

1 **RNA polymerase III under control: repression and de-repression**

2 Magdalena Boguta^{1,2*} and Damian Graczyk¹

3 ¹Institute of Biochemistry and Biophysics, Polish Academy of Sciences, Pawińskiego 5a, 02-
4 106 Warsaw, Poland

5 ²Faculty of Chemistry, Warsaw University of Technology, Noakowskiego 3, 00-664 Warsaw,
6 Poland

7

8 **Corresponding author:* Magdalena Boguta, Institute of Biochemistry and Biophysics, Polish
9 Academy of Sciences, Pawińskiego 5a, 02-106 Warsaw, Poland; phone: (4822) 592 1312;
10 fax: (4822) 658 4636; e-mail: magda@ibb.waw.pl

11

12 **Abstract**

13 The synthesis of tRNA by yeast RNA polymerase III (Pol III) is regulated in response to
14 changing environmental conditions . This control is mediated by Maf1, the global negative
15 regulator of Pol III transcription conserved from yeast to man. Details regarding the molecular
16 basis of Pol III repression by Maf1 are now emerging from recently reported structural and
17 biochemical data on Pol III and Maf1. Efficient Pol III transcription, following the shift of
18 cells from a non-fermentable carbon source to glucose, requires phosphorylation of Maf1.
19 One of the newly identified Maf1 kinases is the chromatin-bound casein kinase II (CK2).
20 Current studies allowed us to propose an innovative mechanism of Pol III regulation. We
21 suggest that CK2-mediated phosphorylation of Maf1, occurring directly on tDNA chromatin,
22 controls Pol III recycling.

23

24 **Control of RNA polymerase III activity**

25 In yeast RNA synthesis by RNA polymerases I and III (Pol I and Pol III) represents
26 more than 80% of all nuclear transcription [1]. Pol I is dedicated to the synthesis of rRNA
27 whereas tRNAs, 5S rRNA and several other small noncoding RNAs are synthesized by Pol III

28 (Box 1). Under favourable growth conditions during each cell cycle, 3–6 million tRNA
29 molecules are synthesized in a yeast cell at a rate of 2–4 transcripts/gene/s [2]. This high rate
30 of tRNA gene transcription is achieved through many rounds of re-initiation by Pol III on a
31 stably DNA-bound initiation factor TFIIB. The rate of subsequent rounds increases at least 5-
32 fold through a process known as facilitated recycling, which couples the termination of
33 transcription with reinitiation in a manner that is not yet precisely understood [3, 4]. Because
34 of the high energy cost of transcription, exact coordination of Pol III activity with
35 environmental growth conditions provides a powerful selective advantage. Relatively little is
36 known about how the persistence of activated or repressed Pol III states is controlled directly
37 on tRNA genes, despite tremendous advances in deciphering the Pol III structure, recruitment
38 of its auxiliary factors and modes of their regulation.

39 When stress conditions occur or nutrients turn out to be limiting, tRNA transcription is
40 rapidly repressed by Maf1, a negative regulator of Pol III. Since the level of the basal
41 transcription of class III genes is very high, we suggest that repression is the major form of
42 Pol III regulation. Below we propose that association of Maf1 with tDNA chromatin is
43 controlled at every Pol III re-initiation step and, depending on environmental conditions ,
44 results in transcription repression or de-repression.

45

46 **Maf1: central actor of Pol III regulation in yeast**

47 Highly conserved from yeast to man, Maf1 was identified as a general and direct
48 repressor of Pol III transcription [5]. In addition, at least human and yeast Maf1 are
49 phosphoproteins [6-9]. In yeast, Maf1 is the only Pol III negative regulator that acts as an
50 effector of several signalling pathways [10, 11]. In addition to downregulation that normally
51 occurs in stationary phase, Maf1 is also required for Pol III repression accompanying
52 starvation, respiratory growth, as well oxidative and replication stress [12-14]. Signalling

53 pathways activated by growth-limiting conditions lead to dephosphorylation of Maf1 by the
54 PP2A phosphatase and import of Maf1 into the nucleus (Figure 1) driven by two nuclear
55 localization sequences (NLS) [6, 15]. In the nucleus, hypophosphorylated Maf1 binds to the
56 Pol III complex. The increased association of Maf1 with class III chromatin under repressing
57 conditions is correlated with dissociation of Pol III from tRNA gene [6, 8]. Prolonged
58 repression results in dissociation of TFIIB as well, but during acute repression TFIIB
59 remains associated with the promoter [8].

60 In favourable growth conditions, Maf1 is inactivated by phosphorylation which
61 operates at several levels to counteract Pol III repression (Figure 1). Apart from decreasing
62 direct binding of Maf1 to Pol III, phosphorylation also acts to both facilitate Maf1 export
63 from the nucleus and to preclude import of cytoplasmic Maf1 to the nucleus. Different kinases
64 perform these functions by phosphorylating Maf1. PKA kinase inactivates NLS signals and
65 thereby prevents nuclear localization of Maf1 [15]. Sch9-mediated phosphorylation of nuclear
66 Maf1 presumably enables its interaction with Msn5 exportin and transport out of the nucleus.
67 Besides affecting its nuclear transport, Maf1 phosphorylation by Sch9 has an impact on its
68 interaction with Pol III since inactivation of all potential Sch9 phosphorylation sites results in
69 increased Maf1-Pol III association [16-18].

70 Although Maf1 shuttles between the nucleus and cytoplasm, phosphorylation of Maf1
71 but not its export is essential for Pol III activation following a shift from adverse to favourable
72 growth conditions [16, 19, 20]. Several lines of evidence indicate that yeast can regulate Maf1
73 activity without excluding it from the nucleus [15, 19]. The subnuclear localization of Maf1 is
74 also relevant since Pol III transcription is localized to the nucleolus [20]. Importantly,
75 although under favourable growth conditions Maf1 is mostly cytoplasmic, it is never fully
76 excluded from the nucleus [6].

77 The latest findings allow us to propose how phosphorylation of Maf1 is coordinated
78 with other events occurring on chromatin that establish Pol III control. First, structural and
79 biochemical data on Pol III and Maf1 have been reported recently [21, 22]. Second,
80 phosphorylation of Maf1 by two central cellular kinases, CK2 and TORC1, occurring directly
81 on chromatin, has been documented [9, 20, 23-25].

82

83 **Maf1 structure and mode of interaction with Pol III**

84 Maf1 structure was determined recently by X-ray crystallography at 1.55Å resolution,
85 and the structure of the Maf1-Pol III co-complex was reconstructed from cryo-electron
86 microscopy data [21]. The location of Maf1 in the co-complex confirmed previous genetic
87 and biochemical data indicating that Maf1 binds to the N-terminal fragment of the largest Pol
88 III subunit C160, and located it to the clamp domain of Pol III [5, 6, 21, 26]. Maf1 binding
89 rearranges the complex of subunits C82/34/31 within Pol III that are required for
90 transcription initiation. The relocation of a specific domain of C34 subunit is thought to
91 weaken its interaction with the Brf1 subunit of the TFIIB initiation factor, suggesting that
92 Maf1 impairs Pol III recruitment to promoters [21]. Indeed, whereas free Pol III stably bound
93 the Brf1-TBP-DNA complex, the Pol III-Maf1 complex did not [21]. This is consistent with
94 previous evidence that recruitment of Maf1 to class III chromatin correlated with the
95 displacement of Pol III and TFIIB [11]. Importantly, Maf1 does not inhibit the catalytic
96 activity of the Pol III, allowing nucleic acid binding in the active site and RNA synthesis [2,
97 21].

98 Exactly how Maf1 is recruited to Pol III during ongoing transcription is unknown.
99 Maf1 does not bind to a preassembled Pol III-Brf1-TBP-DNA initiation complex, [2, 21], but
100 this does not exclude a possibility that Maf1 might be recruited during sequential binding of
101 TBP, Brf1 and Bdp1 giving rise to unproductive preinitiation complex. More likely Maf1 is

102 recruited after initiation step. Maf1 recruitment could occur by an unknown event causing Pol
103 III repositioning and after the initiation step, during elongation or termination. During
104 transcriptional elongation, the Pol III conformation is flexible [22]. A conformational change
105 appears to occur in Pol III during a transitory pause in the elongation step prior to termination,
106 and the duration of this pause is controlled by the C37-C53 heterodimer [27]. One interesting
107 hypothesis is that this pausing facilitates Maf1 binding.

108

109 **Phosphorylation of chromatin-associated Maf1**

110 Phosphorylation, occurring in favourable growth conditions directly on tRNA genes, is
111 the main way of inactivating yeast Maf1 by preventing its interaction with Pol III [6-8]. One
112 opportunity for such direct control is provided by chromatin-bound kinases [28, 29]. TOR ,is
113 the main metabolic kinase operating on Pol III (and Pol I) chromatin [18] . Although both
114 yeast and human TOR phosphorylate Maf1 [9, 20, 24, 30] Pol III control by TOR seems not
115 to be conserved in evolution.

116 The mTOR kinase localizes to mammalian tRNA and 5S rRNA genes by interaction
117 with TFIIC, a DNA binding factor that recognizes the promoters of those genes. By this
118 association, mTOR-mediated phosphorylation of Maf1 functionally contributes to the
119 regulation of the repressive activity of Maf1 at mammalian tRNA genes [9].

120 In contrast, the yeast TORC1 kinase binds to rDNA chromatin, 35S as well as 5S
121 genes, and is not detected on tRNA genes [20]. It has been postulated that TORC1 interacts
122 with and phosphorylates Maf1 at the rDNA loci, in this way regulating its translocation from
123 the nucleolus to the nucleoplasm [20]. However, phosphorylation by TORC1 was detected
124 only for recombinant yeast Maf1 and the phosphorylation site has not been identified [20].
125 Moreover, Maf1 association with 5S rDNA genes is controversial [23]. TORC1
126 phosphorylates Sch9 at multiple sites and this phosphorylation is required for catalytic

127 activity of Sch9, another kinase of yeast Maf1 [16]. Thus, TORC1 might control yeast Maf1
128 indirectly, via Sch9 in the nucleoplasm (Figure 1).

129 Pol III control involves yet another player, CK2 kinase, known to be engaged in transcription-
130 directed signalling and other critical cellular processes (Box 2). Previous work identified the
131 role of CK2 in positive as well as negative Pol III regulation in yeast and humans [31-35]. It
132 was shown a decade ago that CK2-mediated phosphorylation of the TBP subunit of yeast
133 TFIIB is required for efficient TFIIB recruitment to Pol III promoters. Under favourable
134 conditions CK2 physically interacts with TFIIB, but upon genotoxic stress its catalytic
135 subunits dissociate from the complex [32]. The mechanism of Pol III control by CK2 was,
136 however, not fully understood and the participation of an additional factor was postulated
137 [36]. Maf1 was unknown at that time and could not have been taken into account as such a
138 factor. Recent work presents evidence that yeast CK2 is present on tRNA genes, but is not
139 detected on 5S rRNA genes [23], in contrast to TORC1 being preferentially present on 5S
140 rRNA genes [20]. Interestingly, Maf1 is also mainly detected on tRNA genes and is not found
141 on 5S rRNA genes [23]. Moreover, Maf1 interacts with and is subject to CK2
142 phosphorylation, thus suggesting that Maf1 could be that additional factor involved in CK2-
143 mediated Pol III regulation, the existence of which was previously suggested [23].

144 We postulate that Maf1 is a mediator of environmental conditions through which
145 CK2 transduces the signal to Pol III. Our model posits that in favourable growth conditions,
146 phosphorylation by CK2 at the same time deactivates Maf1 and activates TFIIB to allow
147 efficient Pol III transcription. This hypothesis is strongly supported by the fact that
148 phosphorylation of Maf1 by CK2 appears to counteract repression directly at the level of the
149 transcription unit, since both Maf1 and CK2 are associated with tRNA genes. Upon a shift to
150 repressive conditions, the association of the CK2 catalytic subunit with tDNA chromatin is
151 decreased [23]. This is in agreement with a previous report indicating dissociation of the

152 catalytic subunit of CK2 from the CK2-TFIIB complex observed in response to stress [32].
153 In cells lacking Maf1, CK2 binds to tRNA genes as well and remains bound even in
154 repressive conditions [23]. We propose that the dissociation of the CK2 catalytic subunits
155 from the TBP subunit of TFIIB is prevented in the absence of Maf1. Therefore, one
156 hypothesis is that Maf1 is required for blocking the CK2-TFIIB interaction, thereby
157 triggering Pol III repression. This raises the question of whether Maf1 might influence the
158 CK2 holoenzyme at the heart of the Pol III preinitiation complex, and if so, by what
159 mechanism.

160

161 **Pol III control by CK2 and Maf1: a model**

162 Recent evidence has emphasized the importance of continued regulation of
163 transcription throughout RNA synthesis. Several transcription factors controlling Pol II
164 progression through the promoter-proximal region have been identified [37]. Since Maf1 does
165 not inhibit the catalytic activity of Pol III, Maf1-mediated transcription arrest within a
166 promoter-proximal region is considered to be unlikely.

167 Experimental data show unequivocally that a small amount of dephosphorylated, Pol
168 III-associated Maf1 is present even in glucose-grown yeast [6]. According to our model
169 (Figure 2), regardless of growth conditions, Maf1 can be recruited to the Pol III elongation
170 complex at every transcription cycle. Following termination, Maf1 and CK2 confront each
171 other in the heart of the Pol III initiation complex. Under favourable growth conditions, CK2
172 phosphorylates Maf1 thus releasing it from Pol III and enabling re-initiation. Subsequent
173 export to the cytoplasm decreases Maf1 concentration in the nucleus and lowers the
174 probability of its recruitment to the Pol III elongation complex. Despite the activity of CK2 on
175 tRNA genes, Maf1 has some residual activity and restrains Pol III activity to a basal level.
176 Indeed cells lacking Maf1 show higher pre-tRNA levels also in favourable conditions of

177 growth. Under stress conditions, the catalytic subunits of CK2 dissociate from TBP and CK2
178 becomes inactive. Maf1, associated with the elongation complex, cannot be re-
179 phosphorylated, remains bound to Pol III and re-initiation is not possible. Moreover,
180 dephosphorylated Maf1 is imported from the cytoplasm increasing its concentration in the
181 nucleus. In a short time estimated in minutes , all Pol III is bound by dephosphorylated Maf1.
182 Since Pol III genes are short and elongation is fast, this rapidly stops all Pol III transcription.

183

184 **Concluding remarks**

185 The highly active Pol III requires constant monitoring of the environment and a
186 transcription shut-down immediately after the conditions become adverse. The model
187 proposed here invokes an innovative mechanism of the control of Pol III recycling through
188 CK2-mediated phosphorylation of Maf1 occurring directly on tDNA chromatin. Maf1 binding
189 to the Pol III complex and subsequent Maf1 phosphorylation following dissociation during
190 every cycle of transcription constitute a ‘probing’ mechanism that allows immediate adjusting
191 of Pol III activity to changing environmental conditions. The constitutively active CK2
192 kinase, which is present directly on the Pol III complex, ensures a high rate of transcription
193 via both TBP and Maf1 phosphorylation. Conversely, when cells encounter unfavourable
194 growth conditions, the CK2 catalytic subunit dissociates from the Pol III complex and is no
195 longer able to stimulate transcription. This is the time when Maf1 takes over control and
196 inhibits transcription.

197 Although simple, the model presents an attractive mechanism of controlling Pol III
198 activity. It has never before been considered that Maf1 binds to the Pol III complex at every
199 cycle of transcription, only to be immediately phosphorylated and excluded from the
200 complex. At first glance this appears costly, but compared to the costs of tRNA synthesis it

201 might be beneficial and thus cost-saving, especially when the possibility of immediate Pol III
202 shutdown is taken into account.

203 However, there are still some questions that remain open and require further
204 investigation. It would be of great interest to know when exactly Maf1 binds the Pol III
205 complex during the cycling of Pol III. By which mechanism does Maf1 influence CK2
206 association with TFIIB? What factor(s) modulate the recurrent binding of the CK2 catalytic
207 subunit to the Pol III complex? Why is CK2 present only on tRNA genes but not on 5S
208 genes? What is the precise role of other kinases phosphorylating Maf1, is it only regulation of
209 its cellular localization? These questions should be addressed in further research to support
210 the model proposed in this article.

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214

215 **Figure 1. Maf1 is regulated at multiple levels.** The proportion of Maf1 in the nucleus and
216 Maf1 interaction with Pol III is regulated by Maf1 phosphorylation mediated by nutrient and
217 stress signalling. Rapamycin and other unfavourable growth conditions induce
218 dephosphorylation of cytoplasmic Maf1 by PP2A or other phosphatases and Maf1 import
219 to the nucleus. We speculate that in the nucleoplasm Maf1 is subjected to subsequent
220 dephosphorylation allowing its localization to the nucleolus, where it binds to the Pol III
221 complex and inhibits transcription. Upon switch from repression to favourable growth
222 conditions (green), CK2-dependent phosphorylation of Maf1 induces its dissociation from
223 the Pol III complex associated with tDNA. In parallel, TORC1-dependent phosphorylation
224 of Maf1 induces its dissociation from the Pol III complex associated with 5S DNA
225 allowing its transport from nucleolus to nucleoplasm. Downstream steps involve PKA-

226 and/or Sch9-dependent phosphorylation of Maf1 and Msn5-dependent export out of the
227 nucleus thereby providing further separation between the Maf1 and the Pol III machinery.
228 In the cytoplasm, newly synthesized Maf1 is also subject to phosphorylation by PKA
229 and/or Sch9, thus preventing its import to the nucleus.

230

231 **Figure 2. Model of Maf1 action.** Under favourable growth conditions, the efficiency of Pol
232 III transcription is increased by active recycling. CK2 phosphorylates Maf1 releasing it from
233 the Pol III complex and enabling re-initiation (1). In every transcription cycle during
234 elongation (2) or termination (3), Maf1 is recruited to the Pol III complex. Before re-initiation
235 (4), Maf1 is confronted by the CK2 kinase, which phosphorylates and binds the TBP subunit
236 of TFIIB initiation factor in the promoter region. When unfavourable conditions are
237 encountered, the catalytic subunits of CK2 dissociate from TBP and CK2 becomes inactive
238 (5). Maf1 associated with Pol III cannot be re-phosphorylated and remains bound to the Pol
239 III C160 subunit and Pol III C82/34/31 subcomplex (6). Maf1 prevents interaction of the C34
240 subunit with the Brf1 subunit of TFIIB whereby re-initiation is not possible and Pol III is
241 inhibited.

242

243 **Box 1. RNA Polymerase III**

244 RNA polymerase III (Pol III) is responsible for the synthesis of small non-coding
245 RNAs involved in gene expression. Genome-wide identification of the Pol III transcriptome
246 in the yeast *Saccharomyces cerevisiae* has revealed 5S rRNA, tRNAs, U6 splicesomal RNA,
247 the RNA subunit of the signal recognition particle (encoded by *SCR1*), RNase P RNA, and
248 Snr52 snoRNA to be synthesized by Pol III [38-40]. The Pol III apparatus consists of three
249 complexes: the Pol III enzyme and the general factors TFIIB and TFIIC required for
250 transcription initiation and for promoter recognition, respectively. An additional factor,

251 TFIIIA, is required only for 5S rRNA gene transcription. *In vitro*, the primary step in
252 transcription of a tRNA gene is the binding of TFIIIC to intragenic promoter elements, known
253 as the A and B boxes. TFIIIC binding to the promoter recruits the TFIIIB complex upstream
254 of the transcription initiation site. TFIIIB is composed of the TBP (TATA-binding protein),
255 Brf1 and Bdp1 subunits. Brf1 participates in TFIIIB-DNA complex formation by creating an
256 extended connection between the opposite sides of the bent DNA, while Bdp1 generates an
257 additional bend between the transcription start site and upstream of the TBP-interacting
258 region, extending the TFIIIB-DNA contacts upstream of the TATA-box. The TFIIIB-DNA
259 complex suffices to recruit the Pol III complex for multiple transcription cycles [reviewed in
260 [41]].

261 The Pol III complex (0.7 MDa) comprises 17 subunits. Five subunits are common to
262 the three Pols, two are common to Pol I and are paralogs to Pol II subunits, five are paralogs
263 to Pol I and Pol II subunits and five are unique to Pol III. The structural core of Pol III is
264 formed by nine subunits, C160, C128, AC40, AC19, ABC27, ABC23, ABC14.5, ABC10 β
265 and ABC10 α . On the periphery of the core enzyme, Pol III contains eight additional subunits
266 which form three distinct subcomplexes: C82-C34-C31, C17-C25, and C53-C37. The
267 heterotrimer C82-C34-C31 is evolutionary related to the Pol II initiation factor TFIIE (at least
268 for C82-C34) and is required for promoter-dependent transcription initiation [42]. This
269 subcomplex bridges the initiation factors TFIIIC and TFIIIB. Moreover, C82-C34-C31
270 interacts directly with the C160 subunit. C17-C25 contributes to initiation complex assembly
271 because C17 binds to Brf1, a subunit of TFIIIB, and to the C82-C34-C31 subcomplex. The
272 C53-C37 heterodimer shows weak homology to the Pol II initiation factor TFIIF and is
273 involved in Pol III termination (reviewed in [41]).

274

275 **Box 2. The CK2 kinase**

276 CK2 (casein kinase II) is a highly conserved eukaryotic protein kinase involved in
277 transcription-directed signalling, gene control, and cell cycle regulation. CK2 has been
278 implicated in critical cellular processes such as proliferation, apoptosis, differentiation, and
279 transformation [43]. CK2 is mostly present as a tetramer composed of two catalytic and two
280 regulatory subunits. The regulatory subunits stimulate the catalytic subunits, stabilize the CK2
281 heterotetramer, and act as a scaffold for specific kinase partners [44]. The enzyme is
282 expressed constitutively at a modest level, and is thought to be constitutively active rather
283 than being regulated by external stimuli [45]. How individual CK2-mediated phosphorylation
284 events are regulated or how the access of CK2 to many of its substrates is controlled is not
285 obvious. One idea is that there could be many discrete subpopulations of CK2 which exist
286 within cells, and that each subpopulation is regulated in a unique manner. The repertoire of
287 possible regulatory mechanisms include regulated expression and assembly of the CK2
288 holoenzyme, regulation through covalent modification (e.g. phosphorylation and
289 ubiquitylation) and regulatory interactions with proteins or other cellular components [43, 46].

290 CK2 controls Pol III-mediated tRNA transcription in yeast and humans [23, 31-35].
291 Yeast CK2 interacts with and phosphorylates both the TBP subunit of TFIIB initiation factor
292 [32] and the Maf1 repressor [23], thereby dually stimulating tRNA gene transcription. In
293 mammals CK2 is involved in Pol III regulation by the cell cycle. Pol III transcription is most
294 active during the S and G2 phase [47] being positively regulated by CK2 [34]. During mitosis
295 Pol III is repressed and CK2-mediated phosphorylation of the Bdp1 subunit of TFIIB
296 contributes to this negative regulation [33].

297 CK2 also exerts a positive effect on rDNA transcription by phosphorylation of different
298 components of Pol I transcription machinery. In mammals CK2 also phosphorylates UBF, a
299 Pol I initiation factor, and regulates the interaction between UBF and the TBP-containing

300 factor SL1 at the rDNA promoters in the nucleolus [48]. In mammals CK2 also
301 phosphorylates the TIF-IA initiation factor promoting its dissociation from elongating Pol I
302 [49]. Thus CK2 might provide a mechanism to co-regulate Pol I and Pol III transcription in
303 the eukaryotic cell.

304

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