

1 **Highly immunogenic prime-boost DNA vaccination protects chickens against challenge**  
2 **with homologous and heterologous H5N1 virus**

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25 **Abstract**

26 Highly Pathogenic Avian Influenza Viruses (HPAIVs) cause huge economic losses in the  
27 poultry industry because of high mortality rate in infected flocks and trade restrictions.  
28 Protective antibodies, directed mainly against hemagglutinin (HA), are the primary means of  
29 protection against influenza outbreaks. A recombinant DNA vaccine based on the sequence of  
30 H5 HA from the H5N1/A/swan/Poland/305-135V08/2006 strain of HPAIV was prepared.  
31 Sequence manipulation included deletion of the proteolytic cleavage site to improve protein  
32 stability, codon usage optimization to improve translation and stability of RNA in host cells,  
33 and cloning into a commercially available vector to enable expression in animal cells. Naked  
34 plasmid DNA was complexed with a liposomal carrier and the immunization followed the  
35 prime-boost strategy. The immunogenic potential of the DNA vaccine was first proved in  
36 broilers in near-to-field conditions resembling a commercial farm. Next, the protective  
37 activity of the vaccine was confirmed in SPF layer-type chickens. Experimental infections  
38 (challenge experiments) indicated that 100% of vaccinated chickens were protected against  
39 H5N1 of the same clade and that 70% of them were protected against H5N1 influenza virus of  
40 a different clade. Moreover, the DNA vaccine significantly limited (or even eliminated)  
41 transmission of the virus to contact control chickens. Two intramuscular doses of DNA  
42 vaccine encoding H5 HA induced a strong protective response in immunized chicken. The  
43 effective protection lasted for a minimum 8 weeks after the second dose of the vaccine and  
44 was not limited to the homologous H5N1 virus. In addition, the vaccine reduced shedding of  
45 the virus.

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47 **Keywords:** avian influenza, H5N1, chicken, poultry immunization, DNA vaccine

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## 50 **1. Introduction**

51 DNA vaccines are new-generation vaccines offering many advantages over  
52 conventional ones (Liu, 2011). They are relatively simple, easy and fast to produce, generate  
53 low costs in storage and transport, and are more stable than protein formulations. Numerous  
54 data show the effectiveness of experimental DNA immunizations against various viral,  
55 bacterial, parasitic and cancer diseases. However, only a few veterinary products have been  
56 registered to date in the USA and Canada, and despite several clinical trials, no human DNA  
57 vaccine is available (Ferraro et al., 2011; Kutzler and Weiner, 2008). Various experimental  
58 DNA vaccines have been tested in poultry (Oshop et al., 2002). The high potential of DNA  
59 immunization, particularly in cases requiring a rapid response to an influenza pandemic have  
60 led to the development of this technology and increase of report on DNA vaccines for  
61 chickens against influenza (Chen et al., 2001; Jiang et al., 2007; Kodihalli et al., 2000; Lee et  
62 al., 2006; Lim et al., 2012; Rao et al., 2008; Shan et al., 2011; Suarez and Schultz-Cherry,  
63 2000)

64 The influenza virion has several structural and non-structural antigens, namely  
65 hemagglutinin (HA), neuraminidase (NA), capsid protein (M1), ion channel protein (M2),  
66 nucleoprotein (NP) and the components of the viral polymerase PA, PB1 and PB2 (Steinhauer  
67 and Skehel, 2002). Although detectable antibody responses are observed against many viral  
68 proteins, the major determinants for a protective response are antibodies produced against  
69 surface glycoprotein HA, the most prominent antigen of the virus (see the review (Skehel and  
70 Wiley, 2000) and references therein). HA is synthesized as a precursor polypeptide H0 and is  
71 then cleaved into subunits H1 and H2. The HA cleavage site is the main determinant of the  
72 pathogenicity of influenza viruses. In low-pathogenic avian influenza viruses (LPAIVs) the  
73 cleavage site can be limited to a single arginine residue recognized by extracellular trypsin-  
74 like proteases, while in high-pathogenic viruses (HPAIV) the H0 precursor contains a  
75 sequence that can be recognized by proteases present in nearly all cell types, which facilitates  
76 systemic spread of the virus (Skehel and Wiley, 2000; Steinhauer and Skehel, 2002).

77 In the EU, permission to vaccinate poultry against H5N1 HPAI can be granted after  
78 the fulfillment of strict requirements laid down in the EU Directive for the Control of AI  
79 (Council Directive 2005/94/EC). The Directive is concerned with the high risk of a “silent  
80 spread” of the virus due to incomplete protection at a flock level, leading to the impossibility  
81 of differentiating the infected from the vaccinated individuals in case of usage of inactivated  
82 vaccines. Therefore, considering the needs of the DIVA (Differentiating Infected from  
83 Vaccinated Animals) strategy, there is a great demand for new-generation vaccines (Capua,

84 2007; European\_Commission, 2006; Savill et al., 2006). It is strongly recommended by the  
85 OIE and the EU that preventive and emergency vaccination should be an additional method of  
86 controlling and fighting the virus in case of disease outbreak, by protecting valuable flocks  
87 and reducing the spread of virus in restriction and buffer zones.

88 The H5N1 strain of HPAIV which is the object of our studies and caused the Asian  
89 epidemic in 2003 was first identified in domestic gees in China in 1996 (Xu et al., 1999).  
90 After several years of spreading and genetic diverging in South Eastern Asia, some strains  
91 have crossed the Russian border and reached the Middle East and Europe (Cattoli et al.,  
92 2009). Several local outbreaks appeared in almost all European countries, both on poultry  
93 farms and among wild birds. In March 2006, the first disease outbreak was reported in Poland  
94 in mute swans (Minta et al., 2007; Śmietanka et al., 2008). Despite the high standards of food  
95 and animal trade in the EU due to the intense human and animal movement the risk of virus  
96 re-emergence is high. In this study the immunization experiments were conducted with  
97 common broiler type chicken grown in a biologically secure poultry-house. The duration of  
98 the immunization experiments was 6 weeks, because such is the length of broilers' life. Two  
99 intramuscular doses of DNA vaccine were sufficient to stimulate the anti-HA response in sera  
100 of immunized chickens. The second series of experiments involved challenge with HPAI  
101 H5N1 viruses and were conducted in a P3 laboratory using SPF chickens of laying type,  
102 which allowed the time of the experiments to be extended to 8-13 weeks in order to test for  
103 the long-term protection. The challenge experiments indicated a high protective potential of  
104 the tested DNA vaccine. The immunized SPF chickens were protected in 100% against H5N1  
105 virus from a homologous clade (clade 2.2) and in 80% against the H5N1 virus from a  
106 heterologous clade (clade 1).

## 107 **2. Materials and methods**

### 108 **2.1. Plasmids and vaccine design**

109 Based on the predicted amino acid sequence of HA from H5N1 A/swan/Poland/305-  
110 135V08/2006 strain of HPAIV (EpiFluDatabase [<http://platform.gisaid.org>]; Accession No.  
111 EPI156789), a synthetic gene optimized to the domestic chicken codon bias and containing  
112 deletion of the proteolytic cleavage site (from Arg-341 to Arg-346) was designed (GenBank  
113 Accession No. KC172926). Two variants of the DNA vaccine were prepared: (i) long, codon-  
114 optimized HA (aa 1-568) with the original N-terminal signal peptide of 16 amino acids  
115 (aa 1-16) and a deletion of the proteolytic cleavage site RRRKKR ( $\Delta$ 341-346) and (ii) short,  
116 codon-optimized HA, containing only aa 17-340 (only H1 subunit, without signal peptide).  
117 The non-optimal codons in the native HA gene sequence were replaced by codons optimized

118 to chicken codon usage and the sequence was also checked for the absence of cryptic splice  
119 sites (commercial service by GenScript USA Inc.). The inserts were cloned into the pCI  
120 (Promega) between immediate-early enhancer/promoter from Cytomegalovirus (CMV) and a  
121 terminator/polyadenylation signal from SV40. Plasmid DNA was purified using NoEndo  
122 JETSTAR Plasmid Kit (Genomed, Germany) and suspended in PBS pH 7.4, and the  
123 appropriate amount of DNA (62-250 µg) was mixed with the Lipofectin transfection reagent  
124 (Life Technologies, USA) as recommended by the manufacturer. In each trial the same ratio  
125 of DNA amount (w):Lipofectin (v), 6:1 was used. The volume of one dose of vaccine was 160  
126 µl.

## 127 **2.2. Influenza viruses and stock preparation**

128 Table 1 lists the used influenza viruses. The HPAIVs were propagated in the allantoic cavities  
129 of embryonated chicken eggs (Valo-Biomedica, Germany) in biosafety level 3 conditions of  
130 the National Veterinary Research Institute (Pulawy, Poland) and stored in aliquots at -70°C  
131 (for challenge purpose) or inactivated with 0.1% formaldehyde (Sigma–Aldrich, MO, USA)  
132 for 2 h at 37°C (for hemagglutination inhibition test). The LPAIVs were either purchased or  
133 kindly provided by others. The viral stocks stored at -70°C were titrated before use.

## 134 **2.3. Immunization and challenge experiments**

135 Broilers (Ross 308) were housed in poultry-house in cages, in standard commercial conditions  
136 including temperature, photoperiod, litter and fodder. Five independent immunizations of  
137 broilers were conducted. Depending on the experiment, animals (7-15 per group) were  
138 immunized subcutaneously in the neck or intramuscularly in the breast muscle with the  
139 indicated amount of DNA complexed with Lipofectin. Blood was collected from the wing  
140 veins, allowed to coagulate, and centrifuged. The collected sera were kept at -20°C.

141 Specific pathogen free (SPF) White Leghorn chickens, housed in a biosafety level 3  
142 containment of the National Veterinary Research Institute, Pulawy, were immunized  
143 intramuscularly twice (using 1-ml syringe with 0.5x 1.6 mm needle) with the DNA vaccine  
144 containing 125 µg of plasmid DNA complexed with Lipofectin. Prior to the challenge, the  
145 chickens were placed in separate isolators (Montair Andersen B.V., Holland) equipped with  
146 HEPA filters. Three challenge experiments were performed. The immunized chickens (10  
147 birds/group in Experiments 1 and 3, and 5 birds in Experiment 2) as well as control  
148 (untreated, fully susceptible chickens, 2-5/group) were inoculated oculonasally with 10<sup>6</sup> 50%  
149 egg infectious dose (EID<sub>50</sub>) of the respective virus in the volume of 100 µl (50 µl into the  
150 nares and 50 µl into the eye per bird). Approximately 24 h after inoculation, 6-week-old

151 contact SPF chickens (1 or 2 per group) were placed in the same isolators as the vaccinated  
152 chickens to monitor virus transmission. Other details are shown in Table 2.

#### 153 **2.4. Ethic statements**

154 The experiments were approved by the Second Local Ethical Committee for Animal  
155 Experiments at the Medical University of Warsaw, Permit Number 17/2009 (broilers) or the  
156 Second Local Ethical Committee for Animal Experiments at the University of Life Sciences  
157 in Lublin, Permit Number 26/2012 (SPF chickens). All efforts were made to minimize  
158 suffering. The chickens were monitored twice a day (morning and afternoon), including  
159 weekends. The immunized chickens were sacrificed (humanely euthanized by decapitation)  
160 about 3 weeks after the final immunization (about 6 weeks after hatching).

#### 161 **2.5. ELISA**

162 The 96-well polystyrene plates (Nunc, Denmark) were coated overnight at 4°C with 300 ng of  
163 HA antigen (A/swan/Poland/305-135V08/2006 (H5N1)) produced in a baculovirus system  
164 (Oxford Expression Technologies, UK). Bound IgY were detected using goat anti-chicken  
165 IgY (Fc-specific)-HRP (Pierce/Thermo Scientific, IL, USA) antibodies. Results were  
166 analyzed using the STATISTICA program (StatSoft, Poland).

#### 167 **2.6. Hemagglutinin Inhibition**

168 The HI test was conducted according to the standard procedure ([O.I.E.] World Organization  
169 for Animal Health, 2012). Shortly, the collected sera (25 µl of sera in serial two-fold  
170 dilutions) were incubated for 25 min in a titration plate with four HA units of the inactivated  
171 antigen. Next, a suspension of 1% hen erythrocytes was added and incubated for 30 min. The  
172 HI titer was determined as the reciprocal of the highest dilution in which hemagglutination  
173 was inhibited. Sera from Trials 1-5 were tested using the heterologous strain H5N2  
174 A/chicken/Belgium/150/1999 (GD Deventer, Netherlands). Sera from the Challenge  
175 Experiments 1-3 were tested with a much broader range of antigens (see Table 1).

#### 176 **2.7. Determination of viral titers**

177 The level of viral RNA in samples from challenged chickens was assayed by quantitative real  
178 time RT-PCR/M using RNA isolated with the RNeasy Mini Kit (Qiagen, Hilden, Germany)  
179 as described (Spackman et al., 2002). Oligonucleotides M-25 (5'-AGATGAGTCTTCTAA  
180 CCGAGGTCG-3') and M-124 (5'-TGCAAAAACATC TTCAAGTCTCT-3') were used as  
181 primers and M-64 (5'-FAM-TCAGGCCCCCTC AAAGCCGA-TAMRA-3') served as a  
182 probe. Quantitative standards of RNA extracted from 10-fold dilutions of a titrated virus  
183 homologous to the challenge strain were used to convert and express the QRT-PCR Ct values  
184 as equivalent EID<sub>50</sub> (eqEID<sub>50</sub>) per milliliter of swab fluid from oropharyngea and cloaca.

### 185 3. Results

#### 186 3.1. Immune responses of chickens to DNA vaccines

187 Five independent immunization experiments with slightly different time schedule of priming,  
188 boosting and blood collection were conducted in experimental farm conditions (Table 2,  
189 Figure 1). The duration of each experiment did not exceed 6 weeks, the life span of broilers.  
190 In the initial experiment (Trial 1) we focused on the evaluation of the immunogenic potential  
191 of two variants (short and long) of the DNA vaccine. The plasmid containing the long  
192 sequence appeared to be better vaccine candidate than the one with the short (H1) sequence  
193 (Figure 1A), therefore we concentrated only on the long DNA vaccine in further experiments.  
194 The minimal amount of plasmid DNA inducing satisfactory response by subcutaneous route  
195 was assessed in the next experiment (Trial 2) as 125 µg (Figure 1B). When two high doses of  
196 250 µg DNA were administered without Lipofectin the anti-HA response was significantly  
197 lower than the response to two doses of 250 µg or two doses of 125 µg administered with the  
198 carrier.

199 Subsequent trials (Trials 3-5) (i) allowed us to choose the intramuscular route as superior to  
200 the subcutaneous, (ii) confirmed that the intramuscularly injected dose of 125 µg of the long  
201 variant of the DNA vaccine is sufficient to induce anti-HA humoral response in 100% of  
202 chicken, and (iii) demonstrated that two doses of the vaccine are necessary to induce  
203 antibodies capable of hemagglutination inhibition (Figure 1C). The results of all trials  
204 consistently indicated that two weeks after priming (just before boosting) anti-HA antibodies  
205 could be detected in sera. However, the second dose of the vaccine (boosting) resulted in a  
206 strong increase of anti-HA antibody titers in most groups (as detected 3 weeks after the boost)  
207 and in increase of hemagglutinin inhibition (HI) activity (Figure 1 and Supplementary Figure  
208 1). Generally, irrespective of the experimental group, no HI activity was detected in sera with  
209 low titers of anti-HA antibodies.

#### 210 3.2. Dynamics of serological response

211 The previously optimized immunization schedule (intramuscular route, 125-µg dose,  
212 prime/boost on days 7/21) was applied to SPF chickens. Then, on day 42 (Experiment 1 and  
213 3) or 77 (Experiment 2) chickens were challenged with either H5N1 HPAIV from clade 2.2  
214 (Experiments 1-2) or H5N1 HPAIV from clade 1 (Experiment 3). The HI response in sera of  
215 immunized and challenged chickens is shown in Figure 2 and Supplementary Figure 2.  
216 Consistently, the best HI response was against an antigen homologous to the one encoded by  
217 the DNA vaccine. At 3 weeks post booster (wpb) (challenge day in Experiment 1 and  
218 Experiment 3) all birds were HI-positive with the following values of Geometric Mean Titers

219 (GMT): 169 (Experiment 1), 97 (Experiment 2) and 111 (Experiment 3). However, only 60-  
220 80% of birds tested on the challenge day (3 wpb or 8 wpb) were found positive with antigen  
221 from heterologous and antigenically distant clade and the percentage of seropositive chickens  
222 never reached 100%. The strong elevation of HI antibody was always observed 2 weeks after  
223 challenge.

### 224 **3.3. Protection against challenge and viral shedding**

225 The survival ratio after the challenge is shown in Figure 3, while the amount of viral RNA in  
226 swabs collected from the immunized/infected and the contact groups is summarized in Table  
227 3. All control chickens (non-immunized, challenged) died by 3 dpi and large amounts of the  
228 virus (usually  $> 5 \log_{10}$  EID<sub>50</sub> per ml swab fluid) were found. In Experiment 1 (challenge with  
229 the homologous virus 3 weeks after the boosting), no clinical signs or mortality were  
230 observed in immunized chickens or contact birds (Figure 3A). The small amount of the virus  
231 (up to  $3 \log_{10}$  EID<sub>50</sub>) was detected in oropharyngeal swabs of single birds tested at 3 dpi and  
232 14 dpi. This seems, however, to be probably below the infecting dose, which is reflected in  
233 the survival of the contact birds. In Experiment 2 (challenge with the homologous virus 8  
234 weeks after boosting), the vaccinated and challenged chickens remained clinically healthy, but  
235 the contact bird developed clinical symptoms and died 7 days after the vaccinated birds had  
236 been challenged (Figure 3B). The virus was found at 3 dpi in the oropharyngeal swabs  
237 collected from 2 immunized birds (amount of RNA equivalent to  $3.3 \log_{10}$  EID<sub>50</sub>) while in  
238 dead contact chicken the viral RNA was present both in respiratory and digestive tracts  
239 (quantity corresponding to 6.2 and  $4.6 \log_{10}$  EID<sub>50</sub>, respectively). Regarding Experiment 3  
240 (challenge with the virus from the heterologous clade 3 weeks after boosting), three  
241 immunized and infected birds died on days 5, 10 and 13, but viral RNA was never detected in  
242 swabs taken from the immunized chicken found dead at 13 dpi. Both contact chickens were  
243 found dead 2 days after they had been placed in the isolator with the challenged birds (Figure  
244 3C). The vaccinated and challenged chickens shed the virus up to 10 dpi with the peak at 3  
245 dpi (seven birds, amount of RNA equivalent to 2.4-5.8  $\log_{10}$  EID<sub>50</sub>, see Table 3).

246

## 247 **4. Discussion**

248 This work focused on testing the DNA vaccine effective in chickens that can be used in case  
249 of influenza outbreak. Comparison of two immunization routes resulted in choosing the  
250 intramuscular injection as better and more reliable than the subcutaneous one (Figure 1C).  
251 This route of injection was also more convenient for the personnel and, in our evaluation,



252 better tolerated by birds. In other reports this route was also often used for DNA  
253 immunizations with HA-encoding plasmids (Jalilian et al., 2010; Jiang et al., 2007; Lim et al.,  
254 2012; Oveissi et al., 2010) and gave good results; however, other, more sophisticated methods  
255 like gene gun (Kodihalli et al., 2000), electroporation (Shan et al., 2011) or needle free jet  
256 injector (Rao et al., 2008) were sometimes found more effective. We have also established the  
257 minimal dose inducing the satisfactory response as 125 µg. In other works a very broad range  
258 of doses was tested and showed to be effective. Usually around 10-200 µg ensured high or  
259 average level of response and protection (Jalilian et al., 2010; Jiang et al., 2007; Kodihalli et  
260 al., 2000; Lim et al., 2012; Oveissi et al., 2010; Rao et al., 2008; Shan et al., 2011).

261 The reported here immunization protocol, initially optimized in broilers in experimental farm  
262 conditions, was used in three challenge experiments with H5N1 HPAIVs using SPF White  
263 Leghorn chickens kept in a biosafety level 3 laboratory. Two HPAIV strains were used for  
264 challenge. The first was A/turkey/Poland/35/07 from clade 2.2 that reached Europe at the end  
265 of the year 2005 and circulated for several months in European countries causing small local  
266 outbreaks. The second was A/crested eagle/Belgium/01/04 from the distinct clade 1 which  
267 was circulating in southern Asia for several years and was once found at the Brussels airport  
268 in smuggled birds (Van Borm et al., 2005). The mortality rate of the challenged animals was  
269 monitored, virus shedding and transmission were tested, and the dynamics of serological  
270 response (HI test) followed. All immunized birds were protected against the homologous  
271 virus used in challenge Experiments 1 and 2. Transmission of the virus was absent in the  
272 challenge Experiment 1 (infection at 3 wpb), while it was observed in Experiment 2 (infection  
273 at 8 wpb). As a result, a control contact chicken died 7 days after inoculation. It should be  
274 pointed out that shedding of the virus was mild and infection in the contact chicken developed  
275 with a delay of several days. Of the ten chickens vaccinated in Experiment 3 (challenge with  
276 heterologous H5N1 HPAIV) two died and had moderate to high levels of viral RNA in  
277 oropharyngeal and cloacal swabs, as well as in the lung, brain, kidney and spleen samples.  
278 The third chicken died also at the last day of observation. However, it was clinically healthy  
279 throughout the experiment and no virus RNA was detected at any time; therefore, we assumed  
280 that the reason of its death could be other than viral infection. The two contact chickens also  
281 died, proving transmission of the virus from vaccinated chickens. However, in order to get  
282 statistically significant results concerning virus transmission from the vaccinated to not-  
283 vaccinated birds it would be necessary to use a larger group of contact birds.

284         The H5N1 virus is highly variable and the existence of multiple antigenic and genetic  
285 variants makes the generation of a universal vaccine difficult or even impossible. A partial

286 solution to the problem may be a “multi-clade” DNA vaccine which should potentially protect  
287 against a broader spectrum of viruses (Zhou et al., 2012). Vaccination against avian influenza  
288 is one of the tools in the control of the disease and generation of a vaccine that elicits even  
289 partial protection can greatly help limit the spread of infections in susceptible populations.  
290 The reported DNA vaccine provides 100 and 70% protection against, respectively, a  
291 homologous and heterologous virus and fulfills the criteria established by the World  
292 Organization for Animal Health for an efficient AI vaccine (Swayne, 2012). The major  
293 advantage of this vaccine is that it can be used as a part of a DIVA strategy since the  
294 antibodies are produced exclusively against viral hemagglutinin. Although it still needs to be  
295 optimized in order to reduce its cost, optimize the immunization schedule and/or  
296 administration route, we believe that the similar DNA vaccines can be used in future as part  
297 of prophylactic, preventive or emergency strategies in the protection of valuable flocks  
298 against H5N1.

299

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397

398

399 **Table 1.** Influenza viruses used in this study

400

<b>Experiment</b>	<b>Antigen</b>	<b>Clade</b>	<b>Source</b>
DNA vaccine	H5N1 A/swan/Poland/305-135V08/2006	Clade 2.2	Department of Poultry Diseases, National Veterinary Research Institute, Pulawy, Poland
HI tests in Trials 1-5 (broilers)	H5N2 A/chicken/Belgium/150/1999	-	GD Deventer, Netherlands
Challenge Experiment 1 and 2	H5N1 A/turkey/Poland/35/07	Clade 2.2	Department of Poultry Diseases, National Veterinary Research Institute, Pulawy, Poland
Challenge Experiment 3	H5N1 A/crested eagle/Belgium/01/2004	Clade 1	Dr. T. van den Berg (CODA-CERVA, Brussels, Belgium)
HI tests in Experiments 1-3 (SPF chickens)	H5N1 A/Ck/Scotland/59 Inactivated Antigen (Sc)	Eurasian group	Animal Health and Veterinary Laboratories Agency, Waybridge, UK
	H5N1 A/turkey/Poland/35/07 (Po)	Clade 2.2	Department of Poultry Diseases, National Veterinary Research Institute, Pulawy, Poland
	H5N1 A/crested eagle/Belgium/01/2004 (Be)	Clade 1	Dr. T. van den Berg (CODA-CERVA, Brussels, Belgium)

401

402

403 **Table 2.** Design of immunization and challenge experiments

404

Experiment / route		Group size			Respective treatment day after hatching		
		Imm	Cntr	Cnct	Prime/Boost	Blood	Challenge
Immunization (broilers)	Trial 1 / sc	14	10	n/a	10/22	21/42	n/a
	Trial 2 / sc	28	14	n/a	5/19	18/40	n/a
	Trial 3 / sc, im	30	10	n/a	6/21	20/42	n/a
	Trial 4 / im	24	5	n/a	7/21	20/38	n/a
	Trial 5 / im	24	3	n/a	7/21	20/42	n/a
Challenge (SPF)	Exp 1 / im	10	3	2	7/21	21/35/42/56	42
	Exp 2 / im	5	2	1	7/21	21/35/42/63/ 70/77	77
	Exp 3 / im	10	5	2	7/21	21/35/42/56	42

405

406 Routes of immunization (sc - subcutaneous; im - intramuscular). Imm - immunized; Cntr -  
 407 control (non-immunized); Cnct – contact; Prime - first immunization; Boost - second  
 408 immunization; Blood - blood collection; Challenge - experimental infection with H5N1 virus;  
 409 n/a – non-applicable. For all experiments with experimental infection (challenge) SPF  
 410 (specific pathogen free) chickens were used.

411

412 **Table 3.** Viral RNA detection after challenging of vaccinated chickens

413

		Days post infection (d.p.i.)							
		3		7		10		14	
		O (+/t)	C (+/t)	O (+/t)	C (+/t)	O (+/t)	C (+/t)	O (+/t)	C (+/t)
<b>Exp 1</b>	Immun	1/10 (3log <sub>10</sub> EID <sub>50</sub> )	0/10	0/10	0/10	0/10	0/10	1/10 (<2 log <sub>10</sub> EID <sub>50</sub> )	0/10
	Contact	0/2	0/2	0/2	0/2	0/2	0/2	0/2	0/2
<b>Exp 2</b>	Immun	2/5 (<2-3.3log <sub>10</sub> EID <sub>50</sub> )	0/5	0/5	0/5	0/5	0/5	0/5	0/5
	Contact	0/1	0/1	1/1 (6.2 log <sub>10</sub> EID <sub>50</sub> )	1/1 (4.6log <sub>10</sub> EID <sub>50</sub> )	- <sup>a</sup>	-	-	-
<b>Exp 3</b>	Immun	7/10 (2.4-5.8log <sub>10</sub> EID <sub>50</sub> )	0/10	3/9 <sup>b</sup> (3.0-5.3log <sub>10</sub> EID <sub>50</sub> )	1/9 (3.3log <sub>10</sub> EID <sub>50</sub> )	0/9	1/9 (<2 log <sub>10</sub> EID <sub>50</sub> )	0/7 <sup>c</sup>	0/7
	Contact	2/2 (5.3-6.9log <sub>10</sub> EID <sub>50</sub> )	2/2 (6.1-7.0log <sub>10</sub> EID <sub>50</sub> )	- <sup>d</sup>	-	-	-	-	-

414

415 Results are shown for immunized (Immun) and contact chickens. Results for corresponding control groups (non-immunized and infected) are not  
 416 shown. These chickens always died by 3 dpi and had large amounts of the virus (usually > 5 log<sub>10</sub> EID<sub>50</sub> per ml of swab). The number of virus-  
 417 positive (+) and of all individuals (total, t) in each group is provided. The amount of viral RNA in shedding chickens is shown in brackets. O –  
 418 oropharyngeal swabs, C – cloacal swabs; <sup>a</sup> bird died on 7 dpi, <sup>b</sup> bird died on 5 dpi, <sup>c</sup> one bird died on 10 dpi and one bird died on 13 dpi, <sup>d</sup> birds  
 419 died on 3 and 4 dpi.

420 Experimental infection (challenge) was performed on 42<sup>nd</sup> (Exp 1 and Exp 3) and on 77<sup>th</sup> day after hatching (Exp 2) with either virus from the  
 421 homologous clade (Exp 1 and Exp 2) or virus from a heterologous clade (Exp 3). Results obtained with the H5N1 virus from the clade  
 422 homologous to the one used for preparing the DNA vaccine are highlighted.

423

424

## Figure Legends

**Figure 1.** Chicken immune responses to DNA vaccine. Anti-HA Ab measured by ELISA (A, B and C) and HI of corresponding sera (C) are shown for individual chickens in each group. The number (n) of chicken per group is indicated; sc, subcutaneous; im, intramuscular; buffer - chickens received two doses of buffer; vector – chickens received empty vector; (-)carrier – chickens received DNA without lipid carrier; doses of DNA (250, 125 or 62 µg) are specified; chickens were immunized twice unless indicated otherwise, where 1x denotes the group which received only the first dose. In Trial 1 (A) either the short or long variant of the vaccine was used, while in Trials 2 (B) and Trials 3-5 (C) only the long variant was used.

**Figure 2.** Dynamics of HI titer in immunized and infected groups of chickens. Chickens in Experiments 1 and 2 were challenged with H5N1 from clade 2.2 (homologous to the vaccine), while in Experiment 3 with H5N1 from heterologous clade 1. Challenge was on day 42 in Experiments 1 and 3 and on day 77 in Experiment 2. Chickens from the control (non-immunized) groups had no detectable serological response to any of the antigens tested and they are not shown. Annotations on horizontal axes refer to the type of virus used for HI test (Sc - A/Ck/Scot/59; Po - A/turkey/Poland/35/07; Be - A/crested eagle/Belgium/01/2004) and to the day of blood collection.

**Figure 3.** Results of challenge experiments. (A) Experiment 1 – infection with homologous HPAIV three weeks post boost (wpb). (B) Experiment 2 – infection with homologous HPAIV eight wpb. (C) Experiment 3 – infection with heterologous HPAIV three wpb. The data are shown as percentage survival in respective groups (Cntr – control chickens, Cnct – contact chickens, Imm – immunized chickens).

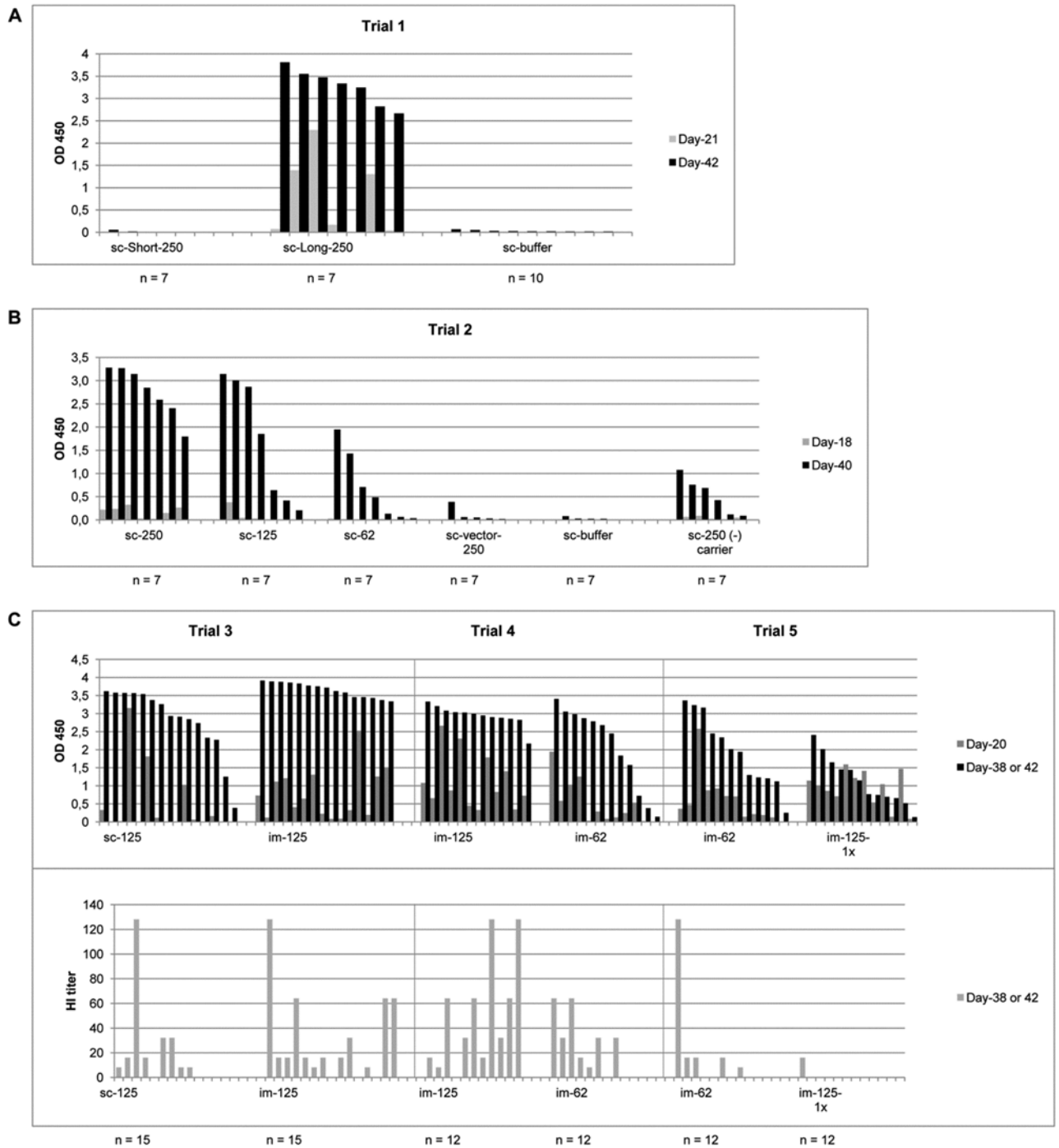
**Supplementary Figure 1.** Statistical analysis of chicken immune responses to DNA vaccine. The values for individual chickens and other explanations are as in Figure 1.

**Supplementary Figure 2.** Dynamics of HI titer in individual immunized and infected chickens. Chickens in Experiments 1 and 2 were challenged with H5N1 from clade 2.2 (homologous to the vaccine), while in Experiment 3 with H5N1 from heterologous clade 1. Challenge was on day 42 in Experiments 1 and 3 and on day 77 in Experiment 2. Numbers on horizontal axes indicate individual chickens. Only data for chickens from immunized groups are shown. Chickens from the control (non-immunized) groups had no detectable serological response to any of the antigens tested. Asterisks indicate that the test was not

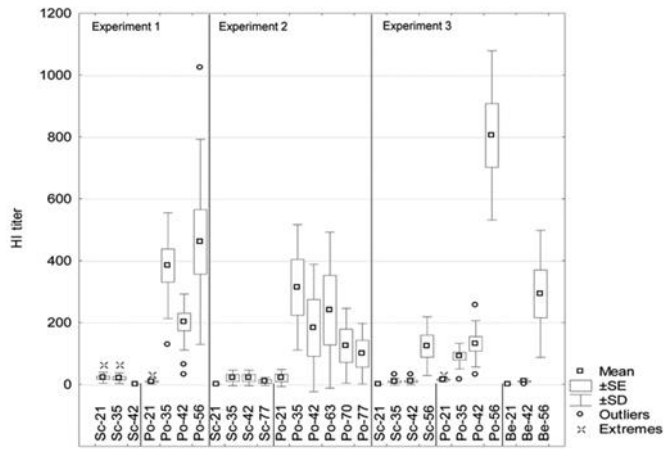


performed. Three birds from the immunized and challenged group in Experiment 3 died on days 5, 10 and 13 (birds No. 24, 23 and 25, respectively).

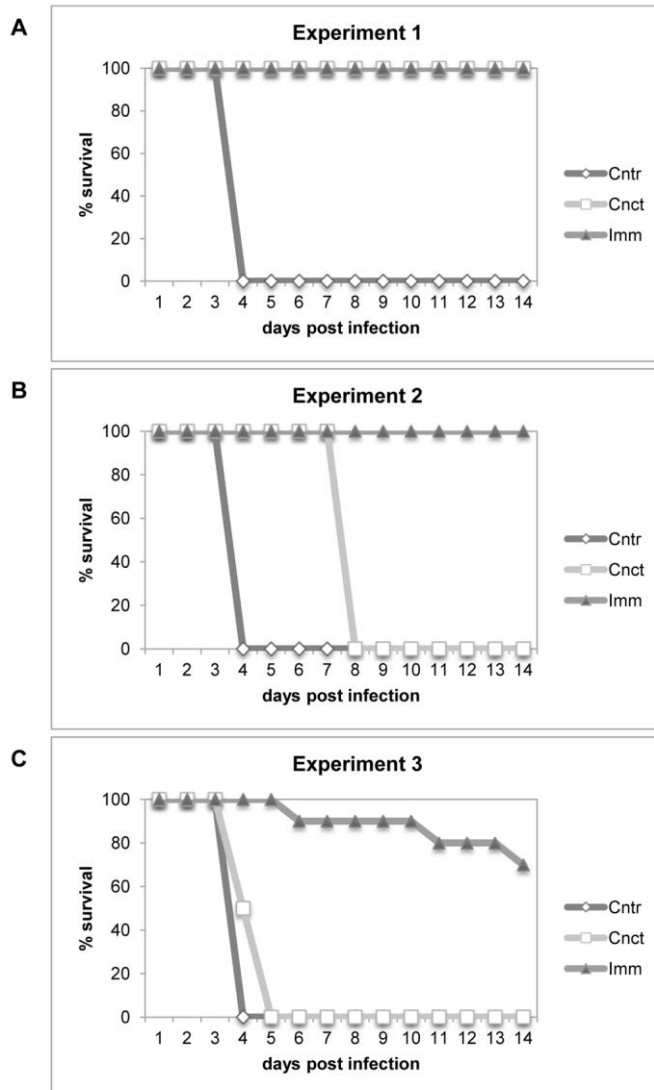
**Fig.1**



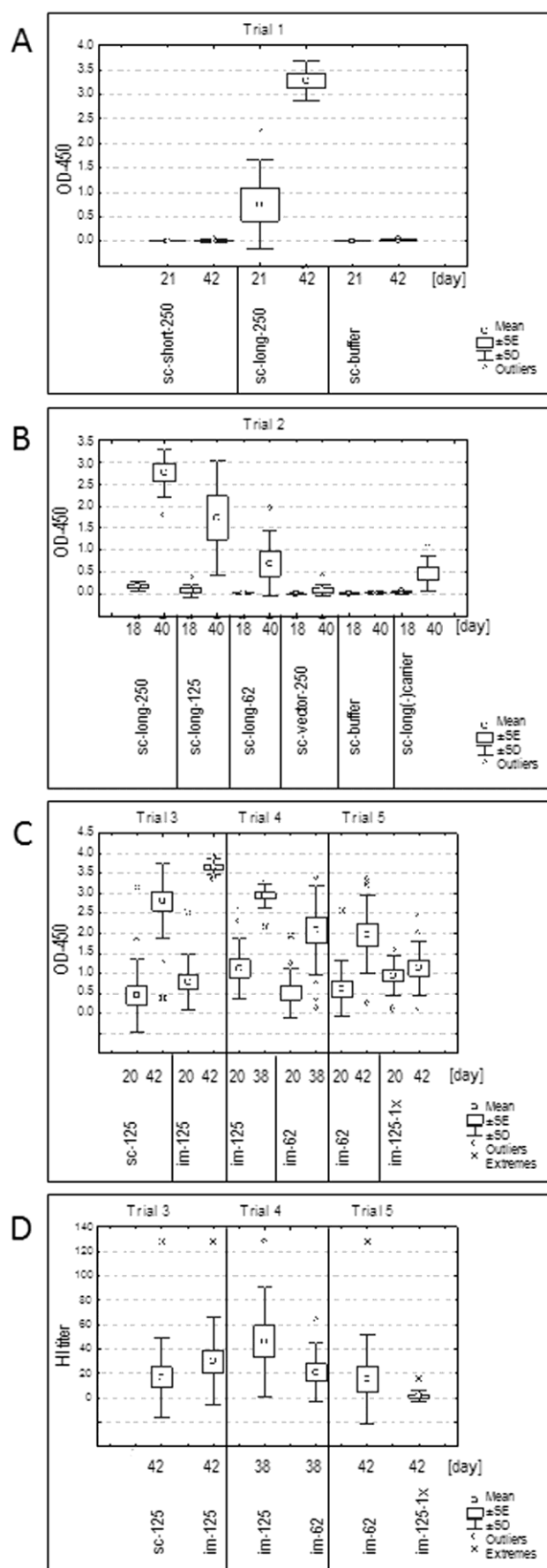
**Fig.2**



**Fig.3**



Suppl fig.1



# Suppl. Fig 2

