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10 **The roles of PCNA-SUMOylation, Mms2-Ubc13 and Rad5 in translesion**
11 **DNA synthesis in *Saccharomyces cerevisiae*.**

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34 **Abstract**

35 **Mms2, in concert with Ubc13 and Rad5, is responsible for polyubiquitination of**
36 **replication processivity factor PCNA. This modification activates recombination-like**
37 **DNA damage-avoidance mechanisms, which function in an error-free manner. Cells**
38 **deprived of Mms2, Ubc13 or Rad5 exhibit mutator phenotypes due to the channeling of**
39 **premutational DNA lesions to often error-prone translesion DNA synthesis (TLS). Here**
40 **we show that Siz1-mediated PCNA SUMOylation is required for the stimulation of this**
41 **TLS, despite the presence of PCNA monoubiquitination. The stimulation of spontaneous**
42 **mutagenesis by Siz1 in cells carrying *rad5* and/or *mms2* mutations is connected with the**
43 **known role of PCNA SUMOylation in the inhibition of Rad52-mediated recombination.**
44 **However, following UV irradiation, Siz1 is engaged in additional, as yet undefined,**
45 **mechanisms controlling genetic stability at the replication fork. We also demonstrate**
46 **that in the absence of PCNA SUMOylation, Mms2-Ubc13 and Rad5 may, independently**
47 **of each other, function in the stimulation of TLS. Based on this finding and on an**
48 **analysis of the epistatic relationships between *SIZ1*, *MMS2* and *RAD5*, with respect to**
49 **UV sensitivity, we conclude that PCNA SUMOylation is responsible for the functional**
50 **differences between the Mms2 and Rad5 homologs of *S. cerevisiae* and *S. pombe*.**

51

52 **Introduction**

53 Despite the continuous action of many DNA repair mechanisms, not all DNA lesions induced
54 by the environment and reactive species produced by cellular metabolism can be removed
55 before the onset of DNA replication. Stalling of the replication complex on such template
56 lesions represents a critical threat to cell viability. DNA damage-tolerance mechanisms permit
57 the completion of DNA replication without the removal of template DNA lesions blocking the
58 replication complex (Lawrence, 1994; 2007; Barbour and Xiao, 2003). One mechanism of
59 damage tolerance is translesion DNA synthesis (TLS) employing specialized DNA
60 polymerases. These polymerases are able to carry out nucleotide insertion opposite a damaged
61 base in the DNA template and elongate this non-canonical primer:template base pair by
62 inserting a few further nucleotides until DNA synthesis is taken over by the regular DNA
63 replicases. Budding yeast possesses three TLS polymerases: Pol eta, Pol zeta and Rev1.
64 Another mechanism active in DNA damage tolerance is the recombination-like DNA damage-
65 avoidance pathway (DDA), mediated by Rad5-Ubc13-Mms2. In this pathway, the replicating
66 polymerase avoids synthesis opposite damaged DNA by transient switching of the template,
67 from the damaged DNA strand to the intact newly synthesized strand of the sister chromatid

68 or another homologous DNA sequence, if available. The selection of which tolerance
69 mechanism is employed during a fork stalling event depends on the modification status of the
70 DNA replication processivity factor, PCNA (Hoege *et al.*, 2002). A trimer of PCNA forms a
71 sliding clamp on the DNA that binds DNA replicases and ensures their processive action
72 during DNA synthesis. Besides binding DNA polymerases, PCNA also coordinates the
73 functions of enzymes on the lagging DNA strand that are involved in the maturation of
74 Okazaki fragments (Kao and Bambara, 2003; Garg and Burgers, 2005). In response to DNA
75 damage, the Rad6/Rad18 complex monoubiquitinates Lys¹⁶⁴ of PCNA. It has been established
76 that TLS polymerases Pol eta and Rev1 have ubiquitin binding domains that recognize
77 ubiquitinated Lys¹⁶⁴ of PCNA (Bienko *et al.*, 2005). The attachment to monoubiquitinated
78 PCNA is required for TLS, and presumably positions the TLS polymerases in the replication
79 fork (Kannouche *et al.*, 2004; Friedberg *et al.*, 2005). In support of this presumption, it has
80 been reported that the binding of Rev1 to monoubiquitinated PCNA may influence the
81 processivity and specificity of DNA synthesis by this TLS polymerase (Wood *et al.*, 2007). It
82 has also recently been shown, using an *in vitro* reconstitution system, that monoubiquitination
83 of PCNA is required for efficient replacement of stalled replicative polymerase delta by Pol
84 eta (Zhuang *et al.*, 2008). These *in vitro* experiments provide a mechanistic basis for the
85 results of numerous genetic experiments indicating that monoubiquitination of PCNA
86 promotes TLS.

87 Monoubiquitinated PCNA can be further modified by the addition of a Lys⁶³-linked
88 polyubiquitin chain (Hofmann and Pickart, 1999). This polyubiquitination is mediated by a
89 Mms2-Ubc13 ubiquitin-conjugating complex, which functions in concert with RING E3
90 ubiquitin ligase Rad5. Rad5 is a multi-functional protein that interacts with Ubc13 and also
91 with Rad18 and PCNA, and carries DNA helicase activity (Blastyak *et al.*, 2007). Rad5-
92 Ubc13-Mms2-mediated polyubiquitination of PCNA turns on the recombination-like DDA
93 pathway. Although the precise mechanism of this pathway is yet to be defined, it is likely to
94 involve a copy choice-type of DNA synthesis using the daughter strand of the sister duplex as
95 the template for bypassing the lesion (Higgins *et al.*, 1976; Haracska *et al.*, 2004). It has been
96 shown that the ATP-dependent helicase activity of Rad5 is important in the process of
97 transient template switching (Blastyak *et al.*, 2007). Rad5-Ubc13-Mms2-controlled DDA
98 functions in an error-free manner and defect in any component of the polyubiquitination
99 complex causes a mutator phenotype, which reflects the interception of processing of template
100 damage by TLS performed by error-prone Pol zeta (Broomfield *et al.*, 1998; Brusky *et al.*,
101 2000).

102 In *S. cerevisiae*, the Lys¹⁶⁴ moiety of PCNA can not only be mono- and polyubiquitinated, it
103 may also be SUMOylated. In contrast to ubiquitination, SUMOylation of PCNA occurs at the
104 onset of S phase, independently of DNA damage, and is directed by the SUMO-conjugating
105 enzyme Ubc9 in concert with specific SUMO ligase, Siz1. In the spontaneous mutagenesis
106 observed in *rad18* mutants, in which Lys¹⁶⁴ of PCNA cannot be ubiquitinated, the mutagenic
107 DNA damage bypass mediated by Pol zeta is dependent on Siz1 (Stelter and Ulrich, 2003).
108 The mechanisms underlying this mutagenic pathway are poorly understood. On the other
109 hand, it has been demonstrated that PCNA SUMOylation inhibits homologous recombination
110 (HR) *via* stimulation of the recruitment of antirecombinogenic helicase Srs2 (Papouli *et al.*,
111 2005; Pfander *et al.*, 2005). This mechanism may aid replication by preventing unwanted
112 recombination. However, when Rad6-directed mechanisms of DNA lesion bypass are
113 inactivated, Rad52-dependent recombinational repair may provide a salvage pathway.
114 Although, consistently with this notion, deletion of *SRS2* and/or *SIZ1* has been shown to
115 suppress the lethal effects of DNA damaging treatments in *rad6* and *rad18* mutants (Friedl *et*
116 *al.*, 2001; Broomfield and Xiao, 2002; Pfander *et al.*, 2005), the nature of the interplay
117 between Rad52-dependent recombination repair and TLS is not clear.

118

119 In this study, we further examine the role of Siz1, the factor responsible for PCNA
120 SUMOylation, in TLS. We show that Siz1 promotes both spontaneous and UV-induced
121 mutator phenotypes in yeast strains proficient in PCNA monoubiquitination but defective in
122 Mms2, Ubc13 and/or Rad5. Surprisingly, our results also indicate that Mms2-Ubc13 and
123 Rad5 may, independently of one another, stimulate TLS in yeast cells devoid of Siz1. Finally,
124 presented results point to the functioning of Siz1 in a novel mechanism, which contributes to
125 the maintenance of genome stability during replication.

126

127 **Results**

128 **SUMOylation of PCNA stimulates the mutator phenotype caused by Mms2 deficiency.**

129

130 The mutator phenotype caused by disruption of the *MMS2* gene is explained by the
131 channeling of premutational DNA lesions to the often error-prone TLS pathway. Consistent
132 with this interpretation, the spontaneous mutator effect caused by the *mms2* mutation is
133 entirely dependent on error-prone TLS polymerase Pol zeta (Broomfield *et al.*, 1998; Xiao *et*
134 *al.*, 1999; Brusky *et al.*, 2000). Since it has been shown that Pol zeta-dependent mutagenesis

135 can be stimulated by Siz1-mediated PCNA SUMOylation (Stelter and Ulrich, 2003), we
136 examined whether this PCNA modification also stimulates the mutagenesis caused by Mms2
137 deficiency. The effect of disruption of *SIZ1* on the rate of *trp1-1* (*amber*) reversions and on
138 forward mutations leading to canavanine resistance (Can^R) was analyzed. In Mms2-deficient
139 yeast strains, the absence of PCNA SUMOylation caused decreases of 70% and 50% in *trp1-*
140 *1* reversions and Can^R forward mutations, respectively, (Fig.1 AB). The role of Siz1 in Pol
141 zeta-dependent mutagenesis in yeast cells devoid of PCNA monoubiquitination was
142 demonstrated by Stelter and Ulrich (2003). Yeast strains deficient in Mms2 lack PCNA
143 polyubiquitination, although monoubiquitination of PCNA is still detected (Hoegge *et al.*,
144 2002). Therefore, our results indicate that PCNA SUMOylation plays an important role in
145 mutagenesis occurring in yeast cells proficient in PCNA monoubiquitination.
146 The dependence of the mutator effect caused by Mms2 deficiency on both Pol zeta and PCNA
147 SUMOylation strongly suggests that this PCNA modification plays a role in the stimulation of
148 TLS. However, as it has been proposed that spontaneous lesion bypass (SLB) and TLS
149 induced by UV (or other exogenous mutagenic agents) may employ different mechanisms
150 (Minesinger and Jinks-Robertson, 2005), we examined the effect of *siz1* deletion on UV-
151 induced mutagenesis in Mms2-deficient strains. The absence of PCNA SUMOylation resulted
152 in a significant decrease in the levels of UV-induced *trp1-1* reversions and Can^R mutations in
153 the *mms2* strain (Fig.1 CD), confirming that despite the presence of PCNA
154 monoubiquitination, at least some TLS requires PCNA SUMOylation to proceed.

155

156 **Mms2-Ubc13 and Rad5 independently promote TLS in PCNA SUMOylation-deficient** 157 **cells**

158 To determine whether Siz1 activity is involved in mutagenesis in yeast cells specifically
159 lacking Mms2, or in those generally defective in PCNA polyubiquitination and DDA activity,
160 we examined the Siz1-dependence of the mutator effects connected with deficiencies of Rad5
161 and Ubc13. Both *ubc13*- and *rad5*-mediated spontaneous and UV-induced mutator effects
162 appeared to be significantly reduced by deletion of *SIZ1* (Table 1). This suggests that the
163 processing of DNA lesions by TLS in DDA-deficient strains is at least partially dependent on
164 PCNA SUMOylation. To further investigate the suggestion that DDA deficiency leads to a
165 mutator phenotype stimulated by PCNA SUMOylation, we examined the role of Siz1 in
166 spontaneous and UV-induced mutagenesis in three double mutants: *mms2ubc13*, *mms2rad5*
167 and *rad5ubc13*. Surprisingly, we found that both the spontaneous and the UV-induced
168 mutator phenotypes of *mms2rad5* or *ubc13rad5* double mutants were entirely alleviated in

169 cells lacking Siz1 (Fig.2 AB and S1 AB). The levels of UV-induced mutations in the triple
170 mutants *siz1mms2rad5* and *siz1ubc13rad5* were in fact even lower than those in yeast
171 proficient in DDA. The suppression effect of Siz1 deficiency on the mutator phenotype
172 conferred by the *mms2ubc13* double mutation was comparable with that established for *mms2*
173 and *ubc13* single mutants (Fig.2 C and S1 C). Therefore, on the one hand, we confirmed the
174 role of Siz1 in the stimulation of TLS when DDA is defective, but, on the other, we found that
175 Mms2, Ubc13 and Rad5 can stimulate TLS in PCNA SUMOylation-deficient strains. Mms2
176 and Ubc13 act in concert in this process, whereas Rad5 functions independently. Since each
177 of the investigated single mutations (*mms2*, *ubc13* and *rad5*) is sufficient to prevent
178 polyubiquitination of PCNA and in consequence inactivate DDA, the additivity of the
179 antimutator effects observed in *mms2rad5siz1* or *ubc13rad5siz1* triple mutants indicates that
180 other activities of Mms2-Ubc13 and Rad5, independent of PCNA ubiquitination, are
181 involved in the stimulation of TLS in yeast cells deficient in PCNA SUMOylation. To
182 establish if enzymatic activities of Rad5 are involved in stimulation of TLS, we compared the
183 effects of plasmids encoding wild type Rad5 and its variants Rad5-DE681,682AA (ATPase
184 deficient) or Rad5-CC914,917AA (defective in ubiquitin ligase activity) on frequencies of
185 *trp1-1* reversion in *ubc13rad5siz1* and *mms2rad5siz1* triple disruptants (Fig.3). The plasmid
186 born Rad5 defective in ATPase, as well as Rad5 defective in ubiquitin ligase activity, caused
187 a mutation frequency increase comparable to that resulting from activity of intact Rad5.
188 These results extend our previous conclusion, that Rad5 stimulates TLS independently of its
189 role in PCNA polyubiquitination, indicating that both Rad5 activities engaged in DDA are
190 dispensable in Rad5-mediated stimulation of TLS.

191

192 **Siz1 either stimulates or suppresses UV-induced mutagenesis depending on the activity** 193 **of Rad5, Mms2 and Rad52**

194 The most well characterized function of Siz1-mediated SUMOylation of PCNA is the
195 inhibition of homologous recombination (HR) during the S phase, *via* the recruitment of the
196 Srs2 helicase (Papouli *et al.*, 2005; Pfander *et al.*, 2005). Srs2 disrupts the Rad51
197 nucleoprotein filament, which is a crucial early recombinogenic intermediate (Krejci *et al.*,
198 2003; Veaute *et al.*, 2003). Inactivation of the Siz1/Srs2 antirecombinogenic mechanism
199 suppresses the UV sensitivity of yeast cells deficient in Rad6, in a Rad52-dependent manner.
200 The role of Rad52 indicates that HR may provide a salvage pathway for stalled replication
201 forks in cells deficient in Siz1-mediated PCNA SUMOylation (Schiestl *et al.*, 1990). By
202 analogy, it may be hypothesized that in absence of PCNA SUMOylation (*siz1*), some portion

203 of DNA lesions may be processed by HR in an error-free manner, whereas in Siz1-proficient
204 cells, the lesions are channeled to mutagenic TLS due to the inhibition of HR. To test this
205 hypothesis, we examined the role of Siz1 in modulating the level of error-prone TLS by
206 analyzing the dependence of spontaneous and UV-induced mutagenesis on Rad52 in yeast
207 cells carrying deletions of the genes involved in PCNA polyubiquitination, accompanied by
208 Siz1 deficiency. As anticipated, deletion of *RAD52* increased the levels of spontaneous
209 mutagenesis in *mms2siz1*, and *rad5siz1* double mutants as well as in the *mms2rad5siz1* triple
210 mutant (Fig.4 ACEG). These results confirmed that Siz1-mediated inhibition of the Rad52
211 salvage pathway is an important factor in the mutator phenotype in cells defective in DDA
212 (the interplay between DDA, HR and TLS is summarized in Fig. S2). However, the effects
213 on UV-induced mutagenesis were more complicated. Although the deletion of *RAD52* in
214 strains deficient in DDA (*mms2* and/or *rad5* mutants) and devoid of Siz1, caused an increase
215 in mutation frequency, the extent of these increases was surprisingly high (Fig.4 BDFH). The
216 induced mutation frequencies in *siz1mms2rad52* or *siz1rad5rad52* triple mutants significantly
217 exceeded those observed in the initial mutator strains deficient in DDA (*mms2* or/and *rad5*),
218 Rad52, or both (*mms2rad52*, *rad5rad52*). Since the mutator phenotypes of cells deficient in
219 HR and/or DDA completely depend on activity of Pol zeta, we checked whether Rev3, the
220 catalytic subunit of this TLS polymerase, is also required for hyper-mutator phenotypes of
221 *siz1mms2rad52* and *siz1rad5rad52* triple mutants. Deletion of the *REV3* gene in both
222 *siz1mms2rad52* and *siz1rad5rad52* triple mutants eliminated over 90% of spontaneous and
223 UV induced mutations. Namely, the frequencies of spontaneous mutations leading to TRP
224 prototrophy in *siz1mms2rad52rev3* and *siz1rad5rad52rev3* were 0.29×10^{-7} and 0.11×10^{-7} ,
225 respectively. The frequencies of UV induced mutations were 2.4×10^{-7} and 0.8×10^{-7} for
226 *siz1mms2rad52rev3* and *siz1rad5rad52rev3*, respectively. These results indicate that Pol zeta
227 activity is responsible for the hyper-mutator phenotype occurring in DDA and HR deficient
228 strains in response to Siz1 deficiency. This hyper-mutator phenotype cannot be explained by
229 switching off the antirecombinogenic activity of Siz1. We conclude that besides its function
230 in the inhibition of HR, Siz1 plays an additional unknown role in controlling genetic stability
231 in response to UV radiation. To further characterize this additional role of Siz1, we
232 investigated the effects of Siz1 and/or Rad52 deficiency on the UV sensitivity of cells
233 carrying *RAD5* and/or *MMS2* deletions (Fig.5). In agreement with the findings of a previous
234 study suggesting specific suppression of UV sensitivity, caused by DDA pathway defects, by
235 dysfunction of a Siz1/Srs2 anti-recombinogenic mechanism (Broomfield and Xiao, 2002), we
236 found that deletion of *SIZ1* largely suppressed the UV sensitivity of the *rad5* mutant.

237 Surprisingly, this suppression was not seen in cells defective in Mms2, and under the
238 experimental conditions employed, the *mms2siz1* double mutant was slightly but consistently
239 more sensitive than the *mms2* single mutant. This result indicates that *siz1*-mediated
240 suppression of UV sensitivity, caused by *rad5* mutation, is not related to the DDA deficiency
241 conferred by this mutation (since both *mms2* and *rad5* mutations result in DDA deficiency).
242 Intriguingly, we noticed that in the *siz1* background, UV sensitivity due to Rad5 deficiency
243 was similar to that caused by the absence of Mms2. This similarity, existing only in *Siz1*-
244 deficient cells of *S. cerevisiae*, resembles the situation in *S. pombe*, where PCNA is normally
245 not SUMOylated and *mms2* and *rad5* cause similar UV sensitivity (Frampton *et al.*, 2006).

246 Disruption of *RAD52* appeared to neutralize the suppression of *rad5*-mediated UV
247 sensitivity by *siz1*, in a manner similar to that seen in *siz1*-mediated suppression of UV
248 sensitivity in *rad18* mutants (Pfander *et al.*, 2005). However, the *siz1rad5rad52* and
249 *siz1mms2rad52* triple mutants and the *siz1mms2rad5rad52* quadruple mutant were
250 significantly more sensitive to UV radiation than *rad5rad52*, *mms2rad52* and
251 *mms2rad5rad52* mutants, respectively (Fig.5). These results are consistent with those of the
252 mutagenesis experiments, and support the notion that, besides its function in the anti-
253 recombinogenic pathway, *Siz1* plays an additional role in the defense against lesions blocking
254 the replication fork.

255

256 **Discussion**

257 This genetic analysis of the role of *Siz1* and proteins involved in homology-dependent
258 mechanisms of DNA damage tolerance (DDA and HR) in the modulation of Pol zeta-
259 dependent mutagenesis (spontaneous and UV-induced), challenges several aspects of the
260 current view of the roles of PCNA modifications in the stimulation of TLS.

261 First, our results postulate roles for both Rad5 and Mms2-Ubc13 in stimulating TLS in
262 the yeast *S. cerevisiae* (Fig.2 and S1). Although the well established function of Rad5 is
263 connected with error-free DDA, and deficiency of this protein increases the frequency of
264 spontaneous and UV-induced mutagenesis, an antimutator phenotype triggered by deletion of
265 *RAD5* has been reported for selected markers (Lawrence and Christensen, 1978; Johnson *et*
266 *al.*, 1992; Minesinger and Jinks-Robertson, 2005; Gangavarapu *et al.*, 2006). For one of these
267 markers, *arg4-17*, it appears that stimulation of UV-induced mutagenesis by Rad5 is
268 independent of its ubiquitin ligase and helicase activities as well as of the activity of Mms2-
269 Ubc13 (Gangavarapu *et al.*, 2006). It has also recently been shown that Rad5 is required for
270 DNA synthesis through the site specifically inserted AP site, cis-syn TT dimer, (6-4) TT

271 photoproduct and AAF adduct in a plasmid system (Pagès *et al.*, 2008). These findings
272 strongly suggest that Rad5 may promote TLS under certain physiological conditions.
273 However, for the majority of chromosomal mutagenesis markers investigated to date, the
274 TLS-stimulating activity of Rad5 could not be detected. The results of the present study point
275 to PCNA SUMOylation as a factor that suppresses the TLS-associated activity of Rad5. We
276 have shown that the antimutagenic activity connected with defective Rad5 can be detected in
277 *siz1* mutants deprived of PCNA SUMOylation.

278 The results of this study also indicate that besides Rad5, also Mms2 and Ubc13 are
279 involved in the stimulation of TLS in cells deprived of Siz1 SUMO ligase. The role of Mms2
280 in the stimulation of TLS in *S. cerevisiae* was previously unrecognized (Gangavarapu *et al.*,
281 2006; Pagès *et al.*, 2008). However, while this paper was in preparation, the results of a study
282 on TLS employing site specifically inserted DNA lesions in a plasmid system in *S. pombe*,
283 have been published, which indicate that both Mms2 and Ubc13 are required for Pol zeta-
284 dependent TLS in fission yeast (Coulon *et al.*, 2010). This finding is consistent with the
285 results of our experiments with *S. cerevisiae* deprived of Siz1. Intriguingly, one important
286 difference between budding and fission yeasts is that PCNA is not SUMOylated in the latter
287 (Ulrich, 2009). This supports our conclusion that PCNA SUMOylation suppresses the TLS-
288 stimulating activity of Rad5 and Mms2-Ubc13.

289 The findings of previous studies on *S. pombe* (Coulon *et al.*, 2010) and those of the
290 present study indicate that all three proteins involved in polyubiquitination of PCNA (Rad5,
291 Mms2 and Ubc13) are engaged in the stimulation of TLS. The similar effects of $\Delta rad5$,
292 $\Delta mms2$ and $\Delta ubc13$ on the efficiency of synthesis through the lesions site specifically inserted
293 into plasmid DNA, prompted Coulon *et al.* to suggest that whole polyubiquitinating complex
294 Rad5-Ubc13-Mms2 is required for TLS stimulation and, in consequence, that the PCNA
295 polyubiquitination plays stimulatory role in TLS. However, according to our epistatic
296 analysis, whereas Mms2 and Ubc13 function together (Fig.2 C and S1C), which strongly
297 suggests that the E2 activity of the Mms2/Ubc13 complex is important in stimulation of TLS,
298 Rad5 affects TLS independently of Mms2 and Ubc13 (Fig.2 AB and S1AB). Since
299 stimulation of TLS by Mms2-Ubc13 occurs in Rad5-deficient cells in which PCNA cannot be
300 polyubiquitinated, it may be assumed that Mms2-Ubc13-dependent polyubiquitination of
301 other than PCNA, currently unknown target favors Pol zeta-dependent TLS. Analogously, it
302 may be also assumed that separate function of Rad5, other than that involved in DDA, is
303 engaged in TLS stimulation (Fig.2 AB and Fig.3). The presence of Rad5 in stalled replication
304 forks, performing a role that is independent of its activity in DDA, may be due to its

305 interaction with both PCNA and Rad18 (Ulrich and Jentsch, 2000). A recent report indicates
306 that Rad5 also physically interacts with Rev1, which subsequently interacts with both Pol zeta
307 and monoubiquitinated PCNA (Pagès *et al.*, 2008). These interactions of Rad5 may stimulate
308 the recruitment of Pol zeta to stalled replication forks and reinforce Pol zeta-dependent TLS.

309 Since in our analysis, the new TLS stimulating activities of Rad5 and Ubc13-Mms2
310 have been shown in the context of DDA inactivation, resulting from the absence of Rad5-
311 Mms2-Ubc13 heterotrimer and PCNA polyubiquitination, we cannot formally exclude the
312 possibility, that Rad5-Mms2-Ubc13 heterotrimer and PCNA polyubiquitination, besides the
313 obvious roles in initiation of DDA, have also additional structural roles in modulation of
314 TLS. However, alternative possibility is, that Rad5-Mms2-Ubc13 heterotrimer and/or PCNA
315 polyubiquitination do not play any extra roles in TLS modulation and that occurrence of
316 unprocessed DNA lesions, due to elimination of DDA, is a sufficient condition to reveal the
317 new activities of Rad5 and Mms2-Ubc13 in stimulation of TLS.

318 On the other hand, since Rad5 (predominantly) and Mms2-Ubc13 (exclusively)
319 become important for TLS in *S. cerevisiae* lacking Siz1, it may be assumed that Siz1-
320 dependent stimulation of TLS somehow suppresses the requirement for Rad5 and Mms2-
321 Ubc13 in this process. This raises questions about the mechanism responsible for the Siz1-
322 mediated stimulation of TLS. It is well established that Siz1-dependent PCNA SUMOylation
323 causes inhibition of HR during S phase, *via* recruitment of the Srs2 helicase (Pfander *et al.*,
324 2005). Deletion of either *SRS2* or *SIZ1* suppresses the UV sensitivity of cells deficient in
325 Rad6 or Rad18 in a Rad52-dependent manner (Schiestl *et al.*, 1990; Pfander *et al.*, 2005). The
326 suppression effect of *siz1* on Pol zeta-dependent TLS analyzed in our experiments was also
327 found to be neutralized by deletion of *RAD52* (Fig.4 A). These data may be interpreted in a
328 manner analogous to that employed to explain previous results concerning UV sensitivity
329 (Schiestl *et al.*, 1990; Pfander *et al.*, 2005), i.e. in the absence of DDA activity, DNA lesions
330 are processed by error prone Pol zeta-dependent TLS in Siz1-proficient cells, while in Siz1-
331 deficient cells these lesions are channeled to error-free processing by HR, which results in a
332 decrease in mutation frequency. This scenario assumes competition between TLS and HR for
333 the substrate (Fig. S2). Following this assumption, the positive effect of Rad5 and/or Mms2-
334 Ubc13 on TLS may reflect either stimulation of TLS *per se* or some indirect effect *via*
335 inhibition of HR. The possibility that Rad5 and/or Mms2-Ubc13 could somehow be involved
336 in an HR inhibition, in redundancy to the Siz1-Srs2 pathway, seems especially puzzling in
337 light of the absence of Siz1 and Srs2 activities in the *S. pombe* and the human replication

338 forks (Ulrich, 2009). Some important questions about the mechanisms controlling HR activity
339 in replication forks in cells of these organisms remain unanswered.

340 Our results on the UV sensitivity of the analyzed mutants further support the notion
341 that the observed differences between the functions of Mms2 and Rad5 homologs in *S.*
342 *cerevisiae* and *S. pombe* result from the presence or absence, respectively, of PCNA
343 SUMOylation in these organisms. Surprisingly, we found that while Siz1 deficiency
344 suppresses the lethal effects of UV radiation in *rad5* mutants (Fig.5 B), it does not suppress
345 the UV sensitivity of *mms2* mutants (Fig.5 A). This finding challenges the idea that
346 suppression of genetic defects within the *RAD6* pathway, due to the absence of Srs2-mediated
347 HR inhibition, is related specifically to DDA. In previous investigations (Ulrich, 2001;
348 Broomfield and Xiao, 2002), the suppression of DNA damage-induced lethal effects by *srs2*
349 was obvious in *rad5* mutants, but the results were less clear cut for *mms2* mutants. Besides,
350 since Srs2 plays multiple functions in HR (Marini and Krejci, 2010), it is likely that, in
351 relation to events occurring at the DNA replication fork, the consequences of a lack of Siz1
352 are more specific than those resulting from Srs2 deficiency. In our experimental conditions, in
353 agreement to previous results (Gangavarapu *et al.*, 2006), UV sensitivity caused by *rad5* was
354 considerably more pronounced than that conferred by the *mms2* mutation in PCNA
355 SUMOylation-proficient cells of *S. cerevisiae*. However, the UV sensitivity of *siz1rad5* and
356 *siz1mms2* double mutants was similar (Fig.5 AB). This situation recalls the relationship
357 between Rad5 and Mms2 homologs in *S. pombe* cells, where PCNA is normally not
358 SUMOylated and the sensitivities of *mms2* and *rad5* mutants to UV radiation are similar
359 (Frampton *et al.*, 2006). Consistent with the finding that Mms2-Ubc13 and Rad5 can
360 stimulate Pol zeta-dependent TLS in *S. cerevisiae* defective in Siz1, these results further
361 support the conclusion that the observed differences in the functions of Mms2 and Rad5
362 between budding and fission yeasts are due to the presence or absence of PCNA
363 SUMOylation in these organisms.

364 Finally, the results of the present study show that Siz1 protects against hyper-
365 sensitivity to both the lethal and mutagenic effects of UV radiation when deficiencies in
366 Mms2 and Rad5 are accompanied by *rad52*. This finding supports an earlier suggestion
367 (Pfander *et al.*, 2005), that besides its participation in antirecombinogenic mechanisms, Siz1
368 plays an additional, currently undefined, role in the replication fork of *S. cerevisiae*.

369

370 **Experimental procedures**

371 *Yeast strains and plasmids*

372 The haploid *S. cerevisiae* strains used in mutagenesis and survival experiments are derivatives
373 of *S. cerevisiae* C10-15a (McDonald *et al.*, 1997) or WCG4a (Heinemeyer *et al.*, 1997) and
374 are listed in Table 2. Targeted gene disruptions were performed *via* direct transformation of
375 yeast cells with PCR-amplified disruption cassettes. The desired integrants were verified by
376 PCR and subsequent analysis of the respective DNA repair phenotypes. The *mms2::kanMX4*
377 cassette was prepared as described previously (McIntyre *et al.*, 2007). The strain YJM67
378 carrying *mms2::HIS3* was constructed using *in vivo* cassette replacement, by transformation
379 of YAS98 carrying *mms2::kanMX4* with plasmid M4754 (Voth *et al.*, 2003) encoding
380 *kanMX::HIS3*, and selection for histidine prototrophs sensitive to G418. The *mms2::HIS3*
381 cassette used in further constructions was amplified by PCR from DNA isolated from YJM67,
382 using previously described primers (McIntyre *et al.*, 2007). The *rad5::HIS3* cassette was
383 amplified by PCR from DNA isolated from C22-16Aa, using primers
384 5'TGAAAAGAAGTTGAGTGAAA^{3'} and 5'CTGAGGATAAAAGTGAA^{3'}. The *siz1::kanMX4*
385 cassette was produced as described by McIntyre *et al.* (2006). To produce the *siz1::URA3*
386 cassette, YAS305 was constructed with the “marker-swap” plasmid M4758 (Voth *et al.*,
387 2003), with selection for uracil prototrophs sensitive to G418. DNA of this strain was used in
388 PCR with the same primers employed for the amplification of *siz1::kanMX4* to produce the
389 *siz1::URA3* cassette. The *rad52::LEU2* cassette was constructed using 70-mer primers
390 representing sequences complementary to the 5' and 3' ends of the *RAD52* ORF
391 (corresponding to positions -48—+2 for the upper primer, and +1414—+1463, for the lower
392 primer) fused to sequences complementary to DNA flanking the *LEU2* marker in vector
393 pRS315 (Sikorski and Hieter, 1989). These primers were used in a PCR with DNA of pRS315
394 as the template. The *Ubc13::kanMX4* cassette was produced by PCR with DNA isolated from
395 BY4741 *ubc13* (Euroscarf), using primers recommended by Euroscarf. *rev3::natMX4* cassette
396 was produced as previously described (Halas *et al.*, 2009). Centromeric plasmids carrying
397 *Rad5*, *rad5-DE681,682AA* or *rad5-CC914,917AA* were kindly provided by L. Prakash
398 (Gangavarapu *et al.* 2006).

399 *Spontaneous mutagenesis assay*

400 To determine the *trp1-1* reversion frequency, yeast strains were cultured at 30°C to
401 logarithmic growth phase (OD₆₀₀ 0.8) in minimal YNBG media supplemented according to
402 their nutritional requirements, as described previously (Mieczkowski *et al.*, 2000). The
403 number of mutant cells was estimated by plating 100 µl of the undiluted cultures on minimal
404 plates supplemented with all nutritional requirements except tryptophan. For the detection of
405 Can^R forward mutants, cultures were plated on complete minimal medium (lacking arginine)

406 containing 30 µg/ml L-canavanine sulfate (Sigma). Mutant colonies were counted following
407 incubation of the plates for 4–5 days at 30°C. To estimate the number of colony forming units,
408 serial dilutions of the cultures were plated on fully supplemented minimal plates and
409 incubated at 30°C for 2–3 days. The frequency of mutations in each culture was calculated as
410 the ratio of the TRP revertant or Can^R mutant count to the viable cell number. Data from at
411 least 30 independent cultures in 3–6 independent experiments were used for each rate
412 determination. At least two independently isolated strains of each genotype were used in the
413 assays. *P*-values for statistical differences of mutant frequencies between analyzed strains
414 were determined using the Mann–Whitney criterion (Sokal and Rolf, 1981).

415
416

417 *Determination of UV radiation sensitivity and UV-induced mutagenesis.*

418 To determine the UV sensitivity of yeast strains they were cultured to logarithmic growth
419 phase in supplemented minimal medium at 30°C, as described above. The cells were then
420 plated in triplicate, at different dilutions, onto supplemented minimal YNBG plates and
421 immediately exposed to specified doses of UV radiation (254 nm) using a UV crosslinker
422 (UVP model CL-1000). The plates were incubated in the dark for 2–3 days at 30°C and then
423 colony forming units were counted. To determine the frequency of UV-induced mutagenesis,
424 the assay was performed in a similar manner except that undiluted cell cultures were plated, in
425 triplicate, onto plates containing all requirements but tryptophan or supplemented with 30
426 µg/ml canavanine sulfate. The mutant frequency was calculated by dividing the TRP⁺ or Can^R
427 mutant count by the viable cell number. The results represent the arithmetic means (for Trp⁺
428 reversion) or medians (for Can^R mutation) from 3–6 separate experiments, with 8–10
429 independent cultures of each genotype in each experiment.

430

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435

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565 **Table 1.** Mutator phenotypes conferred by Rad5 or Ubc13 deficiency are stimulated by Siz1.

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relevant genotype	UV dose (J/m ²)	Trp ⁺		Can ^R	
		mutations ^a x 10 ⁻⁷	fold induction	mutations ^b x 10 ⁻⁶	fold induction
wt	-	0.33 (0.04)	1.0	1.12	1.0
	5	2.89 (0.66)	1.0	8.62	1.0
siz1	-	0.46 (0.06)	1.4	1.06	0.9
	5	3.44 (0.6)	1.2	8.63	1.1
rad5	-	1.67 (0.16)	5.0	6.38	5.7
	5	12.67 (2.04)	4.4	20.00	2.3
rad5siz1	-	0.55 (0.08)	1.7	3.78	3.3
	5	3.78 (1.06)	1.3	11.64	1.4
ubc13	-	2.08 (0.56)	6.3	3.86	3.4
	5	5.93 (0.67)	2.1	15.50	1.8
ubc13siz1	-	0.86 (0.16)	2.6	1.63	1.5
	5	2.32 (0.52)	0.8	8.90	1.0

^a Mean value of three to five independent experiments (SD).

^b Median value of 20–25 independent cultures in at least three separate experiments. *P*-values for statistical differences of mutant frequencies between *rad5* and *rad5siz1* as well as *ubc13* and *ubc13siz1* were < 0.05

598 **Table 2.** *Saccharomyces cerevisiae* strains

Strain	Relevant genotype	Source
C10-15a	<i>MATa ade2-1 can1-100 his3-11, 15 leu2-3, 112 trp1-1 ura3-1 RAD5</i>	McDonald <i>et al.</i> (2000)
YAS305	C10-15a <i>siz1::URA3</i>	This study
YAS98	C10-15a <i>siz1::kanMX4</i>	McIntyre <i>et al.</i> (2006)
C22-16Aa	C10-15a <i>rad5::HIS3</i>	McDonald <i>et al.</i> (2000)
YAS43	C10-15a <i>mms2::kanMX4</i>	This study
YJM67	C10-15a <i>mms2::HIS3</i>	This study
YAS40	C10-15a <i>ubc13::kanMX4</i>	This study
YAH76	C10-15a <i>rad52::LEU2</i>	This study
YAS285	C10-15a <i>mms2::kanMX4 rad5::HIS3</i>	This study
YAH51	C10-15a <i>mms2::HIS3 ubc13::kanMX4</i>	This study
YAS308	C10-15a <i>ubc13::kanMX4 rad5::HIS3</i>	This study
YAS100	C10-15a <i>siz1::kanMX4 rad5::HIS3</i>	This study
YJD24	C10-15a <i>siz1::URA3 rad5::HIS3</i>	This study
YJM72	C10-15a <i>mms2::HIS3 siz1::URA3</i>	This study
YAS295	C10-15a <i>mms2::kanMX4 siz1::URA3</i>	This study
YAH41	C10-15a <i>siz1::URA3 ubc13::KanMX4</i>	This study
YAH75	C10-15a <i>siz1::URA3 rad52::LEU2</i>	This study
YAH70	C10-15a <i>rad5::HIS3 rad52::LEU2</i>	This study
YAH66	C10-15a <i>mms2::kanMX4 rad52::LEU2</i>	This study
YAS297	C10-15a <i>mms2::kanMX4 rad5::HIS3 siz1::URA3</i>	This study
YAS309	C10-15a <i>ubc13::kanMX4 siz1::URA3 rad5::HIS3</i>	This study
YAH52	C10-15a <i>mms2::HIS3 siz1::URA3 ubc13::kanMX4</i>	This study
YAH61	C10-15a <i>mms2::kanMX4 siz1::URA3 rad52::LEU2</i>	This study
YMP3	C10-15a <i>mms2::kanMX4 siz1::URA3 rad52::LEU2</i>	This study
	<i>rev3::NatMX4</i>	
YAH67	C10-15a <i>mms2::kanMX4 rad5::HIS3 rad52::LEU2</i>	This study
YAH82	C10-15a <i>rad5::HIS3 siz1::URA3 rad52::LEU2</i>	This study
YZG6	C10-15a <i>rad5::HIS3 siz1::URA3 rad52::LEU2</i>	This study
	<i>rev3::NatMX4</i>	
YAH80	C10-15a <i>mms2::kanMX4 rad5::HIS3 siz1::URA3</i>	This study

632		<i>rad52::LEU2</i>	
633	WCG4a	<i>MATa ura3 leu2-3, 112 his3-11,</i>	Heinemayer <i>et al.</i> (1997)
634		<i>15 rad5-535 GAL2</i>	
635	YAH7	WCG4a <i>siz1::kanMX4</i>	McIntyre <i>et al.</i> (2006)
636	YJM40	WCG4a <i>siz1::URA3</i>	This study
637	YJM26	WCG4a <i>rad5::HIS3</i>	This study
638	YJM56	WCG4a <i>mms2::kanMX4</i>	McIntyre <i>et al.</i> (2007)
639	YJD23	WCG4a <i>mms2::kanMX4 rad5::HIS3</i>	This study
640	YAH59	WCG4a <i>mms2::HIS3</i>	This study
641	YAP11	WCG4a <i>mms2::HIS3 siz1::URA3</i>	This study
642	YAH57	WCG4a <i>ubc13::kanMX4</i>	This study
643	YAH60	WCG4a <i>ubc13::kanMX4 mms2::HIS3</i>	This study
644	YAH54	WCG4a <i>ubc13::kanMX4 mms2::HIS3 siz1::URA3</i>	This study
645	YAH42	WCG4a <i>ubc13::kanMX4 rad5::HIS3</i>	This study
646	YAH46	WCG4a <i>ubc13::kanMX4 rad5::HIS3 siz1::URA3</i>	This study
647	YAS118	WCG4a <i>rad5::HIS3 siz1::kanMX4</i>	This study
648	YJD22	WCG4a <i>rad5::HIS3 siz1::URA3</i>	This study
649	YAH53	WCG4a <i>ubc13::kanMX4 siz1::URA3</i>	This study
650	YJD21	WCG4a <i>rad5::HIS3 mms2::KanMX4 siz1::URA3</i>	This study
651	YJD13	WCG4a <i>mms2::KanMX4 siz1::URA3</i>	This study
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654 **Figures Legends**

655

656 Figure 1. The mutator phenotype of Mms2-deficient *S. cerevisiae* is modulated by Siz1.
657 Spontaneous (AB) and UV-induced (CD) mutagenesis in *mms2* derivatives of C10-15a
658 (YAS43) (AC) and WCG4a (YJM56) (BD). *trp1-1* (*amber*) reversions (AC) are mean values
659 \pm SD (error bars) from 3-5 independent experiments. Forward mutations leading to
660 canavanine resistance (BD) are median values from 20-30 independent cultures of each strain
661 in three separate experiments. *P*-values for statistical differences of mutant frequencies
662 between investigated strains (with the exception of difference between *WT* and *siz1*) were <
663 0.05

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666 Figure 2. The effect of *siz1* on spontaneous and UV-induced reversion of *trp1-1* in yeast
667 strains carrying double-deletions in genes involved in polyubiquitination of PCNA. Results of
668 4-6 separate experiments. Bars indicate standard deviations from the mean value.

669

670 Figure 3. Analysis of the roles of ATPase and ubiquitin ligase activities of Rad5 in
671 stimulation of TLS. Frequency of spontaneous and UV-induced reversion of *trp1-1* in
672 *rad5ubc13siz1*(A) and *rad5mms2siz1*(B) triple disruptants transformed with derivatives of
673 YCplac111 encoding Rad5 (pR5-28), Rad5-DE681,682AA defective in ATPase activity
674 (pR5-30) or Rad5-CC914,917AA defective in ubiquitin ligase activity (pR5-19). Results of 3
675 separate experiments. Bars indicate standard deviations from the mean value.

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677 Figure 4. Deletion of *RAD52* neutralizes the antimutagenic effect of *siz1* in cells deficient in
678 DDA. Frequency of spontaneous (ACEG) and UV-induced (BDFH) mutagenesis. Results of
679 4-6 separate experiments. Bars indicate standard deviations from the mean value.

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681 Figure 5. Rad52 and Siz1 modulate the UV sensitivity of strains deficient in DDA: *mms2* (A),
682 *rad5* (B) and *mms2rad5* (C) derivatives of C10-15a. Results are the means from three to five
683 experiments with bars indicating standard deviations.