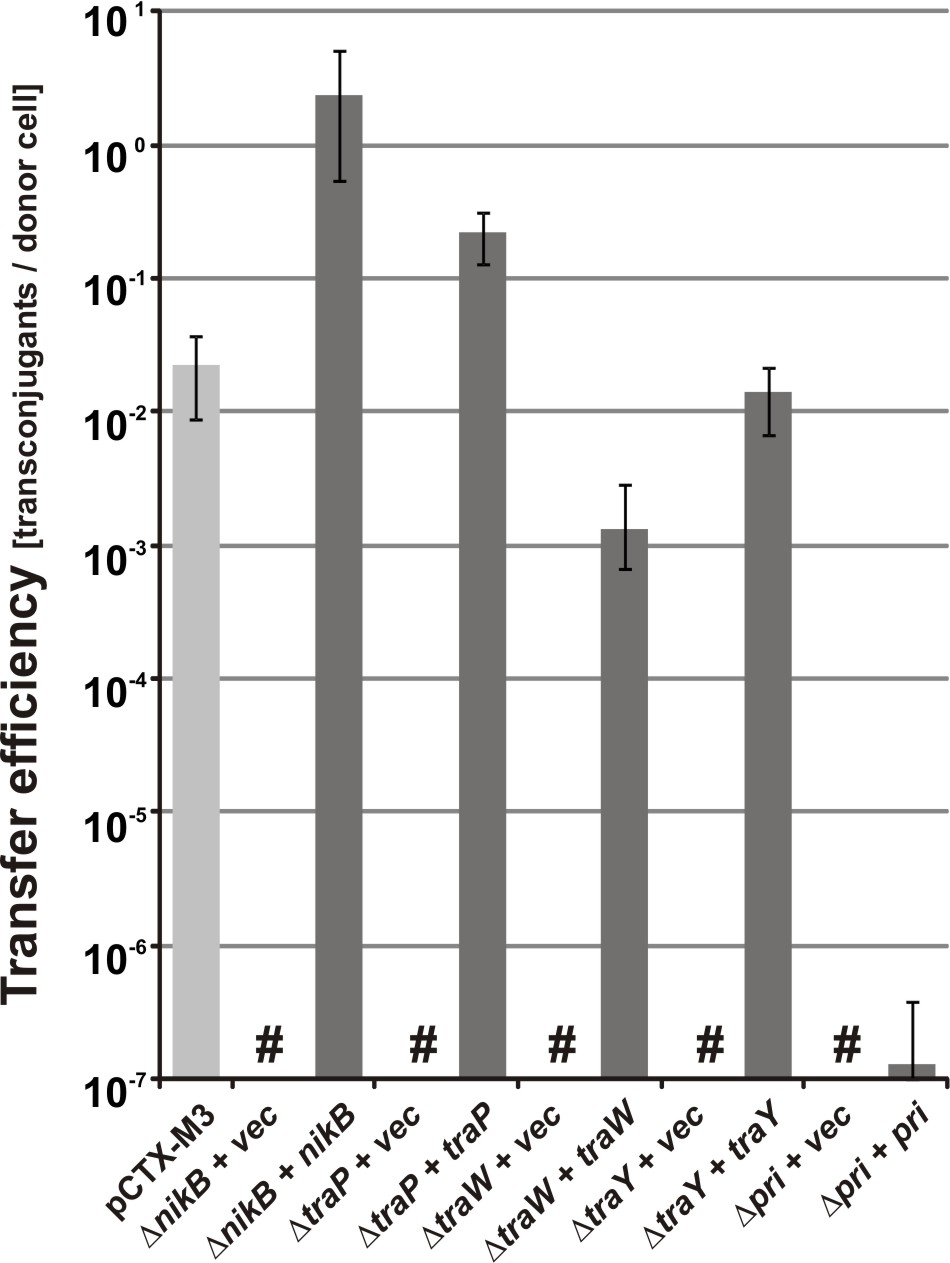
**Fig. 3. Transcript levels of genes in the pCTX-M3 plasmid deletion derivatives.**

(A) Transcript levels of the complementing genes (in bold) in the respective deletion derivatives. (B) Transcript levels of genes (in bold) located directly downstream of the deleted gene in the respective pCTX-M3 deletion derivatives (light grey) complemented with the appropriate plasmid (dark grey). Each result is the mean value of biological triplicates normalized to the transcript level of the appropriate gene in the BW25113 (pCTX-M3) strain. Error bars indicate the SD.



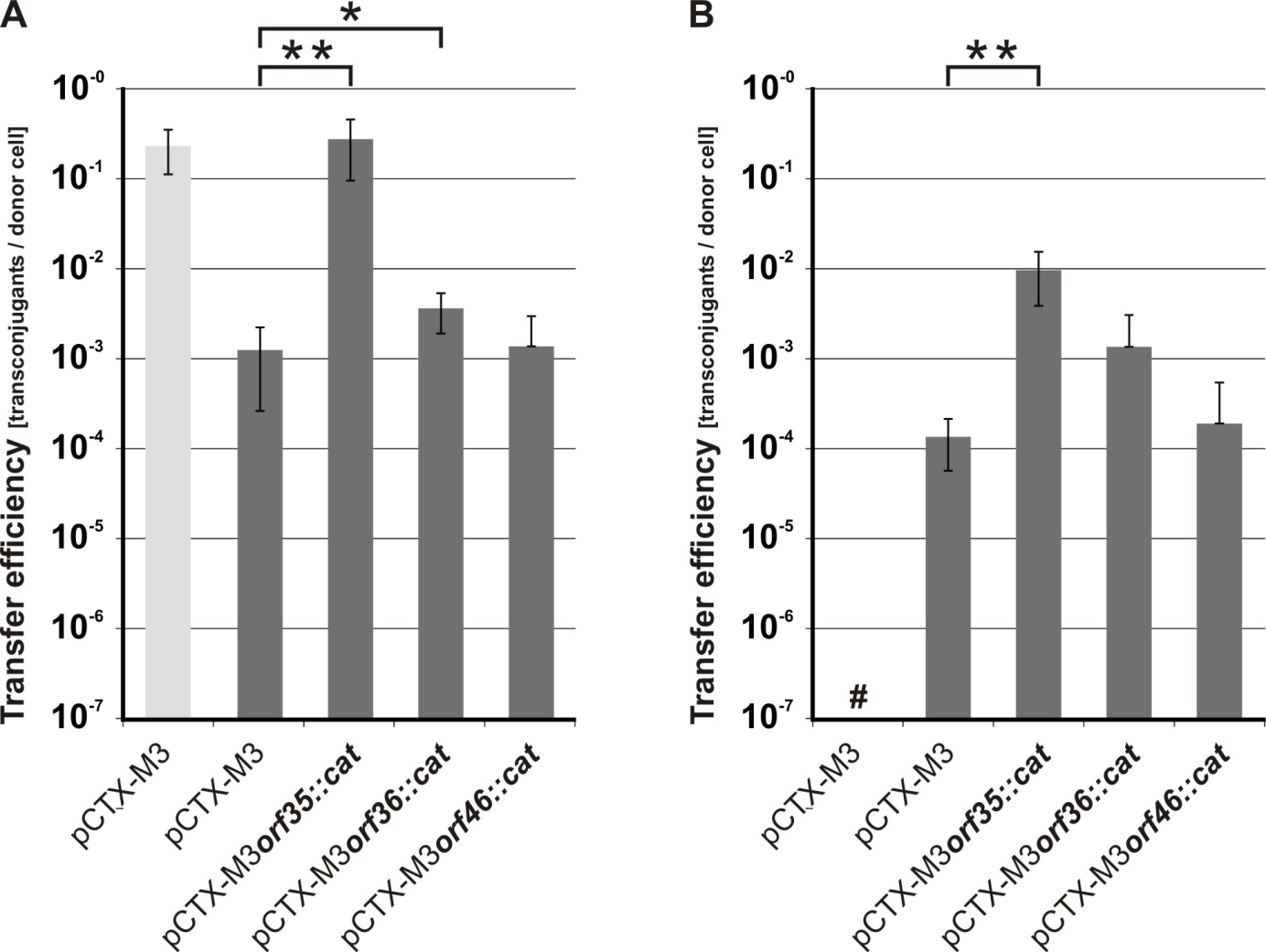
**Fig. 4. Conjugative transfer efficiency of pCTX-M3 derivatives with the *cat* gene eliminated.**

Conjugative transfer efficiency of pCTX-M3 (light grey) and pCTX-M3 derivatives (pCTX-M3*ΔnikB,* pCTX-M3*ΔtraP,* pCTX-M3*ΔtraW*, pCTX-M3*ΔtraY*, and pCTX-M3*Δpri*)complemented with plasmids bearing the appropriate genes (dark grey) in filter mating. *vec* empty vector, **#** undetectable transfer (< 10-7). Each result is the mean of at least five experiments. Error bars indicate the SD.

****

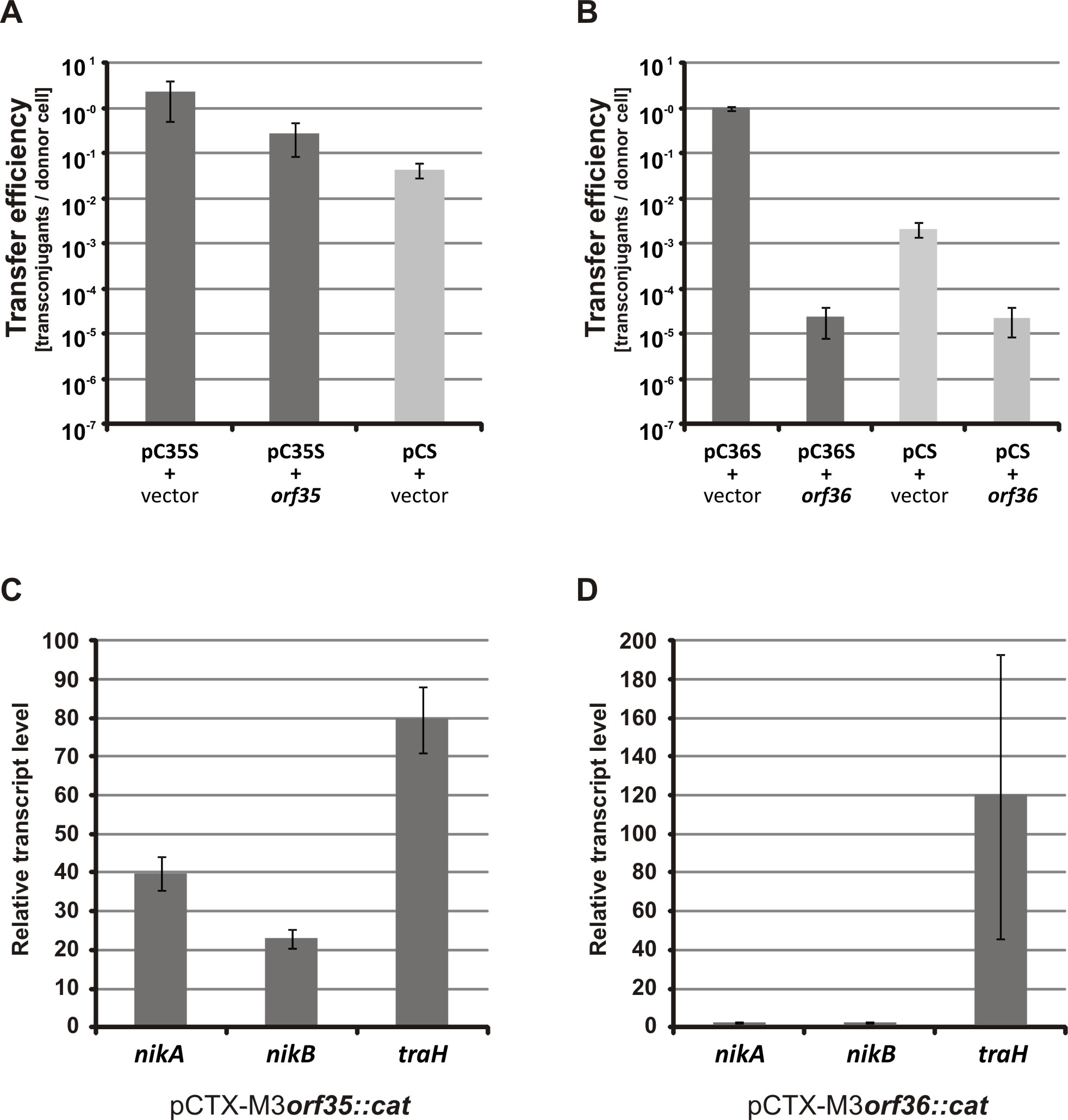
**Fig. 5.** **Mobilization efficiency of pToriT for different pCTX-M3 derivatives.**

Conjugative transfer efficiency of pCTX-M3 (light grey) and mobilization efficiencies of pToriT (dark grey) by pCTX-M3 or its deletion derivatives pCTX-M3*orf35::cat*, pCTX-M3*orf36::cat* and pCTX-M3*orf46::cat* as helper plasmids into recipient *E. coli* (A) and *A. tumefaciens* (B) cells. **#** undetectable transfer (< 10-7). Transconjugants with pCTX-M3 were selected on gentamicin; those with pToriT, on kanamycin. Each result is the mean of six experiments. Error bars indicate the SD. \* *P* < 0.05, \*\* *P* < 0.01



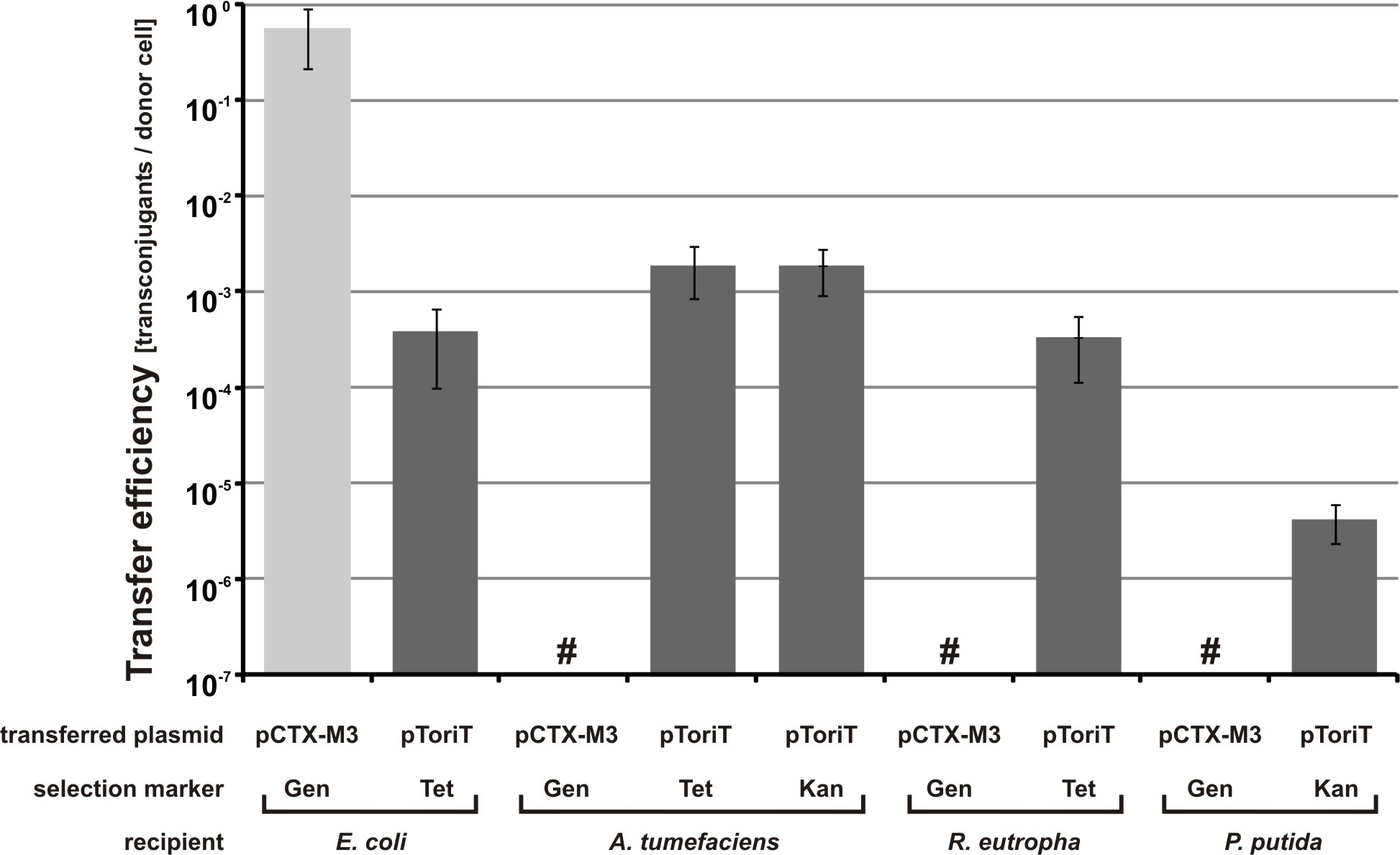
**Fig. 6. Effect of *orf35* and *orf36* on the efficiency of pABB20oriT mobilization.**

Mobilization efficiency of pABB20oriT into *E. coli* recipient cells by respective helper plasmids: pCS (pCTX-M3 devoid of all antibiotic resistance genes except *bla*TEM-1 and *bla*CTX-M-3) (light grey) and its derivatives (A) pC35S (dark grey) and (B) pC36S (dark grey) in the presence of pAL3 (vector), pAL*orf35* (*orf35*)or pAL*orf36* (*orf36*). Relative transcript levels of *nikA*, *nikB* and *traH* in *E. coli* strains bearing pCTX-M3 deletion derivatives: pCTX-M3*orf35::cat* (C) or pCTX-M3*orf36::cat* (D). The transcript levels were normalized to those in cells bearing intact pCTX-M3. Each result is the mean of at least four experiments. Error bars indicate the SD.

****

**Fig. 7**. **Host ranges of the pCTX-M3 replicon and conjugation system.**

Conjugative transfer efficiency of pCTX-M3 (light grey) and mobilization efficiency of pToriT (dark grey) from *E. coli* DH5α (pCTX-M3, pToriT) into *E. coli*, *A. tumefaciens*, *R. eutropha* and *P. putida* as recipients. Each result is the mean of at least three experiments. **#** undetectable transfer (< 10-7). Error bars indicate the SD.



**Tables**

**Table 1. Bioinformatic analysis of the predicted proteins encoded in the transfer region of pCTX-M3.**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Protein | Size1 | MW2  (kDa) | pI3 | SP­4 | TMH5 | Motif6 found/putative function | Homologues7 in IncI1 plasmid and  *Legionella pneumophila* (*L. pn.*) *dot/icm*  (% aa sequence identity/% aa sequence similarity) |
| Orf35 | 121 | 9.0 | 13.57 | no | no |  | aa 6-108 (32 /53) to YggA of R64 |
| NikA | 105 | 11.6 | 9.41 | no | no | helix-turn-helix motif/nickase accessory protein | aa 3-101 (30/62) to NikA of R64 |
| NikB | 658 | 75.1 | 9.53 | no | no | relaxase domain/ nickase (Rlx)8 | aa 1-388 (31/48) to NikB of ColIb-P9 |
| TraH | 166 | 18.7 | 9.77 | yes | 1 | lipoprotein9/ CTS | aa 70-157 (45/65) to TraH of ColIbP9 |
| TraI | 259 | 29.2 | 9.33 | yes | 1 | / CTS | aa 29-258 (48/65) to TraI of ColIb-P9  aa 3-258 (28/46) to DotC of *L. pn.* |
| TraJ | 387 | 43.3 | 6.05 | no | 1 | GSPIIE domain with Walker motifs A and B / ATPase VirB11-like (TivB11)8 | aa 11-369 (40-63) to TraJ of ColIb-P9  aa 11-377 (31/51) to DotB of *L.pn.* |
| TraK | 86 | 10.3 | 10.55 | no | 2 |  | aa 6-82 (41/61) to TraK of ColIb-P9  aa 6-80 (25/45) to IcmT of *L. pn.* |
| Pri | 1070 | 117.6 | 5.00 | no | no | /primase (Pri)8 | aa 4-556 (30/45) and aa 389-954 (23/39) to SogL of R64 |
| TraL | 170 | 17.9 | 6.27 | yes | 1 |  | aa 14-114 (33/58) to TraL of ColIb-P9 |
| Orf36 | 221 | 25.0 | 9.41 | no | 3 |  | None |
| TraM | 260 | 29.5 | 5.72 | no | 1 | / VirB8-like (TivB8)8 | aa 53-254 (26/53) to TraM of ColIb-P9  aa 73-257 (31/53) to DotI (IcmL) of *L.pn.* |
| TraN | 383 | 40.7 | 5.97 | yes | 1 | / CTS | aa 119-382 (45/58) to TraN of ColIb-P9  47-381 (29/44) to DotH (IcmK) of *L. pn.* |
| TraO | 449 | 47.6 | 5.90 | no | 1 | VirB10 domain / CTS VirB10-like (TivB10)8 | aa 4-419 (30/45) to TraO of ColIb-P9  aa 208-390 (41/50) to DotG (IcmE) of *L. pn* |
| TraP | 234 | 24.7 | 9.20 | no | 1 | / CTS | aa 22-234 (23/40) to TraP of ColIb-P9 |
| TraQ | 176 | 18.5 | 8.49 | no | 3 | / pilin | aa 32-174 (35/54) to TraQ of ColIb-P9  aa 5-172 (25/43) to DotE (IcmC) of *L. pn.* |
| TraR | 129 | 13.5 | 9.72 | yes | 3 | / pilin | aa 12-127 (26/47) to TraR of ColIb-P9 |
| Orf38 | 164 | 18.9 | 6.96 | no | no |  | None |
| TraU | 1016 | 114.1 | 6.24 | no | 3 | Walker motifs A and B / ATPase VirB4-like (TivB4)8 | aa 1-1006 (35/56) to TraU of ColIb-P9  aa 27-1008 (28/47) to DotO (IcmB) of *L. pn.* |
| TraW | 402 | 43.3 | 8.58 | yes | 3 |  | aa 14-401 (36/55) to TraW of ColIb-P9 |
| TraX | 216 | 24.1 | 9.23 | no | 3 |  | aa 92-201 (31/48) to TraX of ColIb-P9 |
| TraY | 726 | 78.3 | 5.58 | yes | 7 | / Entry Exclusion system | aa 4-725 (37/55) to TraY of ColIb-P9  aa 4-217 (25/45) and aa 526-683 (25/46) to DotA of *L. pn.* |
| ExcA | 217 | 25.4 | 9.28 | yes | 3 | / Entry Exclusion system | aa 55-132 (31/47) to ExcA of ColIb-P9 |
| Orf46 | 169 | 19,2 | 9.79 | no | no | transcriptional regulator of ROS / MUCR superfamily | None |
| TrbC | 695 | 79.5 | 5.08 | no | 3 | Walker motifs A and B / CP (Cpl)8 | aa 29-609 (34/52) to TrbC of ColIb-P9  aa 107-599 (30/50) to DotL (IcmO) of *L. pn.* |
| TrbB | 338 | 37.4 | 9.10 | no | 1 | thioredoxin-like domain / | aa 121-256 (37/47) to TrbB of ColIb-P9 |
| TrbA | 435 | 49.1 | 6.43 | no | 3 | / CP (Cpl)8 complex | aa 13-124 (41/ (57) to TrbA of ColIb-P9  aa 80-368 (24/44) to DotM (IcmP) of *L. pn.* |
| TrbN | 131 | 14.7 | 9.14 | no | no | lytic transglycosylase signature / Slt8 | None |

1 aa

2 molecular weight calculated with the use of the ProtParam tool of Expasy

3 pI calculated with the use of the ProtParam tool of Expasy

4 SP, signal peptide determined with the use of SignalP v.2.0

5TMH, transmembrane helices determined with the use of TMPred

6 determined with MotifScan,

7 determined with BLASTp

8 protein name according to (51)

9 determined with LipoP

CP – coupling protein, CTS – core transmembrane subcomplex

**Table 2. Strains and plasmids used in the study**

|  |  |  |  |
| --- | --- | --- | --- |
| **Name** | **Relevant feature or construction description** | | **Source** |
| *Escherichia coli* | DH5α | *ϕ*80 *lacZ*ΔM15 *deoR endA1 gyrA96 hsdR17 recA1 relA1 supE44 thi-1* Δ(*lacZYA argF*)*U169* | (52) |
|  | BW25113 | *Δ(araD-araB)567 Δ(rhaD-rhaB)568 ΔlacZ4787 (::rrnB-3) hsdR514 rph-1* | (27, 53) |
|  | JE2571 | *leu, thr, thi, lacY, thy, pil, fla* | (25) |
|  | JE2571RifR | JE2571 selected on LB + rifampicin | This work |
| *Agrobacterium tumefaciens* | LBA1010 | RifR | (54) |
| *Pseudomonas putida* | KT2442 | RifR | (55) |
| *Ralstonia eutropha* | JMP228 | RifR, *gfp,* KmR | (56) |
| pCTX-M3 | IncMplasmid, 89468 bp, ApR, PiR, Azt R, Caz R, CftR, KmR, GenR, ToR | | (14, 15) |
| pACYC184 | vector (*oriV*P15A, TcR, CmR) | | (57) |
| pAL3 | pUC18 *Bst*UI fragment containing the *lacZ* gene and MCS, cloned into *Sca*I*-Pvu*II pACYC184 (*oriV*P15A, TcR) | | This work |
| pBBR1 MCS-2 | vector, (*oriV*pBBR1, *oriT*RK2, KmR) | | (58) |
| pKD3 | template for generation of the PCR products used in gene disruption, *pir*-dependent replicon (*oriV*R6Kγ, ApR, CmR) | | (27) |
| pKD46 | λRed recombinase expression plasmid, *repA101*(ts), (*oriV*R101, ApR) | | (27) |
| pCP20 | FLP recombinase expression plasmid, *repA101*(ts), (*oriV*R101, ApR, CmR) | | (59) |
| pMT5 | pACYC184 *Ssp*I*-Msc*I fragment containing a gene for TcR cloned into *Dra*I*-Ssp*IpUC18, (*oriV*pMB1, TcR) | | This work |
| pUC18 | cloning vector, (*oriV*pMB1, ApR) | | (60) |
| pABB20 | cloning vector, (*oriV*RA3, KmR) | | (61) |
| pOriT | *oriTp*CTX-M3 (nucleotides 31616-31721) cloned into the pUC18-derived pMI3 vector, (*oriV*pMB1, CmR) | | (14) |
| pALoriT | pOriT *Eco*RI*-Pst*I fragment containing *oriT*pCTX-M3 cloned into *Eco*RI*-Pst*I pAL3, (*oriV*p15A, TcR) | | This work |
| pBBToriT | pALoriT *Xba*I*-Pvu*I fragment containing the tetracycline resistance gene and *oriT*pCTX-M3 cloned into *Pvu*I*-Xba*I pBBR1 MCS-2, (*oriV*pBBR1, TcR) | | This work |
| pToriT | pBBToriT derivative, fragment *Bsa*I*-Bst*1107I with *MOB*RK2 removed (*oriV*pBBR1, KmR, TcR) | | This work |
| pABB20oriT | pOriT *Bam*HI-*Pst*I (blunted) fragment containing *oriT*pCTX-M3 clonedinto *Bam*HI-*Pst*I pABB20, (*oriV*RA3, KmR) | | This work |
| pHS11 | pCTX-M3 derivative containing *Sex*AI-*Sna*BI (nucleotides 36645-40568) and *Nru*I-*Hin*dIII (nucleotides 51663-58653) fragments | | This work |
| pCS | pCTX-M3 largest SalI fragment (nucleotides: 1- 595520 and 79940-89468) self-ligated, CftR | | This work |
| pC35S | pCTX-*M3orf35::cat* largest SalI fragment self-ligated, CftR, CmR | | This work |
| pC36S | pCTX-M3*orf36::ca*t largest SalI fragment self-ligated, CftR, CmR | | This work |

Azt – aztreonam, Cft – cefotaxime, Caz – ceftazidime, Gen – gentamicin, Pi – piperacillin, To – tobramycin, (ts) – thermosensitive replication