

1 **Sequence diversity and potential recombination events in the coat protein**
2 **gene of Apple stem pitting virus**

3 **Beata Komorowska¹, Paweł Siedlecki², Szymon Kaczanowski³, Beata Hasiów-**
4 **Jaroszewska⁴ and Tadeusz Malinowski¹**

5 ¹Department of Plant Protection, Research Institute of Horticulture, Konstytucji 3
6 Maja 1/3, 96-100 Skierniewice, Poland

7 ² Department of Bioinformatics, Institute of Biochemistry and Biophysics, Polish
8 Academy of Sciences, Pawińskiego 5a, 02-106 Warsaw, Poland

9 ³Department of Plant Molecular Biology, Institute of Experimental Plant Biology,
10 University of Warsaw, Miecznikowa 1, 02-096 Warsaw, Poland

11 ⁴Department of Virology and Bacteriology, Institute of Plant Protection-National
12 Research Institute, Węgorza 20, 60-318 Poznań, Poland

13
14 **SUMMARY**

15 The variability of the *Apple stem pitting virus* (ASPV) coat protein (CP)
16 gene was investigated. The CP gene of ten virus isolates from apple and pear trees
17 was sequenced. Comparison of all sequenced virus isolates revealed high diversity
18 of the CP gene (70.7-93.5 % at the nucleotide level and 77.8-98.7 % at the amino
19 acid level). Additionally, one or two deletions in the N-terminal part of the coat
20 protein gene of the studied virus isolates were identified. The ratio of
21 nonsynonymous to synonymous polymorphic sites indicated that purifying
22 selection has acted to eliminate deleterious mutations in coding sites. Moreover,
23 the evidences for recombination in analyzed sequences were provided. It is likely
24 that recombination, along with selection, enhances the speed of elimination of
25 deleterious mutations in ASPV, following the mutational deterministic hypothesis
26 of Kondrashov.

27
28 **Key words:** ASPV, sequence diversity, selection, recombination

29
30 *Apple stem pitting virus* (ASPV), a member of *Foveavirus* genus in the family
31 *Betaflexiviridae* (Martelli et al., 2007), is one of the most common viruses of apple and
32 pear trees worldwide. ASPV causes xylem pits in the stem of *Malus pumila* Virginia Crab
33 as well as epinasty and decline of *Malus domestica* Spy 227, but remains symptomless in
34 apple cultivars (Nemeth, 1986). This virus is also identified as a causal agent of pear vein

35 yellows disease (Schwarz and Jelkmann, 1998). There is no known insect vector for this
36 virus (Martelli and Jelkmann, 1998). The ASPV is a filamentous virus with the positive-
37 sense, single-stranded RNA forming 15 nm wide and 800 nm long particles (Koganezawa
38 and Yanase, 1990). The previous results of the genetic diversity of ASPV isolates showed
39 that nucleic acid identity among coat protein (CP) gene sequences was found to be
40 between 81.7 %-99.3 % (Schwarz and Jelkmann, 1998) and 64.8 %-89.6 % (Yoshikawa et
41 al., 2000). Additionally, one or two deletions in the N-terminal part of the viral CP gene
42 were reported (Schwarz and Jelkmann, 1998; Yoshikawa et al., 2000). Although the
43 genetic diversity of ASPV isolates has been studied, the knowledge of the evolutionary
44 history is still lacking. Viral coat proteins are presumed to have evolved more rapidly than
45 proteins involved in replication and expression of virus genomes (Callaway et al., 2001).
46 For this reason, the coat protein genes have been used in the phylogenetic comparisons of
47 different viruses (Koonin and Gorbalenya, 1989; Zimmern, 1988) as well as in this work.

48 The recombination events could play an important role in deleterious mutation
49 clearance. Such a function of recombination was firstly suggested by Muller (1964) who
50 described a phenomenon termed Muller's ratchet. Muller proposed that in a finite
51 population the best haplotypes might be lost by chance of through recurrent deleterious
52 mutations and without recombination they could not be recreated (Iles et al., 2003). Also
53 according to the modern mutational deterministic hypothesis of Kondrashov (Kondrashov,
54 1988), recombination is used for deleterious mutation clearance. It should be noted here
55 that some evolutionary biologists use the term "sex" in case of viruses, which is
56 synonymous to genetic exchange. See for example work done by Turner group [Orig Life
57 *Evol Biosph.* 2003 33:95-108 Turner PE] which studied experimental evolution of sex
58 using viruses as a model. Recombination in natural populations has been reported for
59 several plant viruses (Glasa et al., 2004; Ohshima et al., 2002; Tomitaka and Ohshima,
60 2006). To our knowledge there is no data on potential recombination between ASPV
61 isolates.

62 Sequence analysis of the ASPV CP gene has been conducted and the ratio of
63 synonymous and nonsynonymous polymorphisms was calculated. This work also
64 addresses the question what is the pattern of selection shaping the observed diversity of
65 ASPV with a coat protein gene as a model. Additionally, a computational analysis of
66 ASPV sequences to investigate the extent of recombination events as well as to
67 reconstruct the most likely evolutionary history of the recombinants in the population of
68 ASPV genomes has been described.

69 The virus isolates originated from apple and pear cultivar collection maintained at
70the Research Institute of Pomology and Floriculture, and from commercial orchards in
71Poland (Table 1). Isolate N1 was found in the indicator plant, but the original source of
72the virus was uncertain. Total nucleic acids were isolated from the leaves using the silica
73capture (SC) method described originally by Boom et al. (1990) and adapted to the
74diagnosis of plant viruses by Malinowski (1997). Amplification was performed using
75SuperScript One-Step RT-PCR kit (Invitrogen, Carlsbad CA, USA and the primers
76ASPF2CP2- ASPR3CP (Komorowska et al., 1999). RT-PCR with primer pair ASPB2-
77ASPB3 yielded the cDNA fragment encompassing viral CP gene of all studied virus
78isolates. Besides amplification of a 1443 bp product as calculated from the previously
79sequenced PA66 and PSA-H isolates (Jelkmann, 1994), bands of lower molecular weight
80were observed with other virus sources. The amplified RT-PCR products were ligated into
81the pCR 2.1-TOPO vector and used for transformation of TOP10 One-Shot *E. coli*
82competent cells according to the manufacturer's instructions (Invitrogen, Carlsbad CA,
83USA). The cloned PCR fragments were sequenced either with universal primers M13
84forward and M13 reverse or virus specific primers C8849 (MacKenzie et al., 1997) and
85ASPV9019 (Schwarz and Jelkmann, 1998) targeting the regions inside the CP gene.
86Sequencing was performed using an automated sequencer ABI PRISM 310 in the Institute
87of Biochemistry and Biophysics in Warsaw, Poland. All the sequences were read at least
88twice. Sequence data were analyzed using Lasergene v. 7.1 software package
89(DNASTAR, USA). Four samples from apple (MT32, MT24, J335, ST181) and one from
90an unknown origin (N1) showed an 1389 bp product. Two samples from pear (ST54,
91GNKIII/45) revealed the cDNA fragments of 1389 bp and 1386 bp respectively and the
92other two amplicons from pear (ST113, ST132) were 1323 bp long. PCR product of 1320
93bp was amplified from pear GNKVII/34. The multiple alignments, including the
94previously reported sequences of isolates PA66 and PSA-H (Jelkmann, 1994),
95demonstrated that the observed differences in size of the RT-PCR products were due to
96deletions in the N-terminal part of the coat protein gene (Table 1). These results are in
97accordance with the outcomes obtained for ASPV isolates in other centers (Schwarz and
98Jelkmann, 1998; Yoshikawa et al., 2000). Moreover, the deletion starting from nt 8233
99(Table 1) was found only in the CP gene of virus pear isolates (ST113, ST132,
100GNKVII/34). Similar results have been shown previously for isolate I7 described by
101Schwarz and Jelkmann, 1998. There is also another ASPV pear isolate in GenBank
102(accession no. AY572458) with two deletions in CP gene. Thus, it seems that the second

103deletion might be unique for pear isolates. On the other hand the number of the
104characterized ASPV isolates (25) is too small to formulate the general rule. Although
105plant viruses with RNA genomes have a high potential for genetic variations (Garcia-
106Arenal et al., 2003) there are only a few examples of large natural deletions in the CP
107gene (Seifers et al., 2005; Szathmary et al., 2009).

108 Following the reading of CP gene sequences for ten ASPV isolates, the
109comparison among them and with other virus sequences from GenBank (accession nos.
110D21828, D21829, AB045371, EU708018, EU314950, DQ003336, AY572458) was
111carried out. Multiple sequence alignments were performed using the online service
112CLUSTALW (Thompson et al., 1994). The multiple alignments revealed low identity
113between ten studied virus sequences ranging from 70.7 % to 93.5 % at nucleotide level
114and from 77.8 % to 98.7 % at amino acid level. Similar ranges of values were obtained
115when comparisons were made with corresponding sequences available in GenBank. The
116percentage of nucleic acid identities among the sequences of the CP gene of all ASPV
117isolates was found to be between 71 % and 99.8 %. Comparison of amino acid sequences
118showed higher identities 78.2-99.5 %. Similar high levels of CP gene diversity are
119described for other members of the family *Betaflexiviridae* (Alabi et al., 2010; Teycheney
120et al., 2005). The lack of a biological vector for transmission of ASPV probably makes the
121CP more tolerant to sequence variability than the capsid proteins of vector borne plant
122viruses subjected to greater purifying selection on amino acid changes (Garcia-Arenal et
123al., 2001; Rubio et al., 2001; Vives et al., 2002).

124 All phylogenetic analyses were conducted in Datamonkey (Kosakovsky Pond and
125Frost, 2005a) which is a front-end toHyPhy (Kosakovsky Pond et al., 2005). HKY85 was
126chosen as the nucleotide substitution bias model, as our data set was rather small. This
127model was used in all phylogenetic studies. The recognized deletions were discarded from
128the CP sequences in the following analyses.

129 It is well known that recombination can affect obtained values for omega and
130phylogenetic reconstruction (Silva and Edwards, 2009). Therefore, the ASPV CP genes
131were initially analyzed for evidence of recombination events. The GARD (genetic
132algorithm recombination detection) and the SBP (single breakpoint recombination)
133methodologies were used to determine if recombination events are present in the studied
134sequences (Kosakovsky Pond and Frost 2005b; Kosakovsky Pond et al., 2006). Both
135methods differently identify non-recombinant fragments in the alignment and reconstruct
136a phylogenetic tree for each of them. Each of the subsequent experiments was repeated

137both with GARD and SBP tree sets. The quality of evolutionary trees was measured using
138minimum AIC values. If AIC for non-recombinant tree is smaller than AIC for a tree with
139recombination event the delta AIC should be high. The SBP algorithm found evidence of
140recombination at position 604 (with a high delta AIC of 854). The GARD algorithm was
141able to find two possible recombination sites within ASPV capsid sequences in positions
142618 ($p=0.0008$; high confidence, small delta AIC) and 760 ($p=0.048$; medium confidence
143and small delta AIC) (data not shown). The results from both experiments (the low p -
144value and substantial improvement relative to the model with one fewer breakpoint - delta
145AIC) suggest strongly that there was a possible recombination event around position 604-
146618. Taken these findings, all of the further conducted analysis used the SBP and GARD
147Inferred Trees as models to overcome the noise introduced by recombination.
148Recombination events occur frequently in viruses, both from plants and animals (Lai,
1491992). It is well known that sex and recombination lead to the increase of genetic diversity
150in populations (Grapputo et al., 2005), and that mutation and recombination events are
151particularly beneficial in the case of genes which are under diversifying selection, e.g.
152animal virus genes. It is harder to understand what the function is of recombination and
153sex, when purifying selection dominates. In fact, this is a common pattern in the case of
154cellular organisms (Castillo-Davis et al., 2004). An explanation for the function of sex and
155recombination in this case was suggested by Kondrashov (Kondrashov, 1988). His
156mutational deterministic hypothesis was primarily proposed for evolution of sexual
157reproduction. According to this hypothesis, mutations are mainly deleterious, which cause
158mutational loads and create isolates containing many slightly deleterious mutations. On
159the other hand, recombination creates genotypes with different levels of deleterious
160mutations. Selection forces remove genotypes with a higher number of deleterious
161mutations. The Kondrashov hypothesis may explain recombination events discovered in
162our study. The CP genes from various ASPV strains are under strong purifying selection,
163which means that mutations are mainly deleterious and are removed by natural selection.
164There is also at least one recombination event in the studied gene. It might be possible that
165the function of recombination in such cases is enhancing the speed of removing
166deleterious mutations by selection.

167 The following methodologies: SLAC (single-likelihood ancestor counting), FEL
168(fixed effects likelihood) and REL (random effects likelihood) were used to determine
169sites under positive selection (Kosakovsky Pond and Frost 2005). All these methods
170estimate the number and ratio of nonsynonymous and synonymous substitutions for each

171site (codon). A consensus scoring approach was applied to determine the sites most
172probably experiencing positive selection. All three methods found codon 20 to be under
173positive selection. Additionally codon 111 was identified by SLAC, and codon 340 by
174FEL - although the d_N/d_S difference in this case was not significant (Table. 2). The
175obtained results suggest that there is one position (codon 20) which is under positive
176selection. Both SLAC and FEL methods used default p-value of 0.1, and REL used Bayes
177Factor of 40.

178 The PARRIS (a partitioning approach for robust inference of selection)
179methodology, described by Scheffler et al., (2006), was used to test if the detected sites
180(codon 20, 111 and 340) will be robust enough to pass through a maximum likelihood
181test, designed specifically to avoid misleading recombination events. PARRIS allows
182synonymous substitution rates to vary across sites as well as tree topologies and branch
183lengths to change across detected recombination breakpoints. The PARRIS methodology
184did not detect any positive selection signals at $p < 0.1$ in the compared ASPV CPs.

185 The codon-based genetic algorithm GABranch method was used to evaluate if the
186studied isolates evolve due to positive selection. This method can partition automatically
187all branches of the phylogeny describing non-recombinant data into groups according to
188 d_N/d_S . The most probable recombination site according to SBP and GARD consensus
189located between bases 604-618 was used. Therefore, base 612 was chosen as the cutting
190alignment site to avoid a frameshift. The two resulting alignments were subjected to
191GABranch algorithm and the results were compared using omega values. As it is shown
192on Fig. 1, all branches evolve with omega < 1 , suggesting that purifying selection occurred
193during the whole analyzed history of ASPV CP. This analysis has indicated that the
194majority of mutations occurring in analyzed sequences are deleterious. Similar high levels
195of nucleotide sequence variability as well as low values of d_N/d_S have been reported in
196different genomic regions for other members of the family *Betaflexiviridae* (Chare and
197Holmes, 2006; Teycheney et al., 2005), indicating that the attribute is common to the
198members of this family.

199 In this paper we showed that ratio of synonymous and nonsynonymous mutations
200suggests that purifying selection dominates in the case of ASPV. Four different
201approaches detected strong signal of purifying selection for the majority of codons.
202Similar results were described for coat protein genes of *Grapevine rupestris stem pitting-*
203*associated virus* (Alabi et al., 2010) and *Sweet potato mild mottle virus* (SPMMV)
204(Tugume et al., 2010). In contrast, animal viruses experience strong diversifying selection

205(Drummond et al., 2003; Yang and Bielawski, 2000) which is caused predominantly by
206pressure from the immune system of the host. Plant viruses are not subjected to such
207pressure thus purifying selection is the major force shaping their evolution, the coat
208protein of the ASPV is no exception.

209 Although purifying selection dominates in the evolution of ASPV capsid protein,
210three sites having a diversifying selection signal (codon 20, 111 and 340) were detected.
211This signal may be significant especially in the case of codon number 20, as positive
212selection was detected using three (SLAC, REL, FEL) out of four methods. Such
213phenomenon was also observed for SPMV where 13 amino acids in the P1 proteinase
214were under positive selection, whereas purifying selection was implicated for the rest of
215the sites (Tugume et al., 2010). It is not surprising that various codons are under different
216selection forces. By analogy to animal viruses, it is likely that codon 20 is involved in
217reaction with host factors. Host resistance may lead to diversifying selection in this
218position. It is also possible that the amino acid coded by codon 20 is on the surface of
219capsid protein. Analysis of capsid three-dimensional structure may confirm this
220hypothesis. Unfortunately there are no proper templates which could be applied for
221homology modeling of this protein to date. Mutational studies should be conducted to gain
222more insight about the possible importance of this part of the capsid protein.

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341 **Tables:**

Isolate	Accession number	Original	CP gene length [nt]	Position of deletion(s) ^b
PSA-H ^a	D21828	pear	1245	-
ST54	AF345892	pear	1191	8006-8059
ST113	AF345894	pear	1125	8006-8059 8233-8298
ST132	AF345894	pear	1125	8006-8059 8233-8298
GNKIII/45	AF491929	pear	1185	8487-8493
GNKVII/34	AF345893	pear	1128	8006-8059 8233-8295
PA66 ^a	D21829	apple	1245	-
MT32	AF438521	apple	1191	8006-8059
J335	AF491930	apple	1191	8006-8059
MT24	AF438522	apple	1191	8006-8059
ST181	AF495382	apple	1191	8006-8059
N1	AF491931	unknown	1191	8006-8059

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345 **Table 1.** Characteristics of a coat protein gene of the studied ASPV isolates.

346^aReference strains kindly provided by W. Jelkmann

347^bCorresponding to the sequence of ASPV PA66 (GenBank accession no. D21829)

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Codon	SLAC dN-dS	SLAC p-value	FEL dN-dS	FEL p-value	REL dN-dS	REL Bayes Factor	Consensus
20	1.705	0.135	0.826	0.027	0.108	40.416	P, P+, P+
111	1.222	0.056	-0.651	0.321	-0.533	0.125	P+, N, N
340	-3.778	1.000	0.104	0.354	-0.182	41.056	N, P, N-

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356Table 2

357The results obtained from three methods: SLAC, FEL and REL used to determine possible
358positive selection in ASPV CP. For each method, two values are present: omega and p-
359value; with the exception of REL methodology, which uses Bayes Factor instead of p-
360value. The consensus column, shows which of the methods indicate a certain codon is
361under positive selection; "P": positive selection, "P+": statistical significance of such
362prediction, "N": negative selection, "N-":statistical significance.

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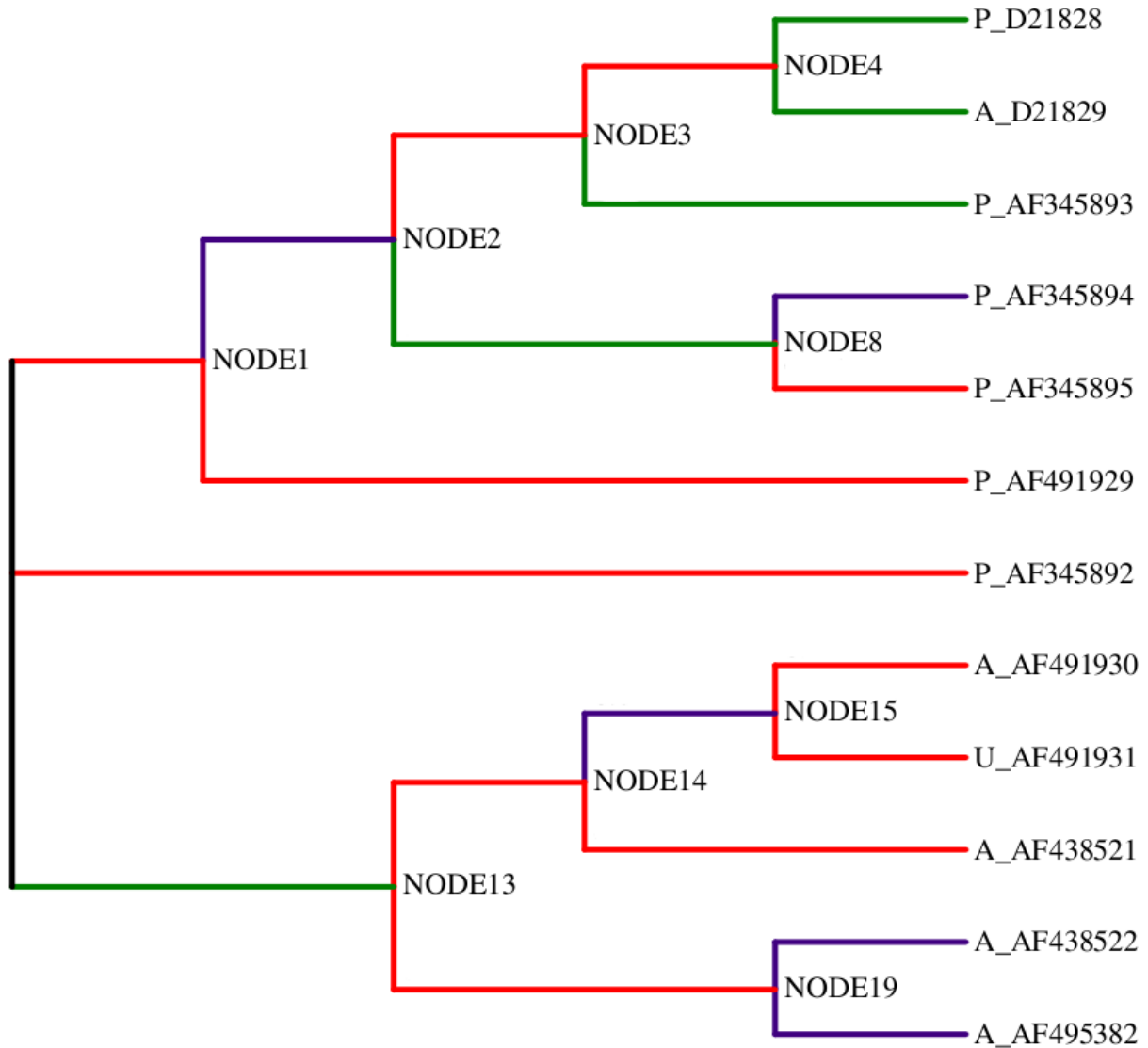
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dN/dS = 0.245; 14%
dN/dS = 0.097; 64%
dN/dS = 0.032; 22%



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383 **Figure caption:**

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385 **Figure 1.** GABranch analysis showing a Neighbor-Joining tree with levels of omega
386 coded by colors. Green branches represent the highest dN/dS values, red and purple
387 colored branches indicate medium to low values, respectively. All the values in this
388 GABranch analysis show omega below 1, suggesting strong purifying selection forces
389 acted during the analyzed ASPV CP history. The tree clearly divides apple and pear virus

390isolates into two separate branches. It might also suggest that isolate of unknown origin
391(AF491931) was originally isolated from apple. Also, position of isolates AF345892 and
392D21829 in the tree could imply recombination events.

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